Longevity Gene *KLOTHO* and Alzheimer Disease– A Better Fate for Individuals Who Carry *APOE* ɛ4

Dena B. Dubal, MD, PhD; Jennifer S. Yokoyama, PhD

The apolipoprotein E *e***4** (*APOE4*) allele is the strongest genetic risk factor for Alzheimer disease (AD), the most common neurodegenerative condition. Unfortunately, *APOE4* is not rare. Approximately 23% of the US population carries an al-

+ Related article

lele. One copy increases risk of developing AD by 3-fold; 2 copies increases it by more

than 12-fold. The *APOE4* allele shortens the time to disease onset and reduces survival^{1,2} in both sporadic and familial AD. Beyond AD, *APOE4* increases the likelihood of cognitive decline in patients with other neurologic disorders,³ elderly people who are clinically normal,⁴ and even patients with head trauma as putatively mild as heading a ball in soccer.⁵ Neuroanatomic differences with *APOE4* have been detected in AD-relevant brain regions in adolescence⁶ and as early as infanthood.⁷ Remarkably–despite the lifelong influences of *APOE4*–not all who carry the allele are fated for AD.

Klotho, daughter of Zeus, is the Greek Fate who spins the thread of life and the eponym for a circulating longevity hormone with emerging relevance for clinical neurology. Klotho is secreted from the kidney and choroid plexus and exerts pleiotropic functions ranging from autophagy to modulation of insulin and N-methyl-D-aspartate receptor signaling. Discoveries in mice reveal that elevating klotho extends life span,⁸ enhances synaptic functions,^{9,10} improves cognition in aging,^{9,10} and boosts resilience to AD-associated toxicity.¹¹ Humans, too, have klotho, and we now wonder whether circulating levels may matter in aging and brain health. We are born with levels more than 7-fold higher compared with adulthood levels. Then, through the process of aging, klotho levels decline, and precipitously so in people with neurodegenerative diseases like AD. Intriguingly, aging individuals who are clinically normal and have higher serum klotho show enhanced functional connectivity between brain regions selectively vulnerable to degeneration in AD,¹² another indication that this Fate may wield her powers to bolster brain health.

While all individuals carry the *KLOTHO* (*KL*) gene that codes for the klotho hormone, approximately 20% carry a functional variant termed *KL*-VS, and this enables more extensive study of klotho in human health. Two amino acid substitutions in human *KL*, F352V (V) and C37OS (S), segregate together as *KL*-VS and alter cellular klotho secretion¹³ or may change its functions. Carrying 1 copy of *KL*-VS increases circulating klotho,^{9,14} while carrying 2 decreases it.¹⁴ One copy of *KL*-VS (in other words, *KL*-VS heterozygosity) is associated with longer life,¹³ robust frontal brain volume,¹⁴ and better executive cognition^{9,14} in some but not all aging populations. The beneficial influence of *KL*-VS in aging and

brain health raises a timely question: could carrying *KL*-VS counter the *APOE4*-AD risk?

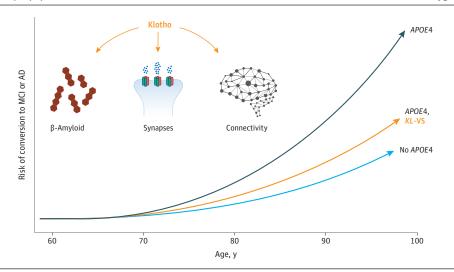
In this issue of JAMA Neurology, Belloy et al¹⁵ probed the link between KL-VS heterozygosity and the APOE4-AD risk with a high-powered meta-analysis including more than 20 000 individuals across a full age span of individuals 60 years and older in 22 independent research cohorts, collectively representing a spectrum of normal cognitive aging, mild cognitive impairment (MCI), and AD. In addition to power, their work adds the variables of life stage, AD conversion risk, and pathological β -amyloid (A β) to a new understanding of the KL-VS-APOE4 association. Remarkably, they found that in individuals who carry APOE4, KL-VS heterozygosity was significantly associated with (1) reduced risk for AD, (2) reduced risk of conversion to MCI or AD (Figure), and (3) reduced AB biomarkers measured by brain imaging and cerebrospinal fluid. Curiously, benefits of KL-VS heterozygosity on all AD measures were limited to individuals who carry APOE4 and not observed in those who do not carry APOE4. Furthermore, association of KL-VS with AD risk and Aβ were most robust in the group aged 60 to 80 years, the life stage during which individuals who carry APOE4 show the strongest AD risk. Finally, careful ageat-onset analyses revealed that KL-VS heterozygosity was associated with reduced risk of conversion to MCI or AD beginning around age 77 years in individuals who carry APOE4 (Figure). It is important to note that KL-VS homozygosity, a rare genotype leading to lower klotho levels and decreased life span, did not associate with protection against the APOE4-AD risk.

It is noteworthy that the *KL*-VS-*APOE4* association was conserved across populations in North America and parts of Europe, despite inherent variations caused by geography and environmental conditions that can obscure genetic associations. However, the study's inclusion of only non-Hispanic Northwestern European individuals to avoid population confounding from known allele frequency differences of both *APOE4* and *KL-VS* limits the generalizability of the findings worldwide or across diverse ancestry. Furthermore, the lack of measurements of the klotho hormone itself from the serum or cerebrospinal fluid of individuals restricts interpretation of the findings, particularly since klotho levels might represent a higher resolution biomarker in assessing the *APOE4*-AD risk. These limitations represent exciting future directions for the field to pursue.

In short, despite the stated limitations, the Belloy et al¹⁵ meta-analysis provides strong evidence that individuals who carry *APOE4* are not uniformly fated to develop AD and specifically establishes a protective role of *KL*-VS heterozygosity in the *APOE4*-AD risk. This work is important because it car-

jamaneurology.com

Figure. Attenuation of Apolipoprotein E £4 (APOE4)-Associated Alzheimer Disease Risk With KLOTHO Variant (KL-VS) Heterozygosity



Heterozygosity in *KL*-VS, which leads to higher circulating klotho levels, associates with decreased risk of conversion to mild cognitive impairment (MCI) and Alzheimer disease (AD) among individuals who carry *APOE4*. A hypothetical model of klotho benefits that could counter *APOE4* include protection against pathological β-amyloid production or deposition, enhanced synaptic functions,

and increased brain connectivity. This is important because *KL*-VS status could mitigate *APOE4* risks for Alzheimer disease and could be used to further stratify individuals who carry *APOE4* in clinical trials for the disease. Klotho itself could represent a therapeutic for the prevention or treatment of Alzheimer disease in individuals who carry *APOE4*.

ries several implications for neurology, clinical trials, and translational research. For personalized genomics, *KL*-VS status should integrate into knowledge that both lifestyle and genetics can negate or at least mitigate harmful influences of *APOE4*. In light of this, we might consider an individual's *KLOTHO* genotype when counseling individuals who carry *APOE4* about their prognosis for AD. In clinical trials using *APOE4* for trial enrichment, further selection of individuals who carry *APOE4* without *KL*-VS could define a population more likely to convert to AD and thus increase detection of a therapeutic benefit. In translational research, understanding how klotho itself or its biological pathways may counter *APOE4* could lead to monumental progress in the future treatment of AD.

The specificity of *KL*-VS benefits on AD in individuals who carry *APOE4* is striking and suggests a yet-unstudied interaction between biological pathways of the klotho and APOE4 proteins. Could klotho attenuate APOE4-mediated disruption of synaptic and network dysfunction or counter APOE4-induced increases in A β (Figure)? Klotho is associated with network function in humans and stimulates enhanced synaptic

transmission in mice, even without APOE4; however, the intriguing link between *KL*-VS and the *APOE4*-AD risk raises many questions. Does klotho more robustly and preferentially engage APOE4-specific molecular pathways in bolstering the brain? Are these pathways specific to AD, or do they extend to other neurologic diseases for which *APOE4* also increases risk?

In summary, the study by Belloy et al¹⁵ is timely and provides solid evidence that the Fate Klotho, in biological form, may <u>mitigate risks</u> for <u>AD</u> in individuals who carry *APOE4*. When and how the benefits of *KL*-VS heterozygosity counter lifelong risks associated with *APOE4* remain to be determined. Nonetheless, in a world where genetics informs brain landscapes, individual trajectories, and clinical trial design, *KLOTHO* may prove essential. Furthermore, if levels of the klotho hormone matter, we may outwit our genetics through <u>exercise</u>, which <u>increases klotho</u>, and decreased chronic stress, which <u>decreases</u> it. The <u>klotho</u> hormone itself could represent a new <u>treatment</u>. Applying our growing knowledge of klotho to *APOE4* and AD could ultimately pave the path to novel therapeutics for individuals who carry *APOE4*.

ARTICLE INFORMATION

Author Affiliations: Weill Institute of Neurosciences, Department of Neurology, University of California, San Francisco, San Francisco (Dubal, Yokoyama): Associate Editor, *JAMA Neurology*, Chicago, Illinois (Dubal); Memory and Aging Center, University of California, San Francisco, San Francisco (Yokoyama); Department of Radiology & Biomedical Imaging, University of California, San Francisco, San Francisco (Yokoyama).

Corresponding Author: Dena B. Dubal, MD, PhD, Weill Institute of Neurosciences, Department of

Neurology, University of California, San Francisco, 675 Nelson Rising Ln, San Francisco, CA 94110 (dena.dubal@ucsf.edu).

Published Online: April 13, 2020. doi:10.1001/jamaneurol.2020.0112

Conflict of Interest Disclosures: Dr Dubal has consulted for Unity Biotechnology and reports funding for her research from the National Institutes of Health, the American Federation for Aging Research, Glenn Medical Foundation, Unity Biotechnology, and philanthropic support for translational research from the Bakar, Coulter-Weeks, Bradley, and Godsoe families; in addition, Dr Dubal holds a patent for Methods for Improving Cognition that includes the subject matter of klotho filed by the Regents of the University of California and issued. Dr Yokoyama reports funding for her research from the National Institutes of Health, the Department of Defense, the Rainwater Charitable Foundation, the French Foundation, and philanthropic support for clinical research from the Slavik family and an anonymous donor (as part of the Parkinson's Spectrum Disorders Center).

Additional Contributions: Arturo Moreno, BA, University of California, San Francisco, designed and composed the Figure. He was not compensated for his contributions.

REFERENCES

1. Corder EH, Saunders AM, Strittmatter WJ, et al. Gene dose of apolipoprotein E type 4 allele and the risk of Alzheimer's disease in late onset families. *Science*. 1993;261(5123):921-923. doi:10.1126/science. 8346443

2. Farrer LA, Cupples LA, Haines JL, et al; APOE and Alzheimer Disease Meta-Analysis Consortium. Effects of age, sex, and ethnicity on the association between apolipoprotein E genotype and Alzheimer disease: a meta-analysis. *JAMA*. 1997;278(16): 1349-1356. doi:10.1001/jama.1997.03550160069041

3. Verghese PB, Castellano JM, Holtzman DM. Apolipoprotein E in Alzheimer's disease and other neurological disorders. *Lancet Neurol*. 2011;10(3): 241-252. doi:10.1016/S1474-4422(10)70325-2

4. Caselli RJ, Dueck AC, Osborne D, et al. Longitudinal modeling of age-related memory decline and the APOE epsilon4 effect. *N Engl J Med.* 2009;361(3):255-263. doi:10.1056/NEJMoa0809437

5. Hunter LE, Freudenberg-Hua Y, Davies P, et al. Associations of apolipoprotein E £4 genotype and ball heading with verbal memory in amateur soccer players. *JAMA Neurol*. 2020. doi:10.1001/ jamaneurol.2019.4828 **6**. Shaw P, Lerch JP, Pruessner JC, et al. Cortical morphology in children and adolescents with different apolipoprotein E gene polymorphisms: an observational study. *Lancet Neurol*. 2007;6(6):494-500. doi:10.1016/S1474-4422(07)70106-0

7. Dean DC III, Jerskey BA, Chen K, et al. Brain differences in infants at differential genetic risk for late-onset Alzheimer disease: a cross-sectional imaging study. *JAMA Neurol*. 2014;71(1):11-22. doi: 10.1001/jamaneurol.2013.4544

8. Kurosu H, Yamamoto M, Clark JD, et al. Suppression of aging in mice by the hormone klotho. *Science*. 2005;309(5742):1829-1833. doi: 10.1126/science.1112766

9. Dubal DB, Yokoyama JS, Zhu L, et al. Life extension factor klotho enhances cognition. *Cell Rep.* 2014;7(4):1065-1076. doi:10.1016/j.celrep.2014.03.076

10. Leon J, Moreno AJ, Garay BJ, et al. Peripheral elevation of a klotho fragment enhances brain function and resilience in young, aging, and a-synuclein transgenic mice. *Cell Rep.* 2017;20(6): 1360-1371. doi:10.1016/j.celrep.2017.07.024

11. Dubal DB, Zhu L, Sanchez PE, et al. Life extension factor klotho prevents mortality and

enhances cognition in hAPP transgenic mice. J Neurosci. 2015;35(6):2358-2371. doi:10.1523/ JNEUROSCI.5791-12.2015

12. Yokoyama JS, Marx G, Brown JA, et al. Systemic klotho is associated with *KLOTHO* variation and predicts intrinsic cortical connectivity in healthy human aging. *Brain Imaging Behav.* 2017;11(2):391-400. doi:10.1007/s11682-016-9598-2

13. Arking DE, Krebsova A, Macek M Sr, et al. Association of human aging with a functional variant of klotho. *Proc Natl Acad Sci U S A*. 2002;99 (2):856-861. doi:10.1073/pnas.022484299

14. Yokoyama JS, Sturm VE, Bonham LW, et al. Variation in longevity gene *KLOTHO* is associated with greater cortical volumes. *Ann Clin Transl Neurol*. 2015;2(3):215-230. doi:10.1002/acn3.161

15. Belloy ME, Napolioni V, Han SS, Le Guen Y, Greicius MD. Association of *Klotho*-VS heterozygosity with risk for Alzheimer disease in individuals who carry *APOE4*. *JAMA Neurol*. Published March 30, 2020. doi:10.1001/jamaneurol.2020.0414