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An intensivist led tracheostomy review team is associated with shorter decannulation time and length of stay: a prospective cohort study

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## Abstract

**Introduction:** Without specific strategies to address tracheostomy care on the wards, patients discharged from the intensive care unit (ICU) with a tracheostomy may receive suboptimal care. We formed an intensivist led multidisciplinary team to oversee ward management of such patients. To evaluate the service, we compared outcomes for the first 3 years of the service with those in the year preceding the service.

**Methods:** Data were prospectively collected over 3 years on ICU patients not under the care of the ear, nose and throat (ENT) unit who were discharged to the ward with a tracheostomy and compared with outcomes in the year preceding the introduction of the service. Principle outcomes were decannulation time, length of stay after ICU discharge and stay less than 43 days (upper trim point for the disease-related group (DRG) for tracheostomy). Analysis included trend by year and multivariable analysis using a Cox proportional hazards model. P-values <0.05 were assumed to indicate statistical significance. As a quality assurance project, ethics approval was not required.

**Results:** 280 patients were discharged with a tracheostomy over a 4 year period, 41 in 2003, 60 in 2004, 95 in 2005 and 84 in 2006. Mean age was 61.8 (13.1) years, 176 (62.9%) were male and mean APACHE II score was 20.4(6.4). Length of stay after ICU decreased over time [30(13-52) v 19(10-34) days p<0.05 for trend] and a higher proportion of decannulated patients

were discharged under the upper DRG trim point of 43 days [48% v 66%,  $p<0.05$ ]. Time to decannulation after ICU discharge decreased [14(7-31) v 7(3-17) days,  $p<0.01$  for trend]. Multivariate analysis showed that the hazard for decannulation increased by 24(3-49)% per year.

Conclusion: An intensivist led tracheostomy team is associated with shorter decannulation time and length of stay that may result in financial savings for institutions.

## Introduction

Tracheostomy in the intensive care unit is increasingly utilised as a means to speed weaning from mechanical ventilation and to provide a safe airway[1]. Tracheostomy allows earlier discharge of patients from the intensive care so allowing better management of limited intensive care resources[2, 3] and may be associated with reduced mortality[4, 5]. The advent of percutaneous tracheostomy has meant that surgical teams are increasingly divorced from the tracheostomy management of intensive care patients[1, 6]. As a result, patients may be discharged to the wards with tracheostomies but without links to surgical teams who traditionally managed ward tracheostomies. Without specific strategies to address tracheostomy care on the wards, such patients may potentially receive suboptimal care. Clec'h et al reported that ICU patients who received tracheostomies and were sent to the ward from ICU with a tracheostomy in situ had significantly higher odds of death than those patients decannulated in ICU prior to discharge[7]. Poor tracheostomy care on the wards was one explanation suggested for this difference.

At our institution prior to 2004, physiotherapists and speech pathologists oversaw tracheostomy weaning of all patients not under the ENT unit bedcard with ad hoc input from doctors. Specialist input from the intensive care or the ENT service was on an individual case referral basis and as a result specialist input was inconsistent and often delayed. Review of outcomes for such patients in the intensive care mortality and morbidity meetings noted that there were numerous medical emergency team (MET) calls for hypoxia and

“threatened airway” amongst ICU patients discharged to the ward with a tracheostomy. On review it was felt that one patient had died due to occlusion of his tracheostomy and that this may have been preventable. This led to the formation of an intensivist led multidisciplinary team to oversee the management of all patients discharged to the ward from the intensive care with a tracheostomy in situ who were not under the ENT bed card.

At the initiation of the service, a database was formed to prospectively collect information on outcomes felt to be relevant for demonstrating the impact of the team on patient care. Our a priori hypothesis was that tracheostomy care provided by an intensivist led multidisciplinary team would shorten decannulation time and reduce post ICU hospital length of stay compared with the old model of ad hoc tracheostomy care. This paper reports on these outcomes for the first three years of the service as well as baseline data from the year prior to the service’s inception.

## Materials and Methods

St Vincent’s Hospital Melbourne is a 400-bed tertiary referral hospital associated with the University of Melbourne, Australia. There is a single intensive care unit in the hospital and it receives 1100 to 1200 admissions per year of which approximately 40% are cardiac surgical cases. There are 10 general beds and 2 cardiac surgical beds and the median and average length of stay are 26.5(19.5-70.5) hours and 69.6 (105.1) hours respectively. All tracheostomy patients discharged from the ICU alive who were not under the

ENT unit's care were followed up on the wards by the multidisciplinary tracheostomy review team.

The team consists of an intensivist, ICU liaison nurse, a physiotherapist, a speech pathologist and a dietician. Twice weekly ward rounds are performed to review patients and to plan and oversee an individualised tracheostomy weaning programme. A bedside assessment is made of the patient's ability to tolerate cuff deflation, upper airway patency and speech, cough and oxygen requirements. From this an individualised plan for cuff deflation trials, use of speaking valves and swallowing assessments are made. In addition a bed area check is made to ensure that humidifiers and suction are set up correctly and working and that spare tracheostomy tubes of the same size and one size smaller and tracheal dilators are at the bedside.

Patients are decannulated when they are tolerating 24-hour cuff deflation, have a patent upper airway (as demonstrated by speech with a Passey-Muir valve or an ability to tolerate tracheostomy tube occlusion) and are able to clear respiratory secretions via the mouth without a need for suctioning.

These general criteria are adjusted according to specific patient situations and other ongoing medical problems and interventions. Patients are generally not decannulated on Fridays because of reduced specialist services over weekends. Tracheostomy tubes are not routinely changed but only when downsizing is felt to be necessary for weaning or when cuff or tube patency is problematic. Tubes without inner cannulas are used routinely although tubes

with inner cannulas are used if secretions are thick and compromise tube patency. All patients receive heated humidification.

Within normal working hours the ICU liaison nurse and the intensivist are available to review patients or address problems encountered by ward nurses or allied medical staff. Out of hours the intensive care unit provides any necessary assistance for acute problems either by direct consultation or via the MET/Cardiac Arrest teams that are run in conjunction with the ICU. In addition to patient care, the team is responsible for drafting and updating the hospital's ward tracheostomy protocol and the ICU liaison nurse provides regular tracheostomy education sessions for ward nurses.

Data were collected prospectively and stored in the ICU database.

Demographics, hospital and ICU admission and discharge times, APACHE II on admission, admission unit, indication for tracheostomy, time from ICU discharge to decannulation and discharge destination were recorded.

Admitting units were categorised as medical, cardiothoracic, neurosurgical or other surgical with medical as base. Indication for tracheostomy was categorised as prolonged ventilation/weaning, low GCS, failed extubation, and Other (includes post extubation stridor and difficult airway) with prolonged ventilation/weaning as base. For patients who had more than one ICU admission during their hospital stay, the ICU admission during which the tracheostomy was inserted was used for data analysis. So as to be able to include baseline data prior to the institution of the service, the ICU patient



database was searched for patients who had a tracheostomy whilst in ICU in 2003. The medical records for these patients were retrieved and data on decannulation time from ICU discharge was extracted and combined with data from the ICU database to provide a dataset with most elements of the prospectively collected one. As this was a quality review project ethics approval was not required.

The primary outcome measure was decannulation time from ICU discharge. Secondary outcome measures of interest were hospital length of stay, length of stay post ICU discharge and length of stay less than 43 days (the upper trim point for the DRG code for tracheostomy).

For continuous variables, results are expressed as mean (standard deviation) or median (interquartile range) depending on normality of distribution. Number and percentage are reported for categorical variables. Univariate analyses includes Kruskal-Wallis test for continuous variables and Chi-squared or Fisher's exact test for categorical variables. Trend over time was examined using Cuzick's test for trend for continuous variables and the Chi-squared trend test for categorical variables. Kaplan Meier survival curves for decannulation times were compared with the log rank test. Multivariable analysis of decannulation times was undertaken using a Cox proportional hazards model. The proportional hazards assumption was inspected graphically and tested statistically. Hazard ratios are presented with 95% confidence intervals. A p-value < 0.05 was assumed to indicate statistical

significance. Analyses were performed with STATA version 9.2 (STATA, College Station, TX)

## Results

4561 admissions occurred over the 4 year period (Figure 1.) with 280 individual patients discharged to the wards from the ICU with a tracheostomy, 41 in 2003, 60 in 2004, 95 in 2005 and 84 in 2006. 8 patients were discharged to the ward requiring nocturnal ventilatory support that was subsequently weaned. Overall 37 patients were readmitted to ICU, 31 once and 6 twice. 3 patients were readmitted to the ICU after decannulation, one following new sepsis, one following an operative procedure and the other following a myocardial infarct. All 3 had tracheostomies reinserted. For these three patients only the subsequent tracheostomy and ICU admission was included in the study as it was felt that it was most likely to be the one that influenced hospital outcome.

The mean age was 61.8(13.1) years, 176 (62.9%) were male and the mean APACHE II score was 20.4(6.4). (Table 1) The major indications for tracheostomy were prolonged ventilation/weaning (58%) and coma (21%) with no difference evident between the years. The mix of patients by admitting unit was similar across the years although the proportion of cardiac surgical patients has increased over time. Intensivists inserted the majority of tracheotomies with the proportion of surgical tracheostomies declining over

the study period ( $p < 0.05$ ). Of the 280 patients 241 (86) were decannulated prior to discharge of whom 17 (7) died, 50 (21) were discharged home, 168 (70) were discharged to a rehabilitation unit or another hospital and 6 (2) were discharged to aged care. Of the 39 (14) not decannulated 26 (67) died and 13 (33) were discharged to a rehabilitation unit or another hospital. (Figure 1) Mortality decreased over the years but the trend was not statistically significant ( $p = 0.1$ ). (Table 1)

The median hospital length of stay and hospital stay after ICU discharge both decreased over the study period [34.5(26-53) v 42(29-73) days  $p = 0.06$  and 19(10-34) v 30(13-52) days,  $p < 0.05$  for 2006 v 2003 respectively]. Although the distributions by year were not statistically different, the trend in hospital length of stay and hospital stay after ICU discharge were both statistically significant ( $p < 0.05$  for both). The median time to tracheostomy insertion was 5 (3-7) days and this was unchanged over the 4 years. Median time from tracheostomy insertion to ICU discharge was 5 (3-9) days and was similar over the years of the study. There was a significant trend in the proportion of patients being discharged under the DRG high trim point of 43 days over time ( $p < 0.05$ ). Of those patients who were decannulated a higher proportion were discharged under upper DRG trim point of 43 days over the 4 years of the study ( $p < 0.05$ ). There was a significant trend to reduced decannulation times from ICU discharge ( $p < 0.01$ ), although absolute differences between the years did not meet criteria for statistical significance ( $p = 0.06$ ). (Table 2) There was no statistical difference in time to tracheostomy, decannulation times,

hospital or ICU length of stay, mortality rates or discharge destination between patients with surgical and percutaneous tracheostomies.

Crude decannulation rates per year increased over time with the rate ratio increasing by approximately 20% per year [1.2 (1.1 -1.4)  $p<0.01$  for trend]. A greater proportion of patients were decannulated over successive years ( $p<0.05$ ). The logrank test for equality of survivor functions for tracheostomies demonstrated significant differences between the years ( $p=0.02$ ). (Figure 2) Univariable analysis demonstrated that decannulation was related to year, admission unit, reason for admission and tracheostomy indication. (Table 3) Multivariable analysis showed that the hazard for decannulation increased by 25(3-50)% per year. Compared with patients who had tracheostomies for prolonged ventilation/weaning the hazard was decreased by 50(25-66)% amongst patients whose reason for insertion was coma and was increased 2.1(1.3-3.2) times if the indication was failed extubation. Compared with patients under medical units, the hazard was 52 (10-110)% higher for patients under the cardiothoracic service. There was no graphical or statistical evidence of violation of the proportional hazards assumption for the model ( $p=0.34$  for test of the proportional-hazards assumption using Schoenfeld residuals)

## Discussion

This study suggests that for patients discharged from ICU with a tracheostomy, provision of tracheostomy care by an intensivist led

multidisciplinary team may lead to improvements in decannulation rates and length of stay.

The principle reason for formation of a specialised tracheostomy review service was to improve care of patients discharged from the intensive care with a tracheostomy. As one of the problems highlighted by allied health professionals prior to the formation of the team was difficulty obtaining medical reviews and delayed decision-making regarding decannulation, it was felt that decannulation times would be a suitable outcome measure. This study shows that decannulation rates have improved and the improvements are independent of other variables such as indication and unit. There appears to be a learning effect for the intervention with outcomes improving over time. There is little comparative data on average decannulation times but a paper from a tertiary referral hospital in the same city had considerably longer median decannulation times for their ICU patients discharged to the ward with a tracheostomy (25(19-34) days v 9(4-20) days)[8].

The mechanism by which a tracheostomy team might improve decannulation and admission times is likely to be multifactorial. Review by experienced people may reduce tracheostomy complications that delay recovery whilst a multidisciplinary team allows consensus decisions regarding tracheostomy weaning and decannulation to be made and enacted without the delays associated with multiple separate reviews. Having a senior medical practitioner as part of the team is important in this respect as it provides an auspice of authority under which nurse and allied health professionals can act

without the usual delays of consulting the parent team. Other elements of the service that may influence outcomes are education and support of ward staff [9]. ICU liaison nurse programs are associated with benefits in terms of ICU readmissions, mortality and morbidity[10, 11]. It is thus possible that the regular review of patients by a liaison nurse may have improved outcomes independently of tracheostomy care.

A major limitation of this study was the retrospective nature of data collection for the period prior to the formation of the team. This limited the nature of data available for comparison and raises the possibility that there were other factors influencing tracheostomy care either positively or negatively that we are not aware of. The MET system was in place for both periods suggesting this is unlikely to be a factor but ICU liaison nurse services began in 2006 and this may have had some impact on results. We are unaware of any other significant changes in ward care over this time. Whilst a cohort study such as this cannot prove the intervention was responsible for the change, the temporal change over a short time period is supportive of the assumption of cause and effect.

Length of stay was one of the secondary outcome measures of interest. The disease-related group (DRG) for tracheostomy is the third highest ranking DRG in terms of bed days occupied in public hospitals in Australia and is responsible for the highest cost by volume of any DRG[12]. Discharge below the high trim point of 43 days may result in financial saving for the hospital. We were able to demonstrate a significant trend in the reduction of hospital

length of stay, length of stay post intensive care discharge and in the proportion of patients being discharged below the DRG high trim point.

A tracheostomy service cannot influence hospital stay prior to ICU admission nor is it likely to greatly influence stay after decannulation where underlying medical problems and discharge processes are the major determinants.

There are few discharge options for patients with tracheostomies as the majority of rehabilitation facilities and district hospitals are unwilling to accept such patients and as a result discharge planning is often delayed until its removal. Better discharge planning based on team estimates as to when tracheostomy is likely to be removed and a willingness of rehabilitation services to see patients prior to tracheostomy removal might further shorten hospital length of stay.

The proportion of patients decannulated increased over the study period, which may reflect a more proactive approach to decannulation. Decannulation is now sometimes performed as part of the palliative care process to allow a more natural and dignified death for the patient and their family. Only one patient died with a tracheostomy in situ in 2006 compared with 5 in 2003, 11 in 2004 and 9 in 2005.

Discharge outcomes for tracheostomy patients reflect the severity and complexity of the underlying disease processes. Few patients (18%) were discharged directly home with the majority discharged to rehabilitation or other hospitals. This is compared to the 43% of patients being discharged home in

the multi-centre study of ICU tracheostomy patients by Frutos-Vivar et al[13]. In that study the indications for tracheostomy were similar to our series however the patients were younger which may explain the differences in discharge destination. In-hospital mortality rates at our institution are similar to those reported in the literature for ICU patients discharged to the ward with a tracheostomy. Overall mortality in this series is 15.4 % which is very similar to that reported by Clec'h et al (15.25%)[7] and Flaatten et al (15.9%)[6]. Mortality in our series tended to decrease over time with mortality being 10.7% in 2006 although this trend was not statistically significant.

There is little in the literature on tracheostomy management following intensive care discharge. Krishnan et al report that in 75% of units in the United Kingdom responding to a postal service, ICU physicians or outreach nurses undertook decannulation but only a quarter had a written protocol for post discharge tracheostomy care[14]. Norwood et al[15] report results of a physiotherapist led team that attempts to remove tracheostomies in ICU prior to discharge and uses mini-tracheostomies wherever possible for patients requiring suctioning following discharge from ICU. The authors were able to show a reduction in patients discharged to the ward with a tracheostomy in situ and in complications on the ward. Whilst attempting to decannulate patients prior to ICU discharge may improve patient care, such a practice would require increased time in ICU (not reported in the study) something our intensive care unit could not provide due to pressure on beds.



This service was implemented without additional funding or staff. Initially the ICU research nurse accompanied the round and was responsible for data collection and entry but with the introduction of a liaison nurse position the role became a liaison activity. The involved intensivist is a fulltime employee and rounds were incorporated into standard clinical duties. For the physiotherapist, speech pathologist and dietician there was no increase in staffing levels resulting in an increase of about 4 hours of clinical duties each per week. Funding for allied health members remains an issue.

## Conclusions

The institution of a tracheostomy team to manage tracheostomy care of patients discharged from intensive care with a tracheostomy was associated with improvements in decannulation rates and in length of stay. As well as improving patient care services such as this may result in cost savings for the health service.

## Key Messages

- With the advent of percutaneous tracheostomy, patients may be discharged from the intensive care unit to the wards without formalised follow-up by medical staff with specialist tracheostomy knowledge.
- The effect of an intensivist led multidisciplinary team to oversee ward management and decannulation of such patients is described.
- Compared with outcomes prior to the intervention, time to decannulation and length of hospital stay after intensive care discharge decreased.
- An intensivist led tracheostomy team is associated with improved outcomes and may potentially lead to financial savings for the health service.

#### List of abbreviations

ENT: Ear Nose and Throat Surgery

DRG: disease-related group

ICU: intensive care unit

MET: medical emergency team

Competing interests: Nil

**Authors' Contributions:** AT is the intensivist in charge of the tracheostomy service and was responsible for data cleaning, initial statistical analysis and drafting the manuscript. JS provided statistical analysis and assisted in drafting of the manuscript.

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Figures.

Figure 1. Patient Flow Chart

Figure 2. Kaplan Meier plot of decannulation by year

Table 1. Patient Demographics

		All	2003	2004	2005	2006
ICU Admissions		4561	1169	1146	1128	1119
ICU Tracheostomy		415	70	83	128	134
Discharged with Tracheostomy		280	41	60	95	84
Age	[Mean(SD)]	61.8 (13.2)	58.7 (13.6)	62.2 (13.1)	62.6 (13.7)	62.1 (12.4)
Male		176 (63)	26 (63)	42 (70)	59 (62)	49 (58)
APACHE II	[Mean(SD)]	20.4 (6.4)	21.7 (7.1)	20.3(6.1)	20.3(6.0)	20.1(6.5)
Admitting Unit	Neurosurgery	65 (23)	12(29)	15 (25)	21 (22)	17 (20)
	Cardiothoracic	71 (25)	1 (2)	15 (25)	21 (22)	34 (41)
	Surgery	38 (14)	4 (10)	11 (18)	16 (17)	7 (8)
	Medical	106 (40)	24 (58)	19 (32)	37 (39)	26 (31)
Indication	Prolonged ventilation	138 (58)	-	34 (57)	52 (55)	52 (62)
	Coma	51 (21)	-	13 (22)	19 (19)	19 (23)
	Failed extubation	29 (12)	-	5 (8)	18 (19)	6 (7)
	Other	21(9)	-	8 (13)	6 (6)	7 (8)
Method	Surgical	43 (15)	10 (24)	14 (23)	11 (12)	8 (10)
	Percutaneous	237 (85)	31 (76)	46 (77)	84 (88)	76 (90)
Discharge to	Home	50 (18)	4 (10)	8 (13)	17 (18)	21 (25)
	Other hosp	111 (40)	20 (49)	25 (42)	40 (42)	26 (31)
	Rehab	70 (25)	9 (22)	15 (25)	19 (20)	27 (32)
	Died	43 (15)	8 (20)	12 (20)	14 (15)	9 (11)
	Aged care	6 (2)	0	0	5 (5)	1 (1)

- Data is presented as number and percentage or mean and standard deviation
- - Data not available

Table 2. Outcomes for Patients by Year

	All	2003	2004	2005	2006
Hospital Length of Stay*	39 (26-60.5)	42(29-73)	45 (27-65)	40 (25-59)	34.5 (26-53)
Length of stay after ICU*	21 (11.5-40)	30(13-52)	25.5 (12.5-40)	20 (11-40)	19 (10-34)
ICU Length of Stay	11 (7.5-16)	10(7-16)	11 (7.5-15)	10 (7-17)	11 (8-16)
Time to Tracheostomy	5 (3-7)	-	5 (3-8)	5 (4-7)	5 (4-6)
Decannulation Time^	9 (4-20)	14 (7-31)	9 (4.5-26)	10 (4-20)	7 (3-17)
Decannulation to Discharge	12(5-20)	12(6-20)	13(7-20)	12.5(5-24)	9(3.5-18.5)
Decannulated	241(86)	33 (80)	48 (80)	80 (84)	80 (95)
Not Decannulated	39 (14)	8 (20)	13 (20)	15 (16)	4 (5)
Discharge < 43 Days*	156 (55.7)	21 (51)	28(47)	52(55)	55(66)
Decannulated and < 43 days*	131(54)	16(49)	20(42)	42(53)	53(66)

- Data is presented as median time in days (inter quartile range) or number (percentage)
- - Data not available
- \*p < 0.05 for trend, ^ p <0.01 for trend



Table 3. Univariate and Multivariable Analysis of Decannulation

	Variable	Hazard Ratio	P value	95% CI
Univariate	Year	1.22	<0.01	1.08 - 1.37
	Cardiothoracic unit	1.76	<0.01	1.26 -2.44
	Neurosurgery unit	0.62	<0.01	0.44 -0.85
	Cardiac Surgery Admission	1.71	<0.01	1.18 -2.46
	CNS Admission	0.58	<0.01	0.40 -0.84
	Coma as Indication	0.48	<0.001	0.33 -0.70
	Failed extubation	2.0	<0.01	1.29 -3.1
Multivariate	Year	1.25	0.02	1.03 -1.49
	Coma as Indication	0.5	<0.01	0.34 – 0.75
	Failed Extubation	2.05	<0.01	1.33 – 3.16
	Cardiothoracic unit	1.52	0.01	1.11 - 2.1

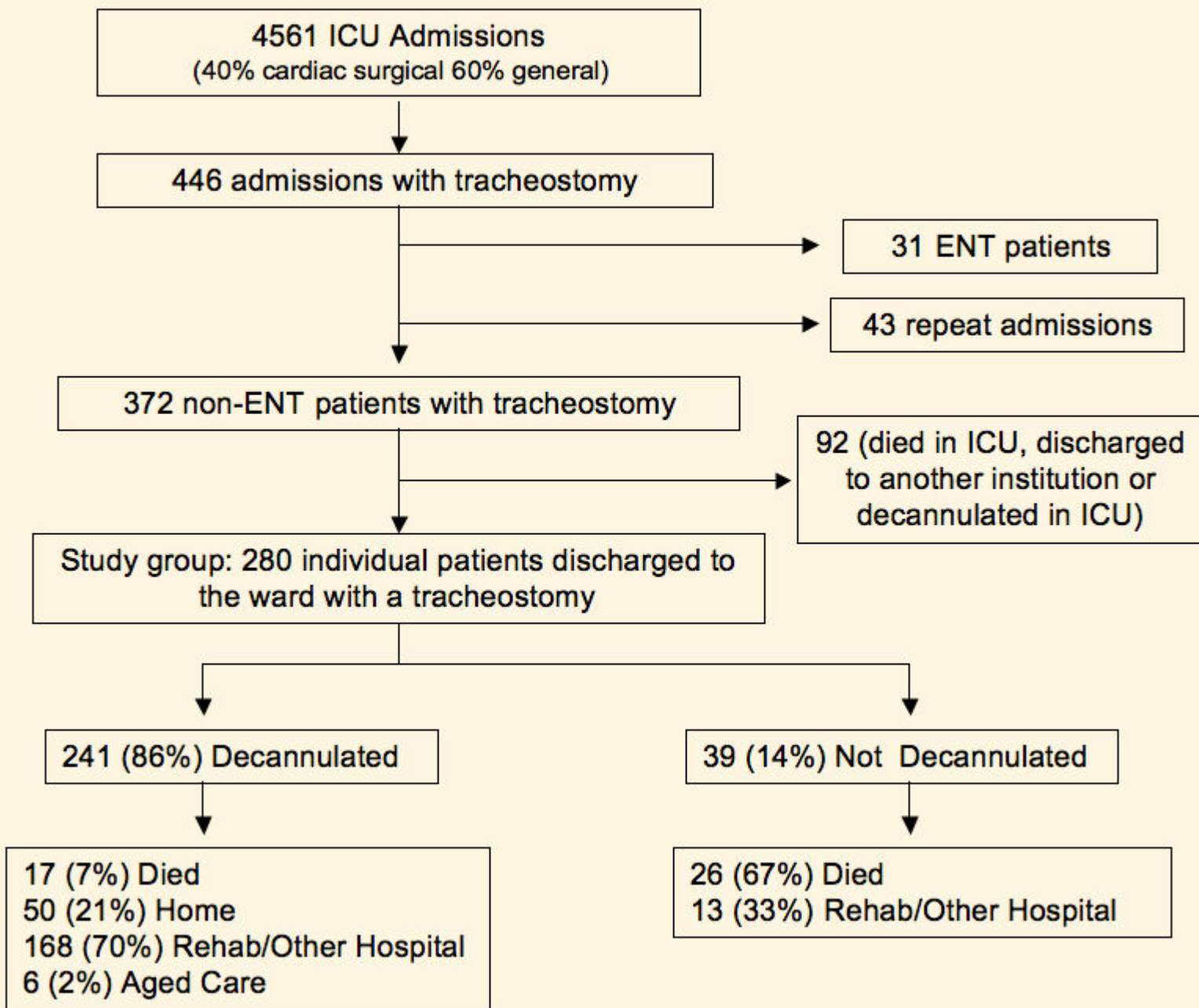


Figure 1

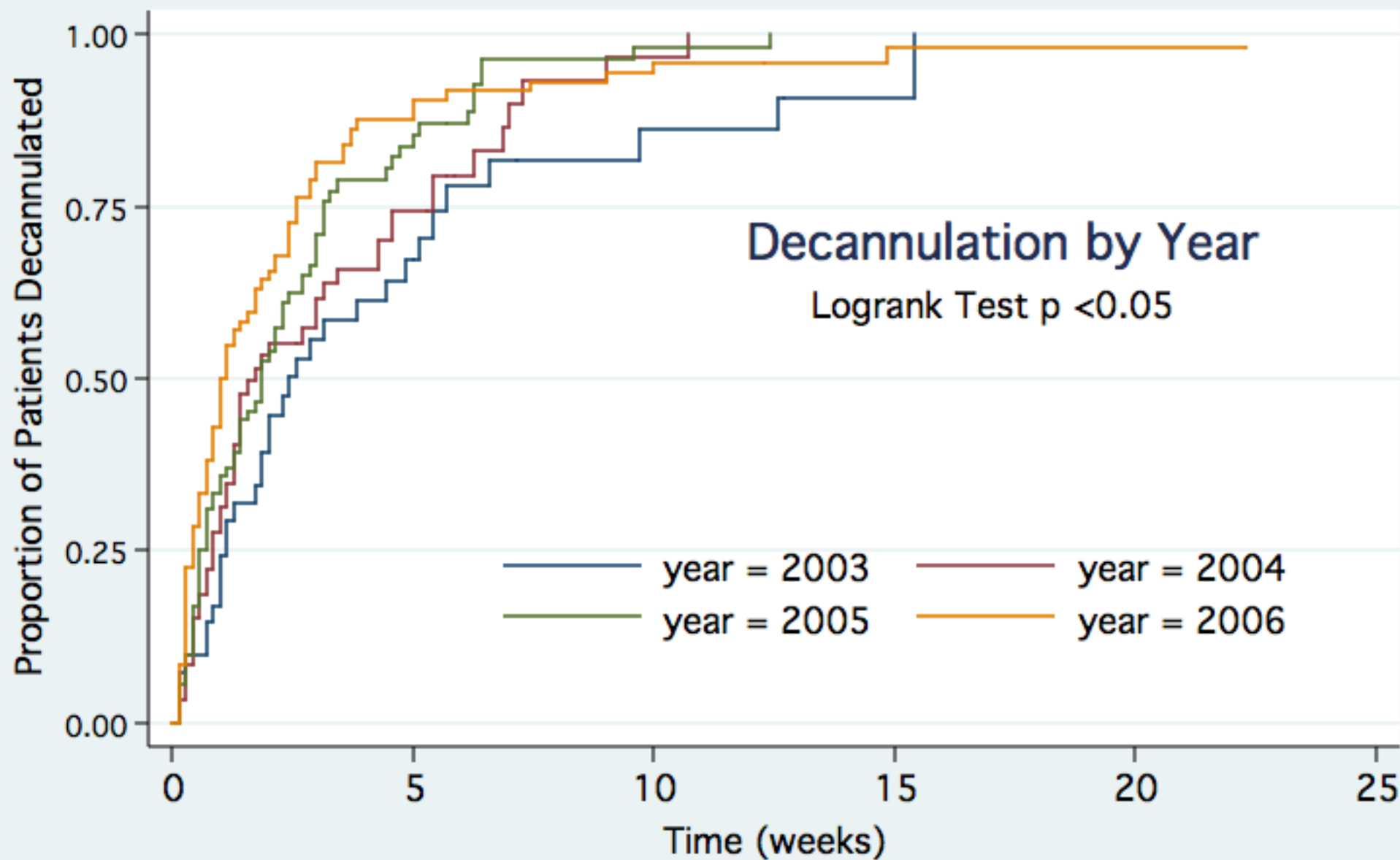


Figure 2