



How does prior health status (age, comorbidities and frailty) determine critical illness and outcome?

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Purpose of review

Critical illness has a significant impact on an individual's physical and mental health. However, it is less clear to **what degree outcomes** after critical illness are **due** to patients' **preexisting** characteristics, rather than the **critical illness itself**. In this review, we summarize recent findings regarding the role of age, comorbidity and frailty on long-term outcomes after critical illness.

Recent findings

Age, comorbidity and frailty are all associated with an increased risk of critical illness. Although **severity of illness drives the risk of acute mortality**, recent data suggest that **longer term outcomes** are much more **closely aligned** with **prior health** status. There are growing data regarding the important role of noncardiovascular comorbidity, including **psychiatric illness** and **obesity**, in determining **long-term outcomes**. Finally, preadmission **frailty** is associated with **poor long-term outcomes** after critical illness; further data are needed to evaluate the attributable impact of critical illness on the health trajectories of frail individuals.

Summary

Age, comorbidity and frailty play a **critical role** in determining the **long-term outcomes** of patients requiring intensive care.

Keywords

comorbidities, elderly, frailty, long-term outcomes

INTRODUCTION

The fact that critical illness can have a significant impact on an individual's physical and mental health is broadly recognized [1,2]. However, there are significant knowledge gaps in our understanding of whether **poor post-ICU outcomes** are **due** to the nature of the **critical illness itself**, or whether (after resolution of the acute illness) poor outcomes are due to patients' **preexisting** characteristics. In this review, we focus on the specifics of age, comorbidity and frailty and their impact on long-term outcomes after critical illness.

The Gordian knot of age, comorbidities and frailty

It is important to recognize that **age, comorbidities and frailty** may be considered to contribute '**independently**' to both the **risk of critical illness** and to **outcomes after** critical illness but are also highly correlated. As people age, they generally accrue more comorbidities. Similarly, frailty is usually associated with elderly patients [3[•],4[•]]. However,

it is also important to recognize that some ICU patients may have comorbidities and/or frailty at a younger age [5]; hence, the need to distinguish between these three states as separate entities.

Factors influencing likelihood of admission to an ICU

There is no doubt that age, frailty and comorbidity all contribute to an individual's likelihood of admission to an ICU. The average age of patients admitted to ICU has increased over the past 25 years [6]. In

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KEY POINTS

- Prior health status is the main contributor to longer term outcomes for critically ill patients.
- Among many critically ill patients, particularly those who are frail with comorbidities, critical illness may represent only an incremental increase in intensity of care compared with previous healthcare encounters.
- An important minority of elderly patients return to their baseline function after critical illness.
- Due to variations in patient populations, outcome variables and specifically the comparison populations, conclusions regarding long-term outcomes among critically ill patients are highly heterogeneous.

population studies of mechanical ventilation, the 'risk' of mechanical ventilation increases exponentially with age [7]. Similarly, Bagshaw *et al.* [3^{""}] demonstrated that a high proportion (approximately 30%) of patients in a Canadian ICU cohort met criteria for frailty. Finally, 'comorbidity' may be a direct reason for ICU admission [such as an admission for heart failure or chronic obstructive pulmonary disease (COPD)] [8,9] or may indirectly contribute to ICU admission. For example, patients with any cognitive decline are at higher risk of admission to ICU for pneumonia than patients with normal cognition [10]. Given the large array of different comorbidities, and the complex interplay between mental and physical health, the combinations of comorbidities that are the strongest risk factors for ICU admission have not been clearly elucidated, and this question remains an important area of future research.

AGE AND LONG-TERM OUTCOMES AFTER CRITICAL ILLNESS

There is an increasing understanding that chronological age alone is a poor predictor of physiologic reserve and functional status (physiologic age). Within any given age group, there exists considerable heterogeneity in terms of outcomes that are not accounted for by age alone. Nevertheless, age persists as a variable of interest in the majority of studies evaluating critical care outcomes. The reasons for this are likely two-fold. First, older age, on average, is associated with poorer outcomes for critically ill patients and is (in most models and risk scores) a strong predictor of mortality [11,12]. Second, it is a variable that is readily available in the medical record and in administrative data and is therefore easier to evaluate than measures of physiologic age. Data regarding the independent impact of advanced

age on long-term outcomes following critical illness are conflicting. Some authors have concluded that age alone is not independently predictive of adverse long-term outcomes [13,14^{""}], but the majority have found age to be highly predictive [15–17,18^{""},19,20].

For patients, the other important question is how they may do relative to noncritically ill individuals of their same age (as opposed to critically ill patients of different ages). A recent retrospective cohort study from Scotland compared 5-year mortality among ICU survivors with a matched cohort of hospitalized patients. The 5-year mortality among ICU survivors was 32%, with an adjusted hazard of death 33% higher at 5 years compared with hospital controls [21]. However, when patients aged 70 and over were compared, the difference in long-term mortality between ICU patients and other hospitalized patients was no longer observed. Other authors have similarly found that the long-term mortality gap between patients experiencing a hospitalization with or without intensive care narrows with age [21]. Moreover, as patients age, the relative impact of ICU admission on functional status becomes more comparable with the impact of a hospitalization not involving ICU [22].

These data highlight the important question of comparator groups when evaluating the relationship between critical illness and patient age. For many elderly individuals in particular, critical illness may represent only an incremental change in a trajectory of overall declining health, and only a slight increase in 'intensity' compared with other healthcare encounters. Given that hospitalization is, in general, a precipitant for loss of mobility and decrease in functional status among those with preexisting risk factors, it is not clear to what degree an admission containing an ICU episode differs from an admission without an ICU episode in terms of modifying these patients' health trajectories.

As the face of the elderly population changes, so has the age-related focus in the critical care literature. Increasing attention is being turned to the 'very elderly', with multiple articles focusing on octogenarians published in the past 5 years [23,24,25^{""},26–28]. A recent multicenter study evaluated 1-year outcomes of individuals aged 80 and older admitted to the ICU [25^{""}]. Mortality in this patient cohort was high: 25% of patients died in hospital, and a further 25% died within 12 months. However, 25% of patients in the cohort had recovered to baseline physical function by 12 months. Paradoxically, although higher baseline physical function was associated with higher physical function and survival at 1 year, it was associated with lower probability of recovery to baseline.

Data from other studies support the **interplay** between **baseline functional** status and **age** in **long-term** survival among the very elderly critically ill. For example, in a single center study of **106 octogenarians** admitted to ICU, only a **third** were alive at **1 year** [28]. Among these survivors, however, **83%** had been **completely independent** before ICU admission; it is also notable that **78%** of survivors in this study said they would agree to another ICU admission. In another single-center study of **octogenarians** who **survived** their **critical illness** to hospital discharge, the **median survival time** was **1.5 years** [29]. However, those with good premorbid functional status and no severe underlying illness had a median survival of 28 months, compared with only 3 months if either was present. Together, **these data suggest that, among the very elderly, mortality following ICU discharge is very high, but that a select group of patients have reasonably good outcomes despite very advanced age;** good outcomes in this population are clearly modulated by other factors.

COMORBIDITY AND LONG-TERM OUTCOMES AFTER CRITICAL ILLNESS

After a short period of recovery, **comorbidity** becomes one of the main predictors of outcome [1,14³,30³,31]. As we gain more information on the 'pre-ICU' status of patients, we are beginning to elucidate the role of individual key comorbidities. Some, such as obesity, **psychiatric** illness and sensory deficits, are forms of comorbidity that have recently gained increased attention in the critical care literature and will be highlighted here.

Obesity and outcomes after critical illness

Although traditionally associated with an increased degree of comorbidity, particularly with regard to diabetes, dyslipidemia and coronary artery disease, the **impact** of **obesity** on outcomes among ICU survivors remains **unclear**. Data regarding **short-term** mortality associated with increased weight are **mixed**; some authors have shown no clear relationship between obesity and in-hospital mortality during an episode of critical illness [32,33³,34], whereas others have found a **protective** effect for short-term mortality [35,36]. A recent large, multicenter retrospective cohort study performed in Holland demonstrated a **U-shaped relationship between BMI and in-hospital mortality** among critically ill patients [37]. For patients who were underweight, mortality was increased, but a higher BMI appeared to confer a **survival advantage up to a BMI of 42.6** (BMI 25 was used as reference).

The finding that being **underweight** on admission to ICU **increases the risk of hospital mortality** is almost **universal** [33³,35,38].

There is a growing literature suggesting that **obesity may also confer a paradoxically protective effect for long-term mortality** among patients with critical illness [32,35,36,39³]. Work by Prescott *et al.* [40³] found that older Americans (>65 years) who were obese and survived a hospitalization with severe sepsis were less likely to die within a year after hospital discharge compared with nonobese patients. Moreover, the protective effect was present in a **dose response**, with the **most severely obese patients having the lowest mortality at 1 year**. Reasons for this potential protective effect on either short-term or long-term mortality remain speculative [41].

Psychiatric illness and outcomes after critical illness

The high burden of mental illness experienced by **survivors** of critical illness has led to a call for greater research into preventing and treating post-ICU depression, anxiety, posttraumatic stress disorder (PTSD) and other psychiatric diagnoses [42]. However, it is important to recognize that we often have limited information regarding the pre-ICU burden of psychiatric comorbidities among critically ill patients, as well as the relationship between preexisting mental illness, need for ICU admission and ICU-related outcomes (both psychiatric and non-psychiatric).

A population-based, retrospective cohort study conducted in Denmark provides data that suggest **mental illness may confer an increased risk of critical illness** [43³]. Among patients admitted to ICU and requiring mechanical ventilation, 6.2% had a psychiatric diagnosis, compared with only 2.4% of the general population. Strong data exist for outcomes such as acute myocardial infarction to suggest that depression and other psychiatric illnesses may contribute to an increased risk [44]. It is possible a similar relationship exists between psychiatric illness and critical illness.

Premorbid psychiatric illness appears to predispose patients to increased psychiatric illness after ICU admission. For example, a recent cohort study assessing PTSD also found a strong relationship between PTSD before critical illness and new PTSD related to the critical illness itself [45]. However, the ways in which psychiatric illness modulate other long-term outcomes, such as mortality, after critical illness are not well described. Both old and new onset psychiatric illness may well contribute to the excess mortality and morbidity of many survivors of critical illness, but this remains speculative.

Sensory deficit

A recent article assessed individuals from the Precipitating Events Project, a longitudinal study of 754 community-dwelling persons aged 70 years or older [39^{''}]. For the individuals who survived a critical illness ($n=186$), functional recovery (defined as returning to a **disability count** less than or equal to the pre-ICU disability count) was substantially decreased in individuals with preexisting hearing or visual impairments [hazard ratio 0.38, 95% confidence interval (CI) 0.22–0.66 and 0.59, 95% CI 0.37–0.95, respectively]. These data highlight the need to look beyond common ‘comorbidities’ such as hypertension to elucidate factors that may be associated with poor outcomes for critically ill individuals.

FRAILITY AND LONG-TERM OUTCOMES AFTER CRITICAL ILLNESS

Although many definitions exist, **frailty** can broadly be **defined** as a **multidimensional loss of physiologic reserve** that accumulates **gradually**, and that places a patient at **higher risk** of adverse outcomes in a number of clinical situations [46]. It is, therefore, not surprising that frailty has been linked to poor long-term outcomes after critical illness. However, the magnitude of decline caused by ICU admission in frail patients remains somewhat unclear. Part of the difficulty associated with defining the precise relationship between frailty, critical illness and long-term outcomes is the **difficulty of measuring frailty itself**. First, there are **many tools** and instruments to **measure frailty**, rendering comparisons across studies challenging [47]. In addition, due to the nature of critical illness, the elements required to evaluate frailty (e.g. information about ability to perform activities of daily living or direct observation of gait) often cannot be acquired directly through a history and physical of the patient. As a result, data regarding the elements of frailty are often collected retrospectively or from patients’ families – an approach that can lead to an incorrect estimate of premorbid functional status and frailty [23,25^{''},48,49].

A recent evaluation of frailty and long-term survival after critical illness was conducted in a cohort of Medicare beneficiaries using purely administrative data to define frailty [30^{''}]. Frailty had an additive effect when combined with other risk factors for long-term mortality and was associated with an overall 3-year mortality of approximately 50%. Another study using a more rigorous definition of frailty (**Frailty Index**) collected on individuals on admission to ICU also found **that frailty** is significantly **associated** with **long-term mortality**

after discharge among survivors of critical illness [25^{''}].

In addition to predicting long-term mortality, frailty appears to be associated with **worse functional outcomes after ICU discharge**, as well as **lower likelihood of recovery to baseline function** [3^{''},25^{''},49]. For example, one study demonstrated that patients with frailty had lower quality of life at 6 months and at 12 months compared with nonfrail ICU patients using multiple measures of quality of life and functional status, even after adjusting for age, comorbidity and severity of illness [4^{''}]. These authors also demonstrated that difficulties with mobility and other aspects of functional status were magnified by age and magnitude of pre-ICU frailty. On the contrary, the authors had no measures of quality of life in their cohort before ICU admission; as such, it is unclear whether the discrepancies observed between frail and nonfrail patients had any relationship to the ICU admission.

Controversy continues to exist regarding the proportion of post-ICU disability observed among frail patients that is attributable directly to critical illness, compared with the proportion that represents ongoing deterioration in functional status that predates critical illness. For example, Iwashyna *et al.* [50] demonstrated that, although the prevalence of functional disability is high among survivors of severe sepsis, accumulation of these disabilities predated ICU admission.

To better evaluate the relationship between gradually deteriorating health and post-ICU outcomes, Ferrante *et al.* [51^{''}] recently evaluated the pre-ICU and post-ICU health trajectories of critically ill patients from the Precipitating Events Project (described previously). Rather than focus on functional status at a single point in time, they modeled patient trajectories in the year before, and the year following, ICU admission. Compared with those with a pre-ICU trajectory of minimal disability, patients with a pre-ICU trajectory of **mild-to-moderate** or **severe disability** had a **doubling or tripling (respectively) in the risk of death** within a **year** of ICU **discharge**. Pre-ICU trajectory with severe disability was the single greatest predictor of death at 1 year. Moreover, using trajectory modeling, the authors demonstrated that pre-ICU functional trajectory was very closely linked to post-ICU functional trajectory.

Are the outcomes of ICU survivors modifiable?

Understanding the relationship between age, comorbidities, frailty and critical care outcomes is, for many, closely associated with the hope that

identifying patients at risk for poor outcomes will help guide the development of interventions that will ultimately improve the outcomes of these patients [51²²].

Although interventions aimed at improving mobility and reducing duration of mechanical ventilation may improve outcomes of critically ill patients [52,53], the degree to which such interventions benefit very elderly patients, patients with specific comorbidities (such as COPD) or frailty remains very unclear. For high-risk surgical patients having procedures that can be scheduled, 'prehab' may provide an approach to intervening for frail individuals; studies to assess this approach are ongoing [54]. Specific care pathways that ensure appropriate follow-up (such as for patients with a COPD exacerbation) may be associated with a reduction in likelihood of a repeat hospitalization [55,56]. Finally, approaches to end-of-life care planning that emphasize discussions regarding the appropriateness of ICU admission may ultimately shift the population of elderly patients admitted to ICU to ensure those who will not benefit are provided with alternative options.

CONCLUSION

The role of critical illness within an individual's healthcare experience is far from uniform. Among younger patients, an ICU admission may represent a sudden, catastrophic, isolated event (e.g. severe injury in a motor vehicle crash or respiratory failure from influenza) in a previously healthy individual. Such a paradigm may not hold true for older individuals, and particular those with poor preexisting health [57]. Patient characteristics, including specific comorbidities and frailty, are clearly large determinants of longer term outcomes for the majority of patients. The magnitude of the impact of the critical illness and our ability to modify this impact are uncertain; better characterization of patients before illness will help to contribute to our understanding of the relative contributions of each to long-term morbidity and mortality.

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Conflicts of interest

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