

## CLINICAL PRACTICE

## Redefining the perioperative stress response: a narrative review

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### Summary

The systemic stress response triggered by surgical trauma is characterised by **sterile inflammation preceding metabolic** and **neuroendocrine dysregulation**. However, the **relevance** of the classically described ‘stress response’ is now highly **questionable** in an era where profound **physiological deconditioning** is common in **older, frail** surgical patients. Commonly used assessment techniques do **not accurately reflect hypothalamic–pituitary–adrenal axis integrity** after major surgery. Clinical interpretation of plasma concentrations of **cortisol**, the prototypical stress hormone, is **rarely accurate**, because of study heterogeneity, the inherently dynamic characteristics of cortisol production, and assay variability. Before surgery, chronic psychosocial stress and common cardiorespiratory **co-morbidities** are clinically relevant **modifiers** of neuroendocrine activation to **acute stress/inflammation**. The frequent development of multi-morbidity after major surgery further clouds the compartmentalised, discrete model of neuroendocrine activation after initial tissue injury. **Starvation, impaired mobility, and sepsis after surgery** generate **distinct neuroendocrine profiles** that **challenge the conventional model** of neuroendocrine activation. Basic science studies suggest that **high circulating levels of cortisol** may **directly cause organ injury**. Conversely, randomised controlled clinical trials investigating **glucocorticoid supplementation** have delivered **contrasting results**, with **some suggesting a protective effect** in the **perioperative period**. Here, we consider many of the confounding factors that have emerged to **challenge the conventional model** of the surgical stress response, and suggest that a **more nuanced** understanding of changes in hypothalamic–pituitary–adrenal axis physiology is warranted to advance perioperative medicine. Re-examining the perioperative stress response presents opportunities for improving outcomes through enhancing the understanding of the neuroendocrine aspects of preparation for and recovery from surgery.

**Keywords:** cardiovascular deconditioning; hypothalamic–pituitary–adrenal axis; inflammation; stress response; surgery; trauma

Activation of the **hypothalamic–pituitary–adrenal (HPA) axis** is a critical feature of the coordinated physiological **response** to **surgical trauma**.<sup>1,2</sup> The perioperative focus on this neuroendocrine response has largely been restricted to glucocorticoid physiology during the intraoperative period, despite accumulating evidence that each stage of the perioperative journey may be profoundly influenced by dysregulation of the HPA axis. **Acute and chronic disruption of the HPA axis impairs** the ability to rapidly respond to initial and sequential perioperative **stressors**. Several

clinically underappreciated factors can profoundly modulate the perioperative stress response, as characterised by changes in cortisol physiology. Randomised, placebo-controlled trials in cardiac<sup>3</sup> and pancreatic surgery,<sup>4</sup> and experimental medicine studies in critical illness<sup>5</sup> suggest strongly that there is a **need to re-examine** whether **distinct endotypes** are associated with **benefit, or injury**, from perioperative **manipulation** of the **HPA axis**. Here, we consider recent developments in basic, translational, and clinical neuroendocrinology showing several overlooked

aspects of glucocorticoid physiology relevant to surgery that necessitate a substantial re-evaluation of this fundamental physiological response to injury.

## Molecular mechanisms of glucocorticoid action

Glucocorticoids act via two distinct intracellular receptors: mineralocorticoid receptors (MRs)<sup>6</sup> and glucocorticoid receptors (GRs),<sup>7</sup> with tissue-specific expression of MRs and wide expression of GRs (Fig. 1).<sup>11</sup> Both receptors translocate into the nucleus after ligand activation, where they induce or repress gene transcription through three mechanisms: direct binding to DNA via glucocorticoid response elements, protein–protein interactions with other transcription factors, and binding to both DNA and other transcription factors. The GR selectively engages with several thousand chromatin binding sites,<sup>12</sup> depending, in part, on corticosteroid concentration.<sup>13</sup> The accessibility of chromatin determines the pattern of GR binding.<sup>14</sup> The GR also has non-genomic, rapid effects contributing to neuronal signalling via ion channel, endogenous cannabinoid, and G-protein coupled second messenger system signalling (Fig. 1).<sup>15</sup>

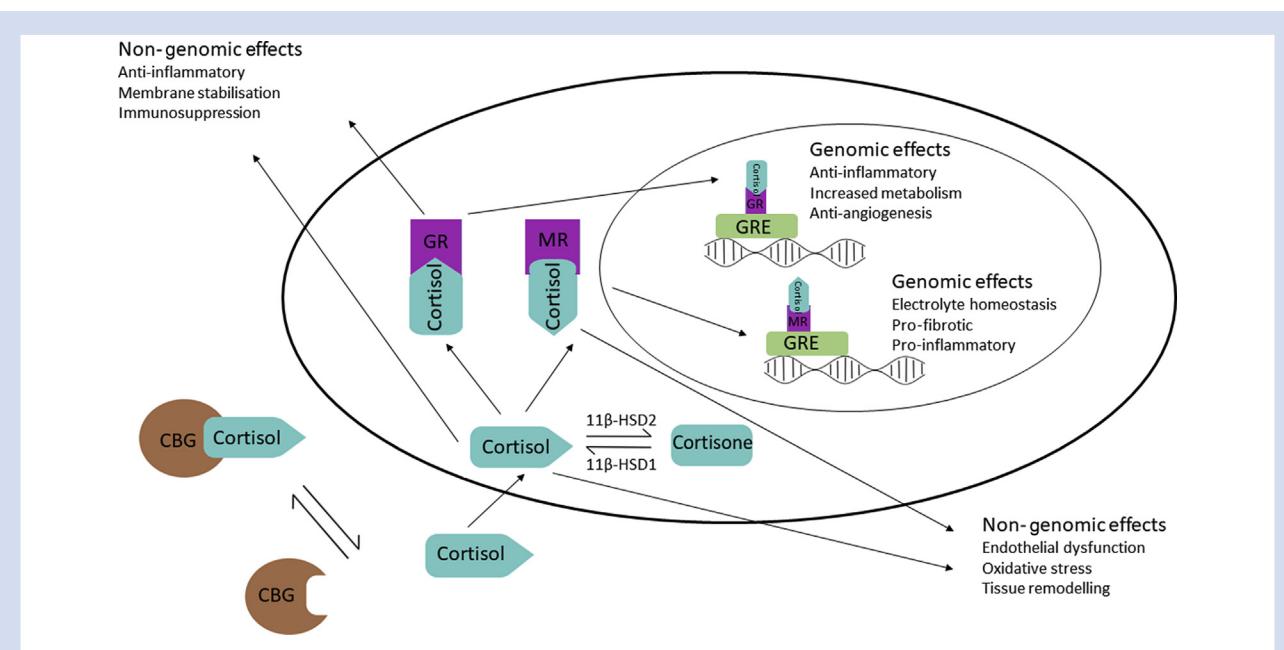
Cortisol has a higher affinity for the MR than the GR, while the MR has equal affinity for cortisol and aldosterone.<sup>16</sup> The action of cortisol on GRs and MRs is determined by the density of these receptors in tissue and by specific pre-receptor cellular mechanisms that facilitate aldosterone actions on MRs in certain tissues despite the higher endogenous concentrations of cortisol. Each of these mechanisms is likely to contribute to glucocorticoid sensitivity and resistance.

Biologically active cortisol is converted to inactive cortisone (and vice versa) by 11β-hydroxysteroid dehydrogenase (11β-HSD), which consists of two isoforms (Fig. 1). The two isoforms are differentially expressed within, and between, tissues. The isoform 11β-HSD2 converts cortisol to cortisone, thereby preventing cortisol from occupying most of the MR binding sites in tissues where it is expressed, such as the kidney and colon.<sup>16</sup> Modification of tissue-specific GR, MR, and 11β-HSD expression is likely to be pivotal in pathology driven by raised endogenous and exogenous glucocorticoid concentrations in acute and chronic illness.<sup>16</sup>

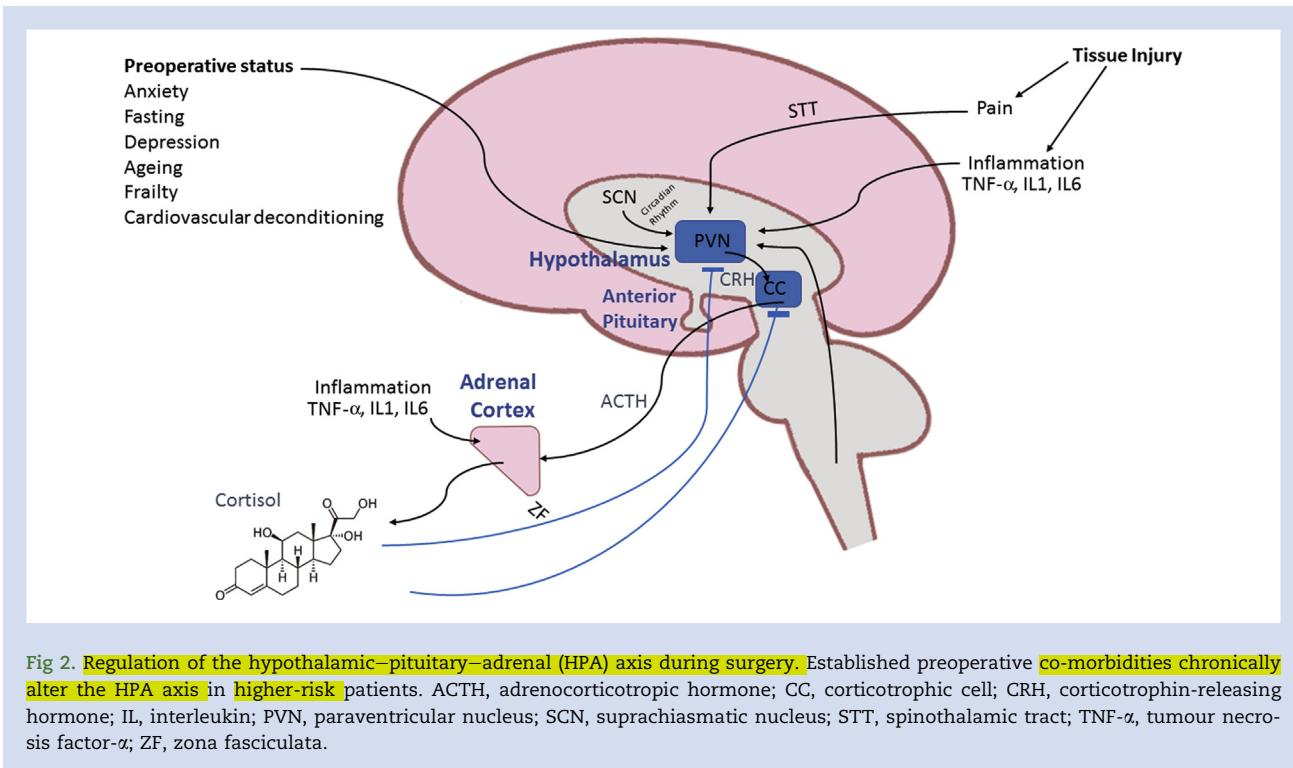
The GR and MR expression is controlled by gene transcription and post-translational mechanisms, including by their own substrate.<sup>7</sup> Oxidative stress down-regulates GR expression,<sup>17</sup> but up-regulates MR expression.<sup>16,18</sup> Increased cyclic adenosine monophosphate (e.g. by catecholamines), which is common in many chronic diseases, up-regulates GR<sup>19</sup> and MR expression.<sup>19</sup> Variable and dynamic changes in GR and MR expression in different tissues illustrate the likely complexity of the physiological response to acute increase in cortisol after surgery, and may contribute to temporal and spatial patterns of postoperative organ dysfunction.

## Regulation of cortisol release

The basic peripheral and neurophysiological pathways underpinning the neuroendocrine response to surgical tissue trauma are shown in Figure 2. The central regulator of this axis, the paraventricular nucleus (PVN) of the hypothalamus, is a major relay for afferent information from limbic areas of the CNS that can detect cognitive and emotional stressors, and also from brainstem structures that can detect inflammation



**Fig 1. Genomic and non-genomic mechanisms of glucocorticoid action.**<sup>6,8–10</sup> The classical genomic mechanism of action of glucocorticoids is mediated by the cytosolic GR, resulting in trans-repression (associated with desirable anti-inflammatory immunomodulatory actions) and trans-activation (associated with pro-inflammatory and pro-fibrotic downstream effects). Non-classical mechanisms of glucocorticoid action include both genomic effects driven by MR activation, and non-genomic effects driven by the activation of GR and MR. CBG, cortisol-binding globulin; GR, glucocorticoid receptor; GRE, glucocorticoid response element; MR, mineralocorticoid receptor; 11β-HSD, 11β-hydroxysteroid dehydrogenase.



**Fig 2. Regulation of the hypothalamic–pituitary–adrenal (HPA) axis during surgery.** Established preoperative co-morbidities chronically alter the HPA axis in higher-risk patients. ACTH, adrenocorticotrophic hormone; CC, corticotrophic cell; CRH, corticotrophin-releasing hormone; IL, interleukin; PVN, paraventricular nucleus; SCN, suprachiasmatic nucleus; STT, spinothalamic tract; TNF- $\alpha$ , tumour necrosis factor- $\alpha$ ; ZF, zona fasciculata.

and physiological changes.<sup>1</sup> Corticotrophin-releasing hormone (CRH) is then released into the hypophyseal portal plexus and binds to CRH receptors on corticotropes in the anterior pituitary gland to release the adrenocorticotrophic hormone (ACTH). Vasopressin, released from the hypothalamus and reaching the corticotroph cells in the anterior pituitary via the short portal vessels, is a key component of the stress response to acute stimuli and stimulates the release of ACTH via the vasopressin-3 receptor. ACTH is released via exocytosis into the systemic circulation where it primarily acts on the melanocortin-2 receptors in the zona fasciculata of the adrenal cortex to synthesise glucocorticoids that are then released into the circulation, the most significant of which is cortisol.<sup>20</sup>

Carrier proteins, primarily cortisol-binding globulin (CBG) and, to a lesser extent, albumin, prevent cortisol from diffusing into cells in target tissues, with only ~5% of the circulating cortisol in its active form.<sup>21</sup> Increased free cortisol levels are paralleled by reductions in carrier proteins, and at sites of inflammation, activated neutrophils cleave CBG, which further increases the local active cortisol concentrations.<sup>22</sup>

## Rhythms in cortisol regulation

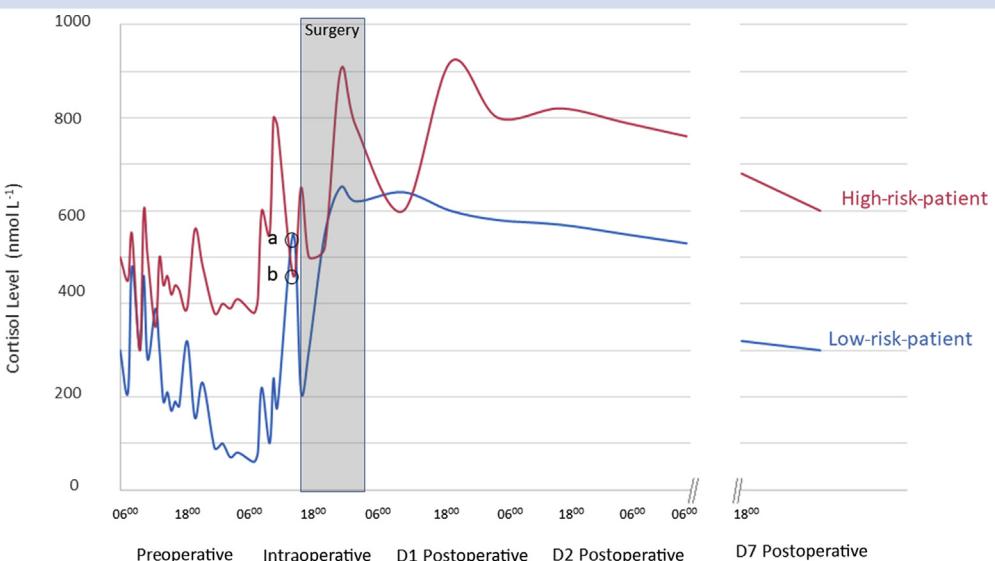
The acute perioperative period disrupts the circadian cycles that regulate the cortisol levels. Ultradian pulsatile signalling is superimposed on the circadian pattern of cortisol release, a process controlled by the suprachiasmatic nucleus and by the hypothalamic parvocellular neurones of the PVN (Fig. 3).<sup>26</sup> The ultradian, pulsatile pattern of cortisol release is regulated by the feedback between the anterior pituitary and adrenal glands.<sup>27</sup> Cortisol replacement therapy fails to reproduce glucocorticoid pulsatility.

Under normal, 'unstressed' conditions, circulating free cortisol reduces ACTH secretion and inhibits CRH release through negative feedback at the hypothalamic PVN and anterior pituitary.<sup>1</sup> However, immediately after surgery, ultradian pulses in ACTH and cortisol increase substantially.<sup>28</sup> ACTH concentrations then return to normal within 24 h in the face of persistently elevated, but less frequent, pulses of cortisol (Fig. 3).<sup>28</sup> The mechanisms underlying this disconnection are unclear, but potential mechanisms are considered in the following discussion.

## Perioperative cortisol studies

### Analytical issues

Central to understanding the perioperative 'stress response' is the need for certainty that cortisol measurements are based on robust assay techniques that represent biologically relevant cortisol levels. By definition, single rather than repeated measurements of cortisol cannot capture the inherently variable cortisol levels driven by ultradian rhythms. Strikingly, the gold-standard technique for measurement of free cortisol, liquid chromatography/tandem mass spectrometry (LC/MS),<sup>29</sup> has been used in only 2/71 perioperative studies comprising 28 cardiac surgical patients (representing 0.95% of 2953 patients studied). The vast majority of the perioperative literature is based on measurements using immunoassays from highly heterogeneous studies.<sup>24</sup> No cortisol measurements made using LC/MS have been undertaken during or immediately after surgery. It is during this perioperative period when the discrepancy between values recorded by LC/MS or immunoassay is likely to be most pronounced,<sup>30</sup> as albumin and CBG decline substantially after commonly undertaken elective surgery.<sup>31</sup> Higher levels of biologically free cortisol will, therefore,



**Fig 3. Stylised ultradian and circadian rhythms of cortisol before and after surgery.** Throughout the perioperative period, hypothesised cortisol levels are shown for low-risk compared with high-risk patients undergoing surgery. Points a and b show cortisol levels taken on the day of surgery for the two different patients; this highlights that one time-point capture does not reflect the disruption of the hypothalamic–pituitary–adrenal axis, because at this point, the high-risk patient actually has a lower cortisol level compared with the low-risk patient despite the overall pattern of high-risk patients having higher cortisol levels before operation, with a reduction in circadian variation. Preoperative rhythms are adapted from Miller and colleagues (2017)<sup>1</sup> and van den Beld and colleagues (2018).<sup>23</sup> Intraoperative and postoperative rhythms are adapted from Prete and colleagues (2018)<sup>24</sup> and Chan and colleagues (2012).<sup>25</sup>

not be accurately quantified by immunoassays that measure total serum cortisol levels.<sup>24</sup> As a result of non-specific immune cross-reactivity, immunoassays overestimate cortisol levels as they also measure cortisol precursors.<sup>32</sup> Furthermore, various common pathologies, including critical illness, also increase the inter-assay variability.<sup>33</sup>

#### Patterns of perioperative plasma cortisol

Bearing in mind these analytical issues, plasma concentrations of total cortisol have been reported to increase by up to four-fold compared with healthy controls,<sup>24</sup> peaking within a few hours after surgery.<sup>24</sup> In minimally invasive procedures, the cortisol peak is delayed, but the total cortisol output still increases over 24 h compared with healthy controls.<sup>24</sup> Plasma concentrations of cortisol can remain elevated for at least 7 days after major surgery; this is accompanied by perturbations of the circadian and ultradian cortisol rhythm after surgery or trauma,<sup>34</sup> with decreased amplitude of circadian variation progressing to complete flattening in critical illness.<sup>25</sup> The severity of critical illness is associated with the degree of circadian disruption.<sup>35</sup>

#### Complications after surgery disrupt cortisol regulatory mechanisms

Until the landmark experimental medicine studies by Van den Berghe and colleagues, elevated cortisol after major surgery and critical illness was largely attributed to ongoing central activation by the inflammatory response.<sup>36</sup> However, the finding that ACTH concentrations return to normal within 24 h of surgery<sup>28</sup> and that ACTH concentrations in critically ill patients are low

rather than high<sup>36</sup> strongly suggests that higher circulating cortisol levels occur independently of ACTH stimulation.

Potential mechanisms to account for persistently elevated cortisol if postoperative morbidity develops into critical illness include adrenal sensitisation, reduced carrier proteins, and reduced plasma clearance of cortisol. The adrenal release of cortisol may become sensitised during systemic inflammation, resulting in uncoupling from the HPA axis.<sup>37</sup> The systemic inflammatory response to surgery, involving neuropeptides, cytokines (interleukin-1 $\beta$  and interleukin-6), adipokines, and endothelium-derived factors, modulates the adrenal glucocorticoid release independently of pituitary ACTH.<sup>38</sup>

Plasma clearance of cortisol is suppressed by more than 50% in critical illness.<sup>36</sup> The reduced hepatic cortisol breakdown could further account for higher plasma free and total cortisol levels, as revealed by a stable isotope infusion technique.<sup>37</sup> Cortisol is broken down primarily by hepatic and adipose tissue reductases, plus renal 11 $\beta$ -HSD2. Moreover, 11 $\beta$ -HSD1 can reconvert cortisol from cortisone in many different tissues.<sup>16</sup> In critically ill patients, reductase and 11 $\beta$ -HSD2 expression in human liver and adipose biopsy samples was reduced, in part promoted by bile acids suppressing hepatic enzymatic function.<sup>5</sup> Translational murine studies also suggest that hepatic GR expression is reduced in sepsis, which reduces the cortisol-binding proteins and suppresses the  $\alpha$ -ring reductases, resulting in increased free cortisol.<sup>39</sup>

#### Chronic preoperative HPA dysfunction

Established alterations in HPA function are well described with age, endocrine syndromes, and non-endocrine

pathology, but these latter have received little attention in the perioperative period. Many common preoperative phenotypes exhibit HPA dysfunction that frequently comprises the cohort of patients in whom perioperative complications are most frequent (Fig. 4).

### Ageing

Ageing is associated with higher basal cortisol levels.<sup>23</sup> Age-related genomic instability and epigenetic changes result in progressive loss of hypothalamic sensitivity through loss of GRs in the CNS, decreased diurnal variation of cortisol, and a blunted negative feedback at the level of the HPA axis.<sup>20,23</sup> Increased expression of CRH and vasopressin occurs in the PVN of elderly humans, despite higher circulating cortisol, suggesting a disruption in feedback mechanisms of the HPA axis.<sup>55</sup> Age-related reductions in CBG and tissue-specific expression of 11 $\beta$ -HSD isoforms also contribute to higher free cortisol levels.<sup>23</sup> Consequently, older adults may have a higher perioperative cortisol response compared with younger patients.<sup>24</sup>

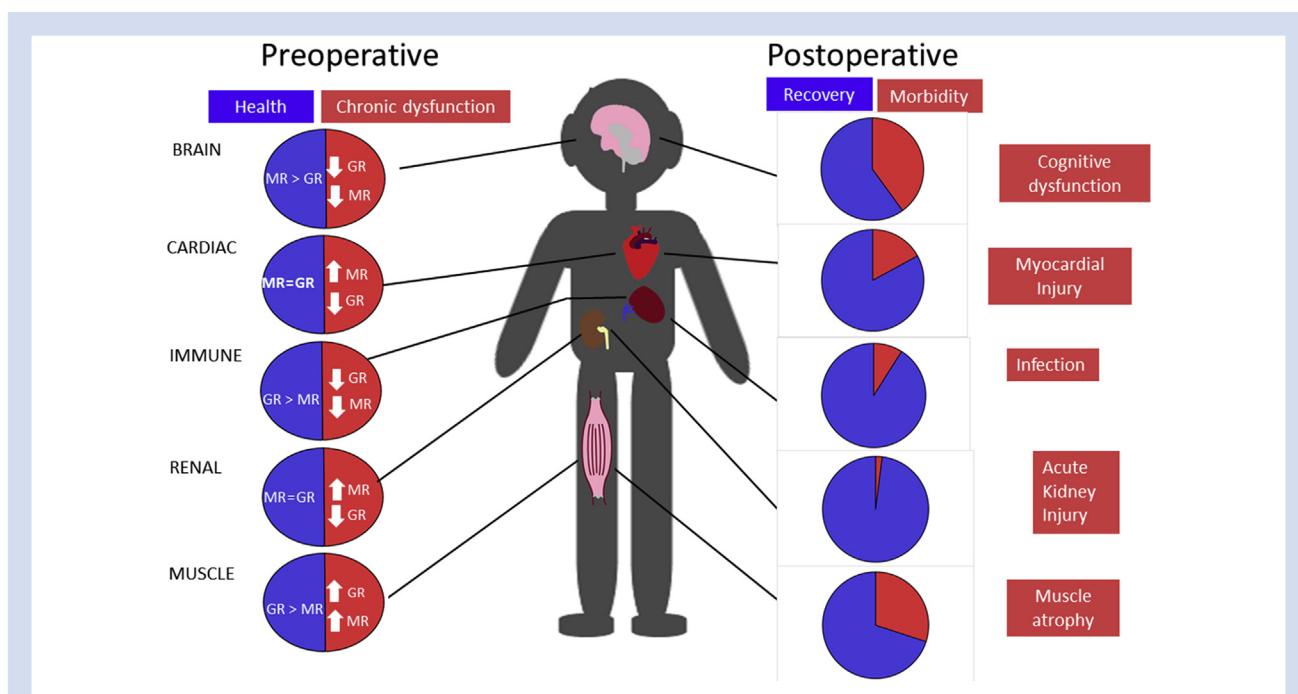
### Frailty

Declining physical performance, including impaired balance, reduced walking speed, and grip strength, is associated with

HPA axis dysregulation characterised by decreased diurnal variation of cortisol and higher cortisol levels.<sup>56</sup> Chronic exposure to higher cortisol levels suppresses muscle protein synthesis and increases protein catabolism, further promoting sarcopenia<sup>57</sup> that leads to functional decline and increased frailty burden.<sup>58</sup> Sarcopenia has a 15% prevalence in patients with stable chronic respiratory disease<sup>59</sup> and as high a prevalence as 78% in cancer patients.<sup>60</sup> Intercurrent illness accelerates deconditioning, sarcopenia, and frailty. Frailty is associated with increased mortality in many diseases, including chronic respiratory disease<sup>61</sup> and cancer,<sup>62</sup> which share the common characteristic of persistent inflammation that further drives frailty.<sup>63,64</sup> Chronic inflammation, driven by cellular senescence and oxidative stress,<sup>65</sup> leads to neuronal dysfunction and HPA axis dysfunction.<sup>66</sup> Increased cortisol levels further exacerbate this process by promoting neuronal degeneration and apoptosis.<sup>67</sup>

### Cardiovascular deconditioning

Physical inactivity, as a consequence of either cardiac failure or other pathology (e.g. chemotherapy for cancer and chronic joint pain), leads to cardiovascular and autonomic deconditioning. The mechanisms contributing to deconditioning include endothelial dysfunction and neuroendocrine disruption.<sup>68</sup> Profound cardiovascular deconditioning in healthy



**Fig 4.** Schematic of distribution of corticosteroid receptors and changes in their expression in chronic disease. Relative expression of GR and MR in different tissues in health derived using the Genotype-Tissue Expression Project. Decreases in brain GR<sup>40</sup> and MR<sup>11,41,42</sup> and the ratio of MR:GR expression are implicated in anxiety and depression, and may result in postoperative cognitive decline, which can be as high as 40% in patients over 60 yr old after noncardiac surgery.<sup>43</sup> Increases in cardiac MR<sup>44</sup> and decreases in GR<sup>44,45</sup> are implicated in cardiac pathology, particularly heart failure and dysrhythmias, and may result in perioperative myocardial injury after noncardiac surgery, which can be as high as 20%.<sup>46</sup> Decreases in GR<sup>47</sup> and MR<sup>48,49</sup> in immune cells can result in immune suppression, and may account for a 9% incidence of postoperative infectious complications.<sup>50</sup> Increases in MR<sup>44</sup> and decreases in GR<sup>51</sup> in renal disease may contribute to the mechanisms resulting in postoperative acute kidney injury, which can have an incidence of up to 20%.<sup>52</sup> Increases in GR<sup>53</sup> and MR<sup>53</sup> in muscle resulting from deconditioning can precipitate muscle atrophy after operation, which can persist even after 1 yr after operation with an incidence of 30% and as high as 80%.<sup>54</sup> GR, glucocorticoid receptor; MR, mineralocorticoid receptor.

young volunteers develops rapidly during prolonged bed rest, leading to relative resting tachycardia,<sup>69–71</sup> cardiac atrophy,<sup>72</sup> increased sympathetic vasmotor tone,<sup>73</sup> decreased cardiac vagal activity,<sup>74</sup> and impaired arterial baroreflex control.<sup>69</sup> These alterations are associated with excess morbidity and mortality during critical illness,<sup>75</sup> and often persist beyond the period of immobilisation, leading to orthostatic intolerance,<sup>69</sup> reduced exercise performance,<sup>76</sup> and blunted cardiac responses to stress.<sup>77,78</sup> Just 3 days of head-down bed rest results in reduced exercise performance accompanied by exaggerated, exercise-evoked increases in total plasma cortisol.<sup>76</sup> Higher serum cortisol levels are independently associated with more cardiac events in established heart failure.<sup>79</sup> The cortisol response to standardised stressors or CRH in heart failure is unknown, despite overt and subclinical heart failure being strongly associated with increased morbidity and mortality after noncardiac surgery.<sup>80–82</sup>

### Psychosocial reprogramming of HPA function

Anxiety and depression are common in surgical patients, and are associated with worse perioperative outcomes.<sup>83,84</sup> For example, before cardiac surgery, as many as 47% patients may be depressed. A key component of cardiac disease and depression is HPA dysfunction.<sup>85</sup> Although measurement of baseline cortisol rarely differs between different psychosocial disorders, tests challenging the HPA axis via the activation of GR or the Type 1 CRH receptor identify HPA axis dysfunction.<sup>86</sup> For example, in depression, the function of the GR at the limbic–hypothalamic level is impaired, resulting in reduced hypothalamic feedback and, consequently, increased production of glucocorticoids (termed ‘glucocorticoid resistance’).<sup>87</sup> Central CRH hyper-secretion may account for these neuroendocrine, autonomic, and behavioural changes.<sup>88</sup>

HPA axis dysregulation has been estimated to occur in up to 80% of depressed patients.<sup>89</sup> This may account for the increased susceptibility to upper respiratory tract infections and psychosocial stress,<sup>90</sup> mediated in part by lower absolute T-lymphocyte count, blunting the increase of T-cell numbers in response to viral infection and resulting in more frequent reactivation of latent viruses.<sup>91</sup> Recent bereavement is associated with risk of increased mortality, and correlates with elevation of plasma cortisol and altered immune function.<sup>92</sup> Human data show that cortisol contributes to an increased risk of wound sepsis. In high-school students, healing of skin punch biopsies is delayed by psychological stress induced by examinations, which correlates with higher morning levels of plasma cortisol.<sup>93</sup> Therefore, psychosocial disorders associated with HPA axis dysfunction are likely to have worse outcomes after operation, secondary to disruption in wound healing and immune function.

### Measuring preoperative HPA axis function

The peak cortisol response to insulin-induced hypoglycaemia can be used to confirm cortisol and ACTH reserve,<sup>94</sup> and is widely used in patients with hypothalamic–pituitary damage. The normal cut-off cortisol level in response to insulin-induced hypoglycaemia was established by comparing it to the cortisol levels reached in response to surgery. Understandably, surgery can demonstrate a higher and more sustained cortisol concentration compared with insulin-induced hypoglycaemia.<sup>95</sup> Nonetheless, insulin-induced hypoglycaemia was used to assess adrenal insufficiency in patients

undergoing corticosteroid treatment and guide steroid replacement during surgery.<sup>95</sup>

Another test for assessment of adrenal function is the short Synacthen test, which is used routinely to assess adrenal reserve in hypocortisolae patients and the ability of glucocorticoid-treated patients to respond to surgical stress. However, there are significant concerns about sensitivity and lack of consensus regarding the protocol for post-surgical patients.<sup>96</sup> Stimulation with CRH is used in the diagnostic workup of Cushing’s disease, while its role to assess the functional defect of ACTH secretion in patients with hypothalamic or pituitary lesions has not been established. There is no single test that can reliably predict adrenal insufficiency in response to surgical stress, although such tests have been used in studies to compare cortisol responses in disease states. For example, compared with controls, those with depression had a proportionally higher cortisol response to the amount of ACTH released during stimulation with CRH with blunted ACTH response,<sup>97</sup> suggesting desensitised pituitary CRH receptors as a result of down-regulation by higher basal cortisol levels. Therefore, patients with depression may have a disturbance in their hypothalamic regulation and may be unable to mount a significant response to surgical stress. Indeed, when depressed patients are stimulated with insulin-induced hypoglycaemia, their adrenal response is attenuated.

### Clinical implications for perioperative medicine

HPA axis dysfunction is common across many chronic diseases present before operation in surgical patients. Established HPA axis dysfunction significantly impacts on the regulatory mechanisms governing cortisol release during stress. However, it is notable that no systematic exploration has been undertaken to determine whether this heterogeneous preoperative picture translates to different surgical stress responses between otherwise clinically indistinguishable individuals. With that in mind, translational studies provide several possible mechanisms of organ injury through which the perioperative release of cortisol may account for worse postoperative outcomes in susceptible individuals, as considered in the following section.

### Glucocorticoid biology and perioperative morbidity

#### Myocardial injury

Cardiomyocytes express low levels of 11 $\beta$ -HSD2, resulting in cardiac MR being preferentially activated by cortisol.<sup>16</sup> MR is up-regulated in cardiac failure,<sup>98</sup> an established risk factor for perioperative myocardial injury. Landmark studies demonstrated a pivotal role for MR antagonists in reducing mortality in cardiac failure,<sup>99</sup> confirming robust basic science/translational data that over-activation of the MR signalling pathway is deleterious to the myocardium. Genetic deletion or inactivation of the MR gene (NR3C2) attenuates left ventricular dilatation, cardiac hypertrophy, and development of heart failure in murine models.<sup>45</sup> In contrast, genetic overexpression of cardiomyocyte MR causes ventricular remodelling, cardiac failure, and dysrhythmias.<sup>45</sup> Oxidative stress, driven by angiotensin II, up-regulates MR-dependent transcriptional activity.<sup>18,100</sup> These experimental data are consistent with the clinical observation that Cushing’s disease is associated with a higher risk of cardiovascular events, which is not solely

explained by conventional cardiac risk factors.<sup>101</sup> Additionally, glucocorticoid modulation of immune cells infiltrating injured cardiac tissue may prevent the resolution of inflammation.<sup>102</sup>

A systematic review of RCTs in cardiac surgery demonstrated an increased risk of myocardial injury and increased plasma creatinine kinase-muscle/brain (CK-MB) concentrations, when exogenous high-dose methylprednisolone was administered before surgery.<sup>3</sup> An increase in CK-MB concentrations in patients randomised to the methylprednisolone group was associated with double the risk of death within 30 days of surgery. As methylprednisolone appears to have negligible affinity for the MR,<sup>103</sup> these data suggest that suppressing the inflammatory response required to resolve tissue injury after direct surgical cardiac tissue injury is deleterious. This contention is supported by both basic science and small clinical studies suggesting that methylprednisolone increases the infarct size after myocardial infarction.<sup>104</sup> On the other hand, a meta-analysis of glucocorticoid treatment after an acute myocardial infarction showed conflicting evidence on infarct size, suggesting a possible mortality benefit.<sup>104</sup>

### Acute kidney injury

Up to 20% of patients sustain perioperative acute kidney injury (AKI), depending on the type of operation.<sup>52</sup> Chronic kidney disease is a key risk factor for perioperative AKI, which increases all-cause morbidity and accelerates mortality.<sup>105</sup> In health, renal 11 $\beta$ -HSD2 prevents the activation of renal MRs by cortisol by converting cortisol to its inactive metabolites.<sup>106</sup> However, impaired renal function is associated with a decrease in the expression of renal 11 $\beta$ -HSD2, leading to cortisol activation of renal MRs.<sup>106</sup> Furthermore, MR blockade limits the progression of AKI to chronic kidney disease.<sup>107</sup>

In addition to raised aldosterone concentrations within 24 h of surgery, experimental data support that glucocorticoids contribute to renal injury through an MR-dependent mechanism.<sup>108</sup> Daily subcutaneous administration of hydrocortisone to rats deficient in cortisol after bilateral adrenalectomy resulted in albuminuria; increased transcription of MR target genes; and histological changes, including glomerulosclerosis and podocyte depletion, consistent with renal injury.<sup>108</sup> This glucocorticoid-induced injury was reduced by MR antagonists.<sup>108</sup> Patients with Cushing's syndrome show similar histological changes after long-term exposure to endogenously high levels of cortisol.<sup>109</sup>

Renal vascular dysfunction has been suggested to contribute to glucocorticoid-induced renal injury, as genetic deletion of MR in vascular smooth muscle cells reduces AKI in a murine ischaemia-reperfusion injury model.<sup>110</sup> This is hypothesised to be mediated via Rac1-dependent promotion of reactive oxygen species generation, as also observed in cardiac myocytes.<sup>110</sup> MR antagonists prevent Rac1-mediated oxidative stress, which reduces endothelin B receptor expression through sulfinic acid modification.<sup>111</sup> Endothelin B maintains renal vasodilation in chronic kidney disease<sup>112</sup>; the protective effects of MR antagonism are lost when it is co-administered with an endothelin B receptor antagonist.<sup>107</sup> Taken together, these findings suggest that increases in cortisol perioperatively may contribute to renal injury through an MR-dependent mechanism, in part through compromising renal perfusion.

### Postoperative neurological morbidity

Neurological morbidity after noncardiac and cardiac surgery frequently clusters with multi-organ dysfunction, impedes

recovery, and increases mortality. Chronically elevated glucocorticoid concentrations cause memory decline and correlate with the development of hippocampus-dependent learning and memory deficits in humans.<sup>113</sup> Persistent flattening of circadian cortisol rhythms is associated with post-operative cognitive decline within a week of surgery.<sup>114</sup> Raised perioperative plasma cortisol concentrations are also associated with delirium,<sup>115</sup> which prolongs hospital stay and accelerates mortality in up to 60% of elderly patients after major surgery.<sup>116</sup>

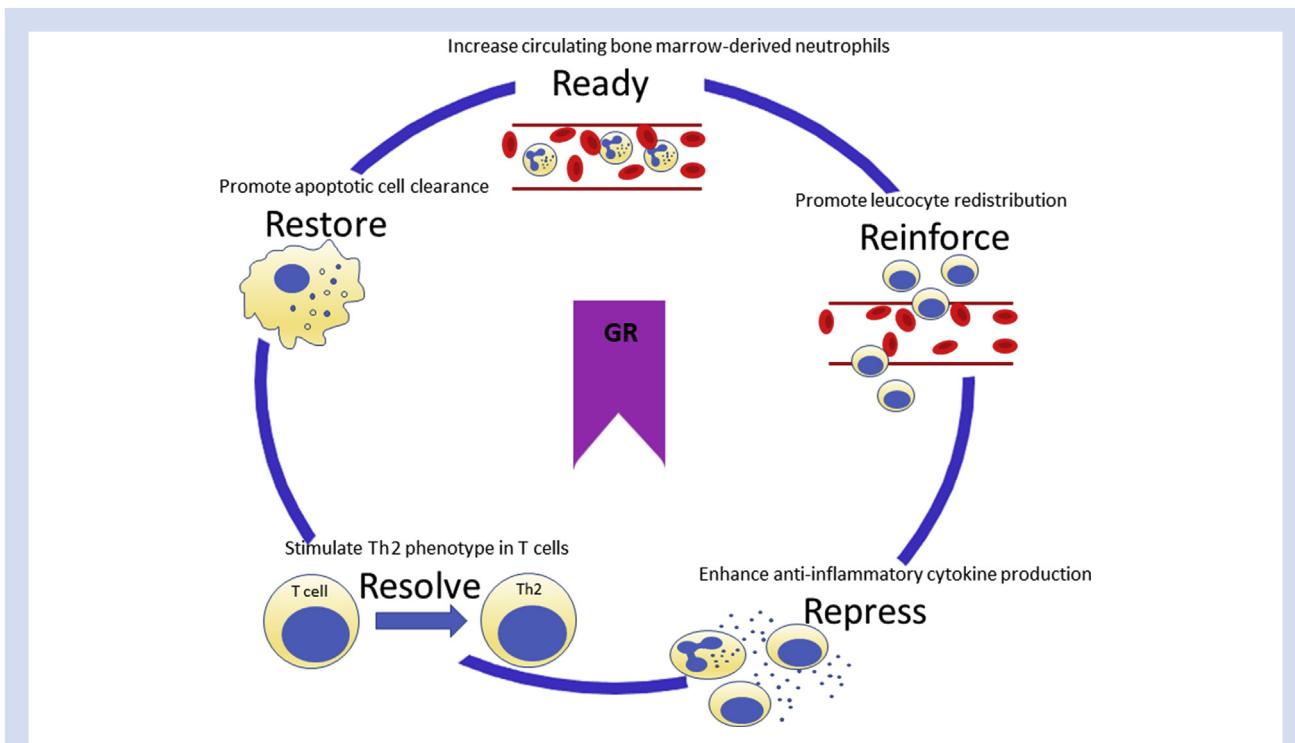
Dynamic, ultradian patterns of glucocorticoid activity maintain synaptic activity and preserve cognitive and behavioural processing.<sup>117</sup> After blocking the adrenal steroid synthesis with the inhibitor metyrapone in healthy male volunteers, non-pulsatile cortisol dosing impaired the response to emotional stimulation, cognition, working memory performance, and quality of sleep, in contrast to pulsatile cortisol administration.<sup>118</sup> Clinically, these experimental data are reinforced by the finding that high-dose intraoperative methylprednisolone failed to reduce postoperative cognitive dysfunction or quality of recovery in high-risk cardiac surgical patients. Indeed, patients with postoperative cognitive dysfunction had a worse quality of recovery regardless of whether they received steroids.<sup>119</sup> These data support the possibility that postoperative neurological morbidity may, in part, be driven by continuous high cortisol levels.

### Infection and sepsis

Up to 10% of patients develop an infection after elective surgery.<sup>120</sup> Adrenal insufficiency is associated with increased mortality in sepsis,<sup>121</sup> but high cortisol levels are also associated with critical illness and increased mortality.<sup>122</sup> GR expression predominates in the immune system.<sup>123</sup> Cortisol exerts both pro-inflammatory effects and immunosuppressive effects in a complex cell-, time-, and concentration-specific manner (Fig. 5).<sup>124</sup> At low levels under physiological conditions, cortisol sensitises the innate immune response to enable the rapid detection of an insult, and hence, induction of an inflammatory response. This is achieved by upregulating the expression of Toll-like receptors, which recognise danger and pathogen-associated molecular patterns and the NLRP3 inflammasome.<sup>125</sup> However, sustained, higher 'stress' levels of cortisol suppress the adaptive immune response, in part through inducing metabolic dysfunction and death in lymphocytes after surgery.<sup>126</sup> Glucocorticoids help resolve inflammation by reducing neutrophil extravasation,<sup>124</sup> increasing apoptotic death of neutrophils,<sup>127</sup> and augmenting phagocytic activity in tissue-resident macrophages.<sup>128</sup> The potential effect of established preoperative disruption of normal glucocorticoid signalling on the orchestration of perioperative inflammation in patients with morbidity linked to HPA axis dysfunction is unclear.<sup>129</sup>

### Insights from RCTs: a need for precision medicine

Experimental data demonstrate that high levels of cortisol released during systemic inflammation and acute oxidative stress can result in organ injury. Clinical trials exploring glucocorticoid supplementation have provided conflicting data, in part reflecting the lack of understanding in cortisol biology during the perioperative period. A meta-analysis of 56 trials of perioperative glucocorticoid administration showed no impact on length of stay or incidence of infection.<sup>130</sup>



**Fig 5. Regulation of inflammation by glucocorticoids.** The GR pleiotropically fine-tunes the immune function at all stages of the inflammatory response to pathogens and tissue injury. Initial inhibition of pro-inflammatory cytokine production by the GR is followed by promotion of the resolution of inflammation. The GR-regulated mechanisms include stimulation of the secretion of pro-resolving molecules, such as annexin-1, driving a Th2 adaptive response, inducing programmed cell death in neutrophils and T cells, and promoting the removal of apoptotic cells. Adapted from Busillo and Cidlowski (2013).<sup>124</sup> GR, glucocorticoid receptor.

Similarly, low-quality trials precluded meta-analysis of trials examining the impact of systemic glucocorticoid administration in elective hip and knee surgery.<sup>131</sup> However, the heterogeneity amongst different patient groups in clinical trials suggests that the impact of glucocorticoid administration during a period of surgical stress is unlikely to neatly fit into a single approach.<sup>132</sup> For example, in the absence of systematic assessment of glucose levels after surgery, a negative impact of glucocorticoid-induced hyperglycaemia on morbidity and mortality cannot be ruled out.<sup>133</sup>

Heterogeneity in the timing, dose, and type of glucocorticoid administered adds further complexity. Cell-based work comparing glucocorticoid and mineralocorticoid properties suggests that inter-glucocorticoid drug therapy conversions are likely to be inaccurate.<sup>103</sup> A meta-analysis of trials where only high-dose methylprednisolone had been administered suggested that pulmonary complications were reduced, particularly in trauma patients.<sup>134</sup> This suggests that the dosing and type of glucocorticoid need to be taken into account. The timing of glucocorticoid administration may also be important. In laparoscopic cholecystectomy, a single dose of dexamethasone was administered 90 min before surgical incision, allowing sufficient time for pre-injury gene transcription (in contrast to other studies where administration was closer to surgery).<sup>135</sup>

Trials, in which a targeted, precision medicine approach to perioperative glucocorticoid therapy has been adopted, suggest that a rather more nuanced interpretation is warranted. Prolonged (72 h) i.v. treatment with hydrocortisone, stratified

by intraoperative histological evidence for pancreatic inflammation, reduced major complications after pancreatic resection.<sup>4</sup> In an observational sub-study of the Measurement of Exercise Tolerance before Surgery study,<sup>136</sup> perioperative myocardial injury was associated with a relatively lower increase in plasma cortisol levels after surgery.<sup>137</sup> This suggests that, in patients with a blunted cortisol response to surgery, worse perioperative outcomes may be a feature if supplemental glucocorticoids are not provided.

#### Insights from trials in critically ill patients

Relative glucocorticoid insufficiency is a feature from the largest trial in critical illness (Adjunctive Corticosteroid Treatment in Critically Ill Patients with Septic Shock [ADRENAL]), where a pre-specified subgroup analysis revealed that supplemental hydrocortisone was associated with faster resolution of shock and extubation in 3800 critically ill patients with septic shock requiring mechanical ventilation randomised to receive hydrocortisone ( $200 \text{ mg day}^{-1}$ ) or placebo.<sup>138</sup> Although the primary outcome (death from any cause at 90 days) was similar in ADRENAL, cardiopulmonary benefits occurred without increasing the risk of new-onset bacteraemia or fungaemia. As the hydrocortisone doses used in this study were likely to saturate the MR, these data mirror sepsis studies, in which the potent mineralocorticoid agonist fludrocortisone was used in conjunction with hydrocortisone.<sup>139</sup> Either way, the clinical outcomes suggest glucocorticoids may have an effect on cardiovascular pathophysiology; volume

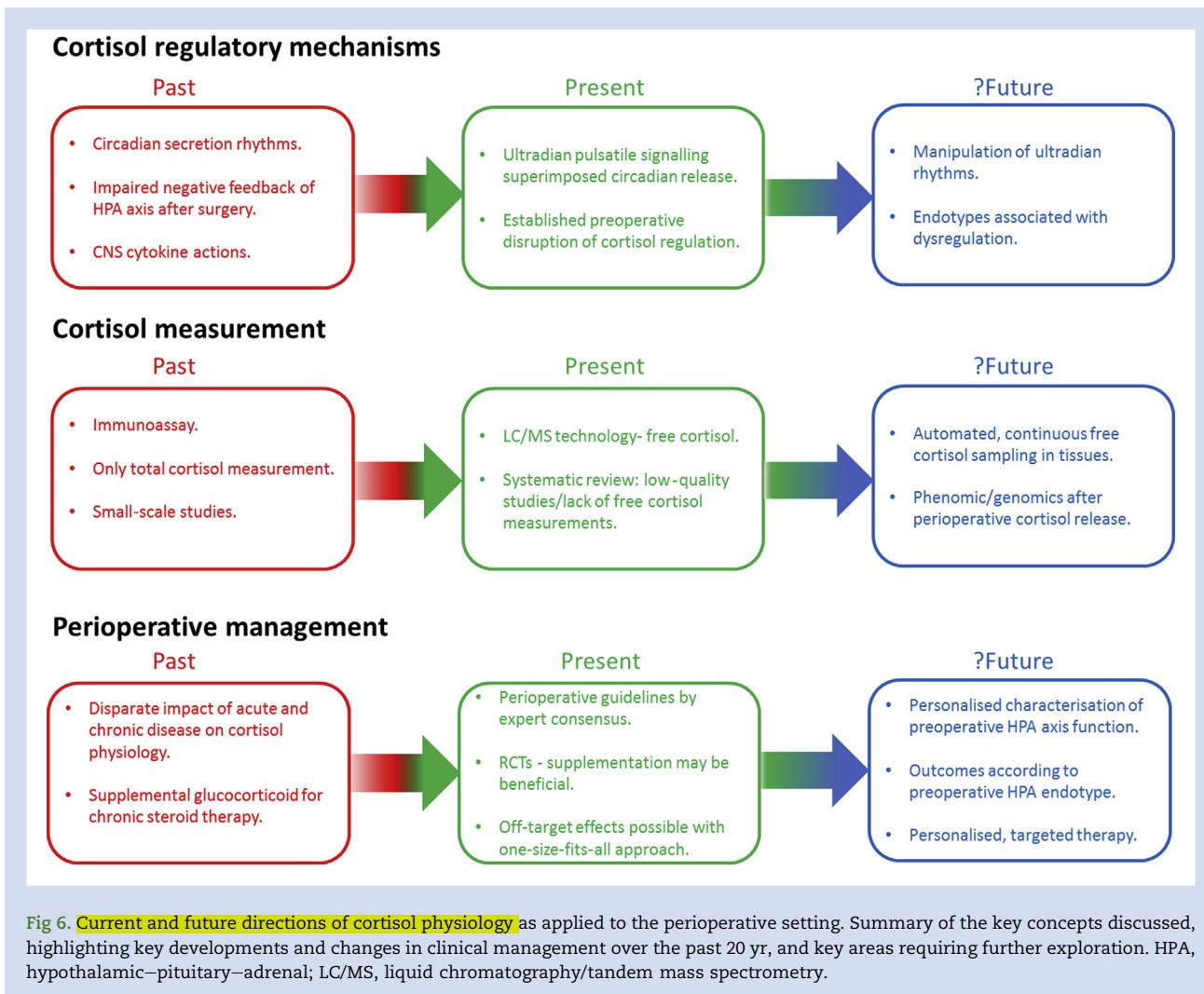


Fig 6. Current and future directions of cortisol physiology as applied to the perioperative setting. Summary of the key concepts discussed, highlighting key developments and changes in clinical management over the past 20 yr, and key areas requiring further exploration. HPA, hypothalamic–pituitary–adrenal; LC/MS, liquid chromatography/tandem mass spectrometry.

retention (MR effect) and restoration of vascular responsiveness to catecholamines through inhibition of endothelial inducible nitric oxide synthesis (GR effect) may account for these findings.

On balance, critical care and perioperative trials suggest that glucocorticoid administration reduces inflammation, which is strongly implicated in promoting postoperative morbidity.<sup>140</sup> Moreover, they also highlight that timing, clinical context, and patient individualisation are likely key factors that require careful consideration. The Perioperative Administration of Dexamethasone and Infection RCT (the analysis of which was stratified by the presence/absence of controlled diabetes mellitus) may shed further light on some of these factors.<sup>141</sup>

## Conclusions

Relative perioperative cortisol deficiency appears to be an under-recognised contributor to perioperative organ injury. Subclinical endotypes of HPA axis dysregulation are common in the surgical population before operation. A broad range of apparently disparate preoperative risk factors are associated with HPA axis dysfunction. In particular, glucocorticoid

impairment may contribute to the poorer outcomes associated with preoperative cardiovascular deconditioning. There are clear indications that a refined, stratified approach to understanding the stress response to surgery at the molecular and organ levels is required (Fig. 6). A more detailed approach utilising omic technologies is likely to open up therapeutic opportunities that beneficially modify such a fundamental physiological response to tissue injury.

## Authors' contributions

Literature search: VM, GLA

Literature review: VM, GLA, MK

Drafting of the manuscript: VM, GLA, MK

## Declarations of interest

GLA is a member of the editorial advisory board for *Intensive Care Medicine Experimental* and an editor of the *British Journal of Anaesthesia*, and has undertaken consultancy work for GlaxoSmithKline. There are no other relationships or activities that could appear to have influenced the submitted work. MK and VM-S have no conflicts of interest to declare.

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