

# Mechanical Ventilation with Lower Tidal Volumes and Positive End-expiratory Pressure Prevents Pulmonary Inflammation in Patients without Preexisting Lung Injury

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**Background:** Mechanical ventilation with high tidal volumes aggravates lung injury in patients with acute lung injury or acute respiratory distress syndrome. The authors sought to determine the effects of short-term mechanical ventilation on local inflammatory responses in patients without preexisting lung injury.

**Methods:** Patients scheduled to undergo an elective surgical procedure (lasting  $\geq 5$  h) were randomly assigned to mechanical ventilation with either higher tidal volumes of 12 ml/kg ideal body weight and no positive end-expiratory pressure (PEEP) or lower tidal volumes of 6 ml/kg and 10 cm H<sub>2</sub>O PEEP. After induction of anesthesia and 5 h thereafter, bronchoalveolar lavage fluid and/or blood was investigated for polymorphonuclear cell influx, changes in levels of inflammatory markers, and nucleosomes.

**Results:** Mechanical ventilation with lower tidal volumes and PEEP ( $n = 21$ ) attenuated the increase of pulmonary levels of interleukin (IL)-8, myeloperoxidase, and elastase as seen with higher tidal volumes and no PEEP ( $n = 19$ ). Only for myeloperoxidase, a difference was found between the two ventilation strategies after 5 h of mechanical ventilation ( $P < 0.01$ ). Levels of tumor necrosis factor  $\alpha$ , IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, macrophage inflammatory protein 1 $\alpha$ , and macrophage inflammatory protein 1 $\beta$  in the bronchoalveolar lavage fluid were not affected by mechanical ventilation. Plasma levels of IL-6 and IL-8 increased

with mechanical ventilation, but there were no differences between the two ventilation groups.

**Conclusion:** The use of lower tidal volumes and PEEP may limit pulmonary inflammation in mechanically ventilated patients without preexisting lung injury. The specific contribution of both lower tidal volumes and PEEP on the protective effects of the lung should be further investigated.

MECHANICAL ventilation (MV) may aggravate pulmonary inflammation, which may be a factor in the additional morbidity/mortality associated with nonprotective forms of MV.<sup>1,2</sup> Indeed, MV with lower tidal volumes ( $V_T$ s) has been found to improve survival of patients with acute lung injury or acute respiratory distress syndrome (ARDS).<sup>3</sup> This so-called “ventilator-associated lung injury” can be characterized by local attraction of inflammatory cells, which produce inflammatory mediators. These locally produced mediators can subsequently disseminate into the systemic compartment. Ranieri *et al.*<sup>4</sup> demonstrated a reduction in bronchoalveolar lavage fluid (BALF) number of polymorphonuclear cells and proinflammatory mediators with a lung-protective MV strategy as compared with conventional MV in patients with ARDS. In addition, lung-protective MV attenuated systemic levels of inflammatory mediators,<sup>3,4</sup> which may be of importance for clinical outcome because higher systemic levels of these mediators were associated with higher multiorgan failure scores.<sup>5</sup> Furthermore, it has been shown in experimental studies that lung-protective MV limits end-organ epithelial cell apoptosis, protecting organ function during MV.<sup>6,7</sup>

Whether MV *per se* initiates pulmonary inflammation is an ongoing debate. Although previous studies in animals demonstrated that MV with higher  $V_T$  causes pulmonary inflammation and functional injury,<sup>8–10</sup> the clinical implications of these studies are unclear because  $V_T$ s in these studies were unphysiologically large. Using a more physiologic  $V_T$  (10 ml/kg) and no PEEP (zero end-expiratory pressure [ZEEP]) demonstrated that MV for 6 h can induce a proinflammatory reaction in noninjured lungs.<sup>11</sup> Even MV for 1 h with lower  $V_T$ s (6 ml/kg) and ZEEP resulted in a proinflammatory and profibrogenic response in normal rats.<sup>12</sup> Deleterious effects of higher  $V_T$  in patients without preexisting lung injury, however, have been suggested by retrospective studies.<sup>13–15</sup> Fernandez *et al.* demonstrated that higher intraoperative  $V_T$ s are more associated with respiratory failure after pneumonectomy.<sup>15</sup> Protective MV with lower  $V_T$ s and PEEP during esophagectomy resulted in a decrease in systemic proinflammatory response, im-

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proved lung function, and earlier extubation.<sup>16</sup> Higher  $V_T$  in a surgical intensive care unit was associated with more pulmonary infection, longer duration of intubation, and longer duration of stay in the intensive care unit as compared with lower  $V_T$ .<sup>17</sup>

The purpose of this study was to investigate the effects of short-term (*i.e.*, for 5 h) MV on pulmonary inflammation and apoptosis. A randomized controlled trial was performed comparing two different MV strategies in patients without preexisting lung injury who were scheduled to undergo a major surgical procedure.

## Materials and Methods

This study represents a part of a large study. Another part has already been published.<sup>18</sup>

### Patients

The study protocol was approved by the Medical Ethics Committee of the University of Amsterdam, and informed consent was obtained from all patients. Adult patients were eligible if scheduled to undergo a surgical procedure of 5 h or longer and all involved physicians (surgeon, anesthesiologist, pulmonologist) consented with the study procedures. Exclusion criteria included a history of any lung disease, use of immunosuppressive medication, recent infections, previous thromboembolic disease, recent ventilatory support, and participation in another clinical trial.

### Study Protocol

All patients received routine anesthesia according to the local protocol, including intravenous propofol (2–3 mg/kg, thereafter  $6\text{--}12\text{ mg} \cdot \text{kg}^{-1} \cdot \text{h}^{-1}$ ), fentanyl (2–3  $\mu\text{g/kg}$ , thereafter as required), and rocuronium (as required), and epidural bupivacaine (0.125%)–fentanyl (2.5  $\mu\text{g/ml}$ ). The ventilatory protocol consisted of volume-controlled MV, at an inspired oxygen fraction of 0.40, inspiratory-to-expiratory ratio of 1:2, and a respiratory rate adjusted to achieve normocapnia. Randomization was performed by drawing a presealed envelope; patients were randomly assigned to MV with either  $V_T$ s of 12 ml/kg ideal body weight (high  $V_T$  [HV $_T$ ]) and ZEEP or 6 ml/kg (low  $V_T$  [LV $_T$ ]) and 10 cm H $_2$ O PEEP. The ideal body weight of male patients was calculated as equal to  $50 + 0.91$  (centimeters of height – 152.4); that of female patients was calculated as  $45.5 + 0.91$  (centimeters of height – 152.4).<sup>3</sup> Anesthesiologists were allowed to change the ventilation protocol at any time point upon surgeon's request or if there was any concern for the patient's safety. If the surgical procedure exceeded 5 h, anesthesiologists were allowed to change the ventilation strategy after the second sampling (blood and bronchoalveolar lavage).

Bronchoscopy and bronchoalveolar lavage were performed twice on all patients: the first directly after induc-

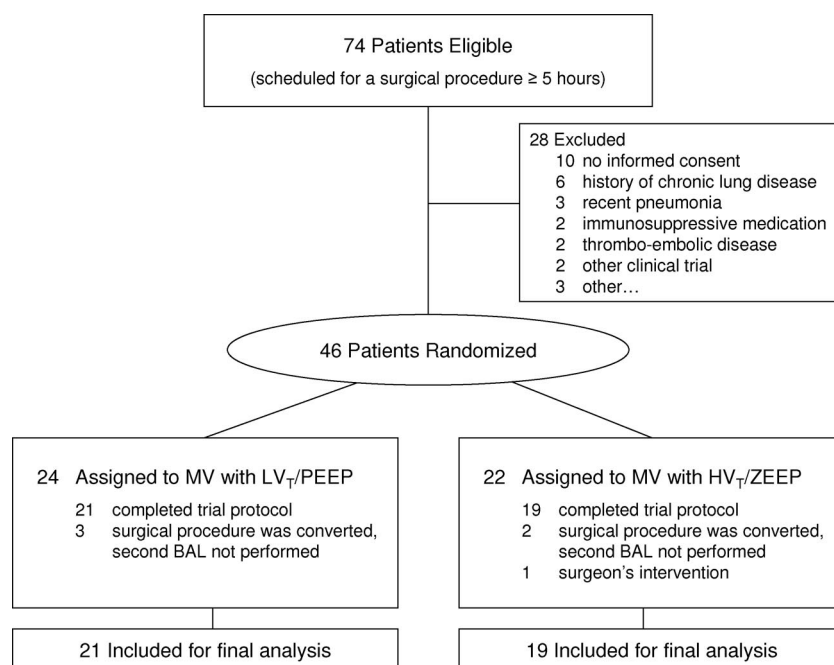
tion of anesthesia and start of MV in the right middle lobe or lingula, and the second performed in the contralateral lung 5 h thereafter, either perioperatively or directly postoperatively. BALF was obtained and processed as previously described.<sup>19–21</sup> In short, bronchoalveolar lavage was performed by an experienced pulmonologist in a standardized fashion according to the guidelines of the American Thoracic Society, using a flexible fiberoptic video-bronchoscope. Seven successive 20-ml aliquots of prewarmed saline were instilled and aspirated immediately with low suction (recovery,  $71 \pm 18.4$  ml). Arterial blood samples were drawn before both lavages, and hourly blood gas analyses were performed. Cell-free supernatants from BALF and blood were stored at  $-80^\circ\text{C}$  until analysis. BALF cells were resuspended in ice-cold phosphate-buffered saline. The resuspended cells were partially used for absolute cell counts (using a Bürker-Türk hemocytometer; Emergo, Landsmeer, The Netherlands) and Giemsa-stained cytospin preparation for differential counting.

### Assays

Myeloperoxidase was determined by enzyme-linked immunosorbent assay.<sup>22</sup> BALF levels of human neutrophil elastase were assessed with a sandwich-type enzyme-linked immunosorbent assay (Hycult Biotechnology, Uden, The Netherlands). The detection limit of the assay was 4.0 ng/ml. Tumor necrosis factor (TNF)- $\alpha$ , interleukin (IL)-1 $\alpha$ , IL-6, IL-8, macrophage inflammatory protein 1 $\alpha$ , and macrophage inflammatory protein 1 $\beta$  were measured by enzyme-linked immunosorbent assay (TNF- $\alpha$ , IL-6, IL-8, Sanquin, Amsterdam, The Netherlands; IL-1 $\alpha$ , macrophage inflammatory protein 1 $\alpha$ , macrophage inflammatory protein 1 $\beta$ , R&D Systems, Minneapolis, MN). Nucleosomes were measured by enzyme-linked immunosorbent assay as described previously with slight modifications.<sup>23</sup> One unit was arbitrarily set at the amount of nucleosomes released by 100 Jurkat cells. Detection limit of the assay is 0.1 U/ml. Nucleosomes are generated by internucleosomal cleavage of chromatin, during apoptotic cell death. We used the release of nucleosomes as measurement for apoptotic cell death.

### Statistical Analysis

Baseline characteristics of the randomized patient groups were compared with the Student *t* test, Mann-Whitney U test, or chi-square test as appropriate. Linear mixed model analysis was used to detect differences between respiratory variables. This type of analysis takes the association between values for individual patients measured at each time point into account. This implies a maximum of six time points per patient. The fixed effects were hour of MV (0–5) and MV group (LV $_T$ /PEEP or HV $_T$ /ZEEP). Data obtained with linear mixed model analysis are presented as mean and 95% confidence interval (CI). All measured inflammatory mediators were not normally distributed. Differences within groups were analyzed with a Wilcoxon signed-rank test for paired sam-



**Fig. 1. Consolidated Standards of Reporting Trials (CONSORT) diagram.** BAL = bronchoalveolar lavage; HV<sub>T</sub>/ZEEP = tidal volumes of 12 ml/kg ideal body weight and no positive end-expiratory pressure; LV<sub>T</sub>/PEEP = tidal volumes of 6 ml/kg ideal body weight and 10 cm H<sub>2</sub>O positive end-expiratory pressure; MV = mechanical ventilation.

ples comparing  $t = 5$  versus  $t = 0$  h. The Mann-Whitney U test was used to compare the changes over time between the two randomization groups. We corrected for multiple testing using the Benjamini-Hochberg false discovery rate adjustment.<sup>24</sup> A  $P$  value of less than 0.05 was considered statistically significant. All statistical analyses were performed with Statistical Package for the Social Sciences 12.0.2 (SPSS, Chicago, IL).

## Results

### Patients

Seventy-four consecutive patients who were scheduled to undergo an elective surgical procedure of 5 h or more were screened (fig. 1). Twenty-eight patients were excluded, leaving 46 patients for randomization. Five patients were randomized but excluded from final analysis, because the initial surgical procedure was converted by the surgeon into another shorter operation ( $< 3$  h), and only one bronchoalveolar lavage was performed. One patient was randomized, but no lavages were performed upon the surgeon's request after induction of anesthesia. In total, 40 patients completed the study protocol. There were no major differences between the two randomization groups with regard to baseline characteristics (table 1). Besides the mechanical ventilator settings ( $V_T$ , PEEP, and respiratory rate), there were significant differences in partial pressure of carbon dioxide and pH between the two MV strategies. Partial pressure of carbon dioxide was 5.60 (95% CI, 5.35–5.84) in the LV<sub>T</sub>/PEEP group as compared with 4.86 (95% CI, 4.61–5.12) in the HV<sub>T</sub>/ZEEP group ( $P < 0.001$ ). Accordingly, pH was significantly lower in the LV<sub>T</sub>/PEEP group (7.36; 95% CI, 7.34–7.38) as compared with the HV<sub>T</sub>/

ZEEP group (7.40; 95% CI, 7.39–7.42;  $P < 0.001$ ). Maximum airway pressures were not different between the study groups during 5 h of MV (fig. 2). Perioperative hemodynamic parameters, including number of patients being transfused and the number of transfusions (erythrocytes and plasma) (table 2) were not different between the two ventilation groups.

**Table 1. Baseline Characteristics of Patients**

	LV <sub>T</sub> /PEEP (n = 21)	HV <sub>T</sub> /ZEEP (n = 19)
Age, mean $\pm$ SD, yr	62 $\pm$ 9.8	61 $\pm$ 9.5
Male, n (%)	14 (67)	14 (74)
ASA, median (range)	II (I–V)	II (I–III)
Height, mean $\pm$ SD, cm	176 $\pm$ 8.7	174 $\pm$ 10.0
Weight, mean $\pm$ SD, kg	79 $\pm$ 14.4	76 $\pm$ 13.7
Tobacco use, n (%)	9 (43)	6 (32)
Surgical procedure	5 Whipple procedure* 5 Laparoscopic radical prostatectomy 6 Hemihpatectomy 2 Retroperitoneal tumor resection 2 Total pancreatectomy 1 Open prostatectomy†	8 Whipple procedure* 7 Laparoscopic radical prostatectomy 3 Hemihpatectomy 1 Colon conduit

\* The Whipple procedure is a pancreaticoduodenectomy. † The open prostatectomy was performed after an initial laparoscopic approach.

ASA = American Society of Anesthesiologists (physical status); HV<sub>T</sub>/ZEEP = higher tidal volumes/zero end-expiratory pressure; LV<sub>T</sub>/PEEP = lower tidal volumes/positive end-expiratory pressure.

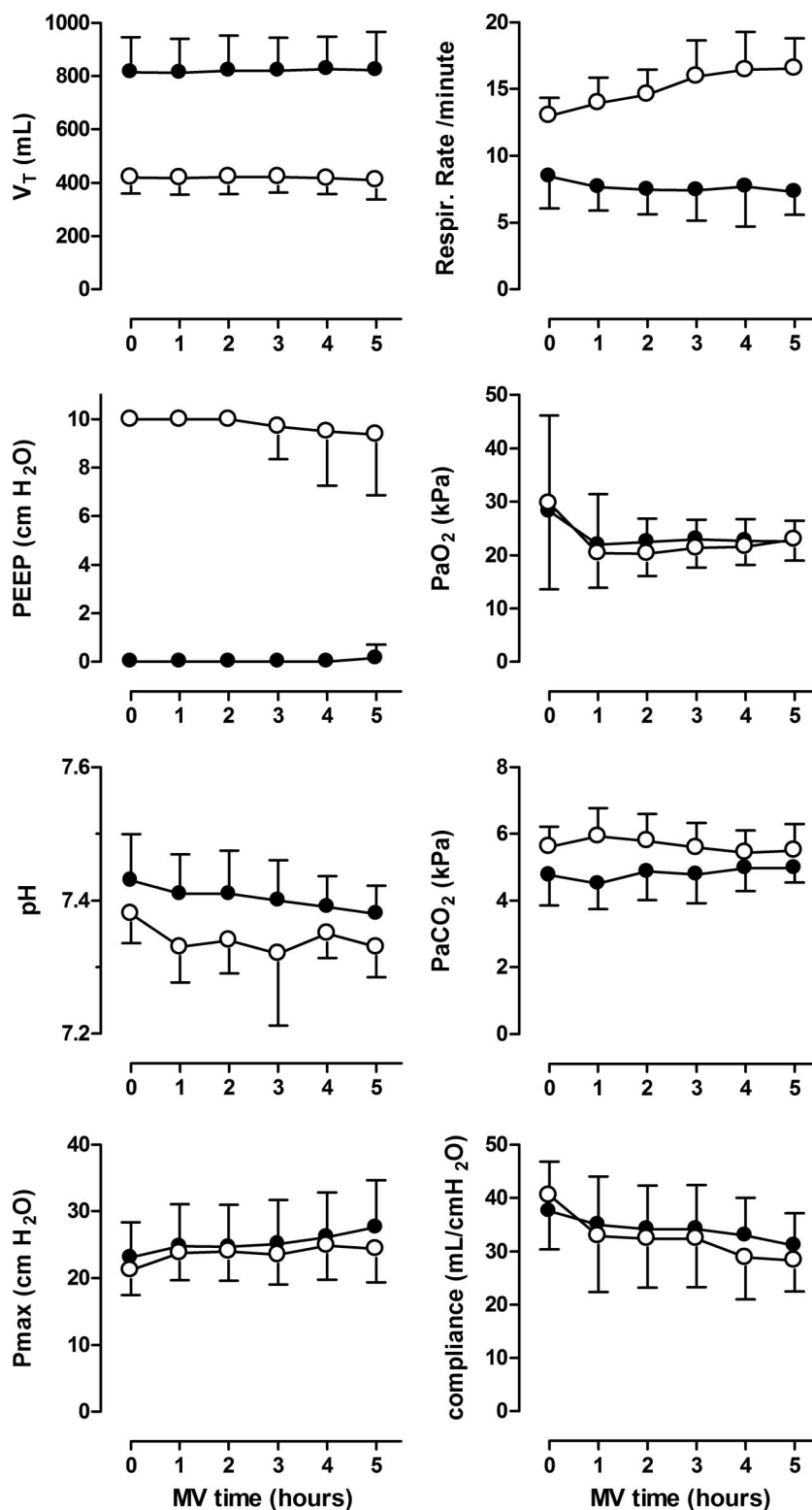


Fig. 2. Respiratory variables. Tidal volume ( $V_T$ ), respiratory rate (respir. rate), positive end-expiratory pressure (PEEP), maximal pressure (Pmax), compliance, and arterial blood gas analyses in patients ventilated with lower tidal volumes and 10 cm H<sub>2</sub>O PEEP (open symbols,  $n = 21$ ) and patients ventilated with higher tidal volumes and no PEEP (closed symbols,  $n = 19$ ). Data are mean  $\pm$  SD. 1 kPa = 7.5 mmHg. MV = mechanical ventilation; PaCO<sub>2</sub> = partial pressure of arterial carbon dioxide; PaO<sub>2</sub> = partial pressure of arterial oxygen.

#### Cellular Composition of BALF, Myeloperoxidase, and Elastase in BALF

Ninety-nine percent of the cells from the BALF were macrophages. MV did not alter cell content, and no differences in neutrophil influx were found between groups. Myeloperoxidase and elastase levels in BALF,

however, were significantly higher after 5 h of MV with higher  $V_T$ s and ZEEP as compared with baseline levels. Median myeloperoxidase levels increased from 2.80 [interquartile range, 0.0–7.80] to 8.80 [2.35–25.0] ng/ml ( $P = 0.009$ ) and elastase levels increased from 7.10 [1.60–14.5] to 17.4 [5.70–21.2] ng/ml in the HV<sub>T</sub>/ZEEP



Table 2. Perioperative Parameters

	LV <sub>T</sub> /PEEP (n = 21)	HV <sub>T</sub> /ZEEP (n = 19)
MV duration, mean ± SD, min	304 ± 35	308 ± 52
Blood loss, median [IQR], ml	1,550 [800–2,325]	1,000 [463–1,675]
Number of patients receiving erythrocytes (%)	7 (33.3)	5 (26.3)
Transfused erythrocytes, median [IQR], units	0 [0–1.5]	0 [0–1]
Number of patients receiving plasma (%)	3 (14.3)	0 (0)
Transfused plasma, median [IQR], units	0 [0–0]	0 [0–0]
Colloids, median [IQR], l	0.5 [0.5–1.5]	0.5 [0.5–1.5]
Crystalloids, median [IQR], l	4.5 [2.75–5.75]	4.0 [2.5–5.5]
Lowest hemoglobin, mean ± SD, mm*	6.0 ± 1.2	6.2 ± 1.0
Lowest SBP, mean ± SD, mmHg	82 ± 9.6	87 ± 14.9

\* Hemoglobin, 1 mm = 1.61 g/dl.

HV<sub>T</sub>/ZEEP = higher tidal volumes/zero end-expiratory pressure; IQR = interquartile range; LV<sub>T</sub>/PEEP = lower tidal volumes/positive end-expiratory pressure; MV = mechanical ventilation; SBP = systolic blood pressure.

group ( $P = 0.013$ ). No increase in myeloperoxidase and elastase levels was observed with the use of lower V<sub>T</sub>s and PEEP (fig. 3). Only for myeloperoxidase was there a statistically significant difference between the two ventilation strategies ( $P = 0.004$ ).

#### Protein Levels of Inflammatory Mediators in BALF and Plasma

Mechanical ventilation minimally influenced cytokine and chemokine levels in BALF (fig. 4). BALF levels of TNF- $\alpha$  and IL-8 were influenced by the way patients were ventilated. TNF- $\alpha$  increased in the LV<sub>T</sub>/PEEP group ( $P = 0.028$ ), whereas IL-8 increased in the HV<sub>T</sub>/ZEEP group ( $P = 0.015$ ) after 5 h of MV. Plasma levels of IL-6 and IL-8 did significantly increase during the surgical procedure, but this increase in cytokine generation was similar in both groups (fig. 5).

#### Nucleosome Levels in BALF and Plasma

Mechanical ventilation with higher V<sub>T</sub>s and ZEEP caused an increase in BALF nucleosomes as compared with lower V<sub>T</sub>s and 10 cm H<sub>2</sub>O PEEP ( $P = 0.028$ ; fig. 6). There was also a statistically significant difference between the two ventilation strategies ( $P = 0.043$ ). In plasma, nucleosome levels were equally increased in both groups.

#### Postoperative Complications and Clinical Outcome

In the postoperative recovery, 28 patients had follow-up chest radiographs. There were no differences in postoperative arterial blood gas analysis (HV<sub>T</sub>/ZEEP *vs.* LV<sub>T</sub>/PEEP): partial pressure of oxygen,  $117 \pm 42$  *versus*  $123 \pm 53$  mmHg; partial pressure of carbon dioxide,  $43 \pm 5$  *versus*  $42 \pm 5$  mmHg; and pH,  $7.36 \pm 0.053$  *versus*  $7.34 \pm 0.051$ . There were no differences in the incidence of pulmonary complications (*e.g.*, acute lung injury, pneumonia) between the two study groups; in each study group, there was one patient requiring prolonged MV for respiratory failure after surgery. One patient ventilated with LV<sub>T</sub>/PEEP died postoperatively of multiple organ failure after complicated hemihepatectomy. All other patients were discharged home.

#### Multiple Testing

Every measured mediator was tested three times (differences within groups comparing  $t = 5$  *vs.*  $t = 0$  and changes between randomization groups). Because this approach serves to inflate type I error, we corrected for multiple testing. As a consequence, three  $P$  values were no longer significant ( $P > 0.05$ ). There was only a trend for higher levels of BALF nucleosomes in the HV<sub>T</sub>/ZEEP group after 5 h of MV ( $P = 0.084$ ). There was no statistical significant difference between the two MV

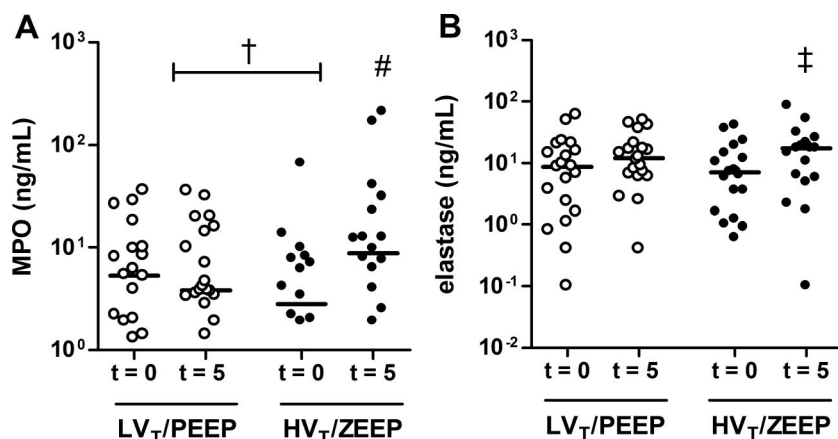


Fig. 3. Myeloperoxidase (MPO; A) and elastase (B) in bronchoalveolar lavage fluid recovered at baseline ( $t = 0$ ) and after 5 h ( $t = 5$ ) from patients mechanically ventilated with 6 ml/kg and 10 cm H<sub>2</sub>O positive end-expiratory pressure (LV<sub>T</sub>/PEEP; open symbols) or with 12 ml/kg and zero end-expiratory pressure (HV<sub>T</sub>/ZEEP; closed symbols). Horizontal lines represent median values. Wilcoxon signed-rank test: #  $P < 0.01$  *versus*  $t = 0$ . ‡  $P < 0.05$  *versus*  $t = 0$ . Mann-Whitney U test: †  $P < 0.01$  between groups.

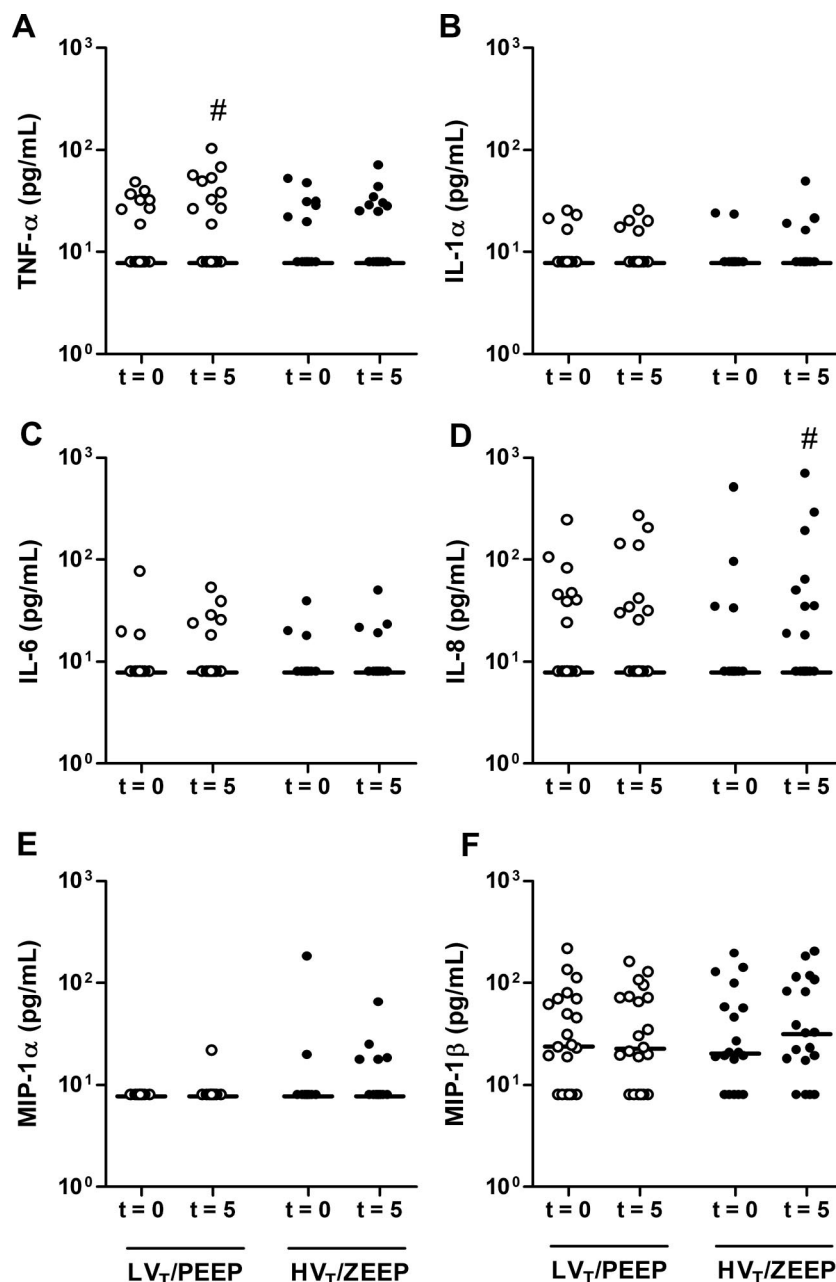


Fig. 4. Tumor necrosis factor (TNF)- $\alpha$  (A), interleukin (IL)-1 $\alpha$  (B), IL-6 (C), IL-8 (D), macrophage inflammatory protein (MIP)-1 $\alpha$  (E), and MIP-1 $\beta$  (F) in bronchoalveolar lavage fluid recovered at baseline (t = 0) and after 5 h (t = 5) from patients mechanically ventilated with 6 ml/kg and 10 cm H<sub>2</sub>O positive end-expiratory pressure (LV<sub>T</sub>/PEEP; open symbols) or with 12 ml/kg and zero end-expiratory pressure (HV<sub>T</sub>/ZEEP; closed symbols). For all data points below the detection limit, the data point was given an arbitrary value of 7.8 pg/ml. Horizontal lines represent median values. Wilcoxon signed-rank test: #  $P < 0.05$  versus t = 0.

strategies, regarding nucleosome levels in the BALF ( $P = 0.12$ ). Also, the level of TNF- $\alpha$  in the LV<sub>T</sub>/PEEP group was not significantly increased after 5 h of MV ( $P = 0.084$ ).

## Discussion

In the current study, we demonstrate that short-term MV is associated with significant inflammatory changes in the pulmonary compartment and that a lung-protective strategy attenuates these changes. Based on our findings, it seems that MV is a proinflammatory stimulus in noninjured lungs.

Myeloperoxidase (and also elastase) in the BALF is higher after 5 h of MV with higher V<sub>T</sub>s and ZEEP as compared with baseline levels. No increase in myeloperoxidase and elastase was seen after 5 h of MV with lower V<sub>T</sub>s and PEEP. This implies activation of polymorphonuclear cells, which were recruited to the pulmonary compartment or already present there. Higher concentrations of IL-8 in the BALF of patients ventilated with higher V<sub>T</sub>s and ZEEP support the first idea. However, in the differential cell count, we do not see an increase in neutrophils, which can be explained by the fact that the concentration of IL-8 in the plasma is very high, and thus there is a chemotactic gradient not favoring migration of neutrophils into the lung. Another possibility is that the

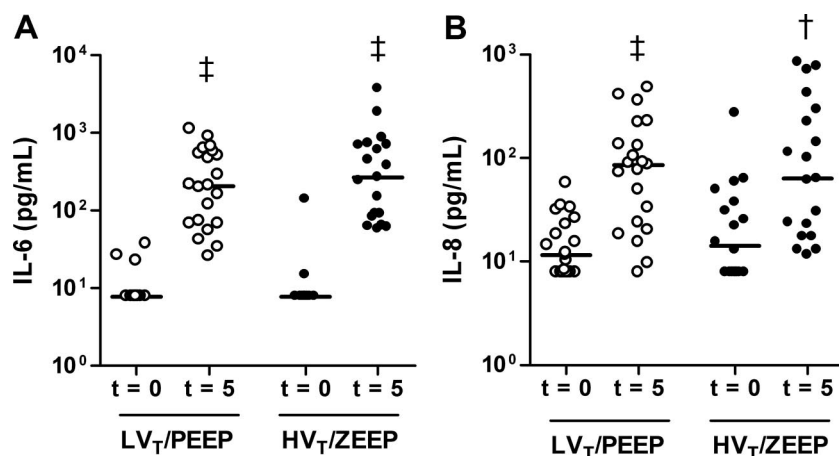


Fig. 5. Plasma interleukin (IL)-6 (A) and IL-8 (B) recovered at baseline ( $t = 0$ ) and after 5 h ( $t = 5$ ) from patients mechanically ventilated with 6 ml/kg and 10 cm H<sub>2</sub>O positive end-expiratory pressure (LV<sub>T</sub>/PEEP; open symbols) or with 12 ml/kg and zero end-expiratory pressure (HV<sub>T</sub>/ZEEP; closed symbols). Horizontal lines represent median values. Wilcoxon signed-rank test: †  $P = 0.001$ , ‡  $P < 0.001$  versus  $t = 0$ .

neutrophils remained in the subepithelium and did not migrate further into the alveoli. Neutrophil count in the BALF is a well-established method to observe neutrophil influx into the lung. However, neutrophils can accumulate in alveolar septa after MV.<sup>25</sup> A practical limitation was that we did not have reliable methods to obtain and isolate viable lung epithelial cells from our patients, and we could not investigate them in more detail. From a scientific point of view, it would also have been interesting to have obtained lung tissue for specific staining and identification of apoptotic cells. However, we have not performed these assays, because we thought that many patients would not consent to more invasive procedures perioperatively or postoperatively.

For all other measured inflammatory protein levels in BALF, there were no differences between the groups. It should be noted that a period of 5 h is probably too short to detect differences in certain protein levels due to modified transcriptional and translational processes. We hypothesize that most inflammatory mediators measured in BALF were made in alveolar macrophages and lung epithelial cells and released upon stimulation.<sup>26,27</sup>

Furthermore, we have shown that there is a trend for higher BALF levels of nucleosomes after 5 h of MV with higher V<sub>T</sub> ventilation and ZEEP as compared with base-

line levels. During apoptotic cell death, nucleosomes are generated by internucleosomal cleavage of chromatin. The nucleosomes are then packed in apoptotic blebs along with other nuclear components. We used the release of nucleosomes as a measurement for apoptotic cell death. The rapid increase in BALF nucleosomes (*i.e.*, within hours after initiation of MV) most likely reflects apoptosis of pneumocytes. As far as we know, this is the first study showing an association between MV and alveolar apoptosis in humans. *In vitro* experiments have shown that mechanical strain induces proapoptotic changes in human lung epithelial cells.<sup>27,28</sup> Furthermore, *in vivo* animal experiments have shown that impairment of apoptosis pathways limited pulmonary inflammation and lung injury, and also protected against multiple organ failure and death.<sup>6,7</sup> Therefore, it has been proposed that intraalveolar apoptosis is a potentially harmful process that could be targeted in the treatment of (ventilator-associated) lung injury.<sup>29</sup> On the other hand, apoptosis may be a pivotal process involved in alveolar repair mechanisms. More research is needed before clinical application of antiapoptotic strategies.

Both surgical stimuli and general anesthesia are associated with increased plasma levels of proinflammatory markers.<sup>30,31</sup> In the current study, we extended these

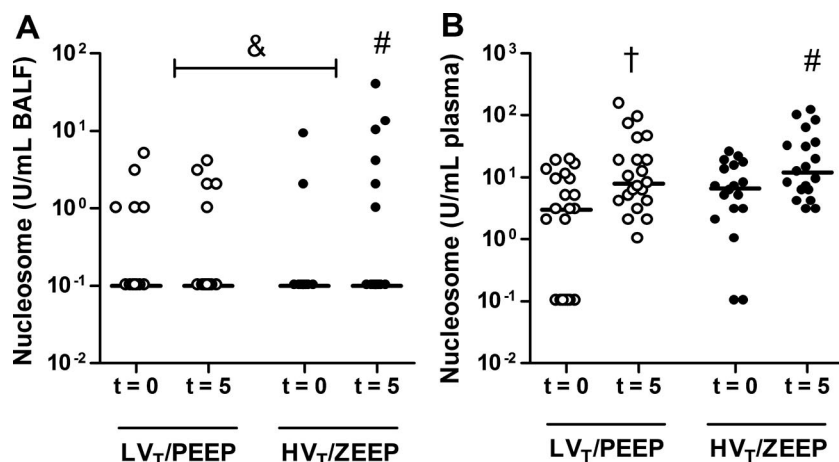


Fig. 6. Nucleosome levels in bronchoalveolar lavage fluid (BALF; A) and plasma (B) recovered at baseline ( $t = 0$ ) and after 5 h ( $t = 5$ ) from patients mechanically ventilated with 6 ml/kg and 10 cm H<sub>2</sub>O positive end-expiratory pressure (LV<sub>T</sub>/PEEP; open symbols) or with 12 ml/kg and zero end-expiratory pressure (HV<sub>T</sub>/ZEEP; closed symbols). Horizontal lines represent median values. Wilcoxon signed-rank test: †  $P < 0.01$ , #  $P < 0.05$  versus  $t = 0$ . Mann-Whitney U test: &  $P < 0.05$  between groups.

findings by showing higher concentrations of IL-6 and IL-8 after 5 h of MV in both ventilation strategies. In patients with acute lung injury, systemic cytokine concentrations increase after initiating MV with low PEEP and higher  $V_T$ .<sup>32</sup> We hypothesize, however, that in patients with noninjured lungs, there is no translocation of inflammatory mediators because much higher levels of inflammatory mediators in the systemic compartment were found as compared with the pulmonary compartment.

One limitation of our study is that our study protocol does not allow us to differentiate the effects of lower  $V_T$ s from those by higher PEEP levels. We chose to combine lower  $V_T$ s with PEEP and higher  $V_T$ s with no PEEP, because these settings result in similar maximum airway pressures. Recent studies in open chest rabbits demonstrated that MV with  $V_T$ s of 8–12 ml/kg and ZEEP may cause permanent mechanical alterations and histologic damage to peripheral airways and inflammation in non-injured lungs.<sup>25,33</sup> Surfactant inactivation or depletion seems to play a major role during ventilation with  $V_T$ s of 10 ml/kg and ZEEP.<sup>34</sup> Another animal study demonstrated that atelectasis caused increased alveolar–capillary protein leakage and disruption of the vascular endothelium, possibly *via* shear stress.<sup>35</sup> During general anesthesia, atelectasis is potentiated by anesthesia and muscle relaxants altering diaphragmatic position. Also, tidal airway closure can occur and cause peripheral airway injury. This may be a common but unrecognized complication in patients undergoing general anesthesia.<sup>36</sup> Cyclic opening and closing from ZEEP leads to greater increases in bronchoalveolar lavage cytokines than atelectasis.<sup>37</sup> Therefore, patients ventilated with ZEEP in our study could have gross atelectasis and peripheral airway injury, caused by tidal airway closure. Of note, no recruitment maneuver was performed in either MV strategies.

Our data are different from those from previous studies in which MV strategies were investigated in patients with noninjured lungs undergoing surgery. Indeed, Wrigge *et al.*<sup>38</sup> demonstrated that MV with  $V_T$  of 15 ml/kg ideal body weight and ZEEP for 1 h caused no consistent changes in plasma levels of measured cytokines. In a study of patients undergoing thoracic or abdominal surgery, no differences in inflammatory responses were found between two ventilation strategies similar to the ones used in our study after MV for 3 h.<sup>39</sup> These studies, however, looked at inflammatory mediators only after 1 and 3 h of MV, respectively. In other studies in which MV during or after cardiopulmonary bypass surgery was investigated, increased levels of proinflammatory mediators were reported, but not consistently.<sup>40–43</sup> Wrigge *et al.*<sup>40</sup> showed that ventilation for 6 h with lower  $V_T$  (6 ml/kg ideal body weight) had no or only minor effect on systemic and pulmonary inflammatory responses in patients after cardiopulmonary bypass surgery as compared with higher  $V_T$  (12 ml/kg). Only TNF- $\alpha$  levels in the BALF were significantly higher in the high  $V_T$  group than in the low  $V_T$  group.

Koner *et al.*<sup>43</sup> investigated different ventilation strategies during cardiopulmonary bypass and did not find any changes in systemic cytokine levels, postoperative pulmonary function, or duration of hospitalization with either MV strategy. Unfortunately, no pulmonary cytokine levels were measured in that study. In contrast, two other studies did find a difference between different ventilation strategies in patients undergoing cardiopulmonary bypass.<sup>41,42</sup>

Considering the minor differences in pulmonary inflammatory mediators caused by the two different ventilation strategies in patients during general anesthesia, it seems that the inflammatory response plays a minor role. From experimental studies, it is known that the inflammatory response occurs after 4–6 h or the damage being mainly mechanical without any relevant inflammatory response.<sup>8,11,44</sup> MV with moderate  $V_T$  and ZEEP can cause mechanical injury with alveolar–bronchiolar uncoupling.<sup>25</sup> Therefore, in our patient group, there may be lung injury in the absence of a relevant inflammatory response.

The inflammatory changes observed in healthy lungs are mere physiologic adaptations to the artificial process of MV. However, we propose that lung injury is induced by a “multiple-hit” model, whereby predisposing conditions, such as injurious MV or major surgery, may result in (weak) pulmonary inflammation. Possible second hits, such as transfusion of blood products which may cause transfusion-related acute lung injury, prolonged (injurious) MV, aspiration, shock, sepsis, and pulmonary infection, may all cause additional lung injury, finally resulting in full-blown ARDS with high morbidity and mortality. There is indeed clinical evidence supporting this multiple-hit hypothesis. High  $V_T$  ventilation was independently associated with development of ARDS in patients who did not have ARDS at the onset of MV in the intensive care unit.<sup>13,14</sup> During MV of pneumonectomy patients, higher intraoperative  $V_T$ s were identified as a risk factor of postoperative respiratory failure.<sup>15</sup> Furthermore, postoperative patients who were ventilated with a lower  $V_T$  strategy had a lower risk of pulmonary infection, and duration of intubation and duration of stay tended to be shorter.<sup>17</sup> Therefore, we would like to encourage the use of lower  $V_T$ s and PEEP according to the principle of *primum non nocere*: Ventilator-associated lung injury can be limited. However, our results do not imply that these two different ventilation strategies can lead to different postoperative complications.

Of course, the aforementioned studies, including ours, have investigated patients who underwent major surgery. Inflammatory effects of the surgical procedure itself could not be excluded but are equal in both groups. However, investigating the effects of MV in healthy humans would lack any clinical significance. Similar results are probably not reproducible if the duration of MV was less than 5 h. Also, the type of surgery could have affected the variables investigated. We do realize that further studies are needed to elucidate the effects of prolonged MV.



In conclusion, MV for 5 h with lower  $V_T$ s and PEEP may limit pulmonary proinflammatory changes in patients with noninjured lungs during major surgery. Even during a relatively brief period of MV, patients will most likely benefit from lower  $V_T$ s and PEEP. The specific contribution of both lower  $V_T$ s and PEEP on the protective effects of the lung should be further investigated.

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