**Invited Commentary** 

## **Toward the Ideal Ratio of Patients to Intensivists** Finding a Reasonable Balance

Elizabeth M. Viglianti, MD, MPH; Theodore J. Iwashyna, MD, PhD

**More than 5.7 million patients** are admitted annually to an intensive care unit (**ICU**) in the **United States**, accounting for approximately **20%** of all **acute** care **admissions**.<sup>1</sup> With the aging population and its increasing comorbidity burden, the number of ICU patients and the projected costs associated with their care is expected to rise.<sup>2</sup>

Alongside the increased number and complexity of ICU patients has been the development and common use of intensivists to staff ICUs. Intensivists are the hospitalists of the ICU–

## $\leftarrow$

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physicians who dedicate much or all of their clinical practice to the ICU, and who take primary responsibility

(or an aggressive "consult" comanagement) for patients while they are in the ICU. Although there is only ambiguous evidence of better outcomes with intensivists,<sup>3</sup> the practical necessity of having physicians who are readily available for emergencies, capable of handling ventilators, complicated hemodynamics, and coordinating complex multidisciplinary care and quality improvement in the ICU has resulted in their growing adoption.

What is **not known** and has rarely been studied is **the ideal ratio of patients to intensivists**. If an intensivist has too many patients he or she would not be able to attend to the many complicated issues, potentially resulting in missed details, a slower or less thoughtful response, and less time with the patient and their family. With too few patients, intensivists may not have enough experience for making rapid decisions and perfecting complex procedures, as well as other potential challenges (Figure).

In this issue of JAMA Internal Medicine, Gershengorn et al<sup>4</sup> provide data to inform decisions on an optimal patient to intensivist ratio (PIR) in ICUs in the United Kingdom (UK) and its association with hospital and ICU mortality. The authors performed a retrospective cohort analysis in ICUs in the UK from 2010-2013 and limited their analysis to ICUs staffed with 1 intensivist during daytime hours. The authors defined PIR for each patient as the number of patients cared for by the intensivist each day averaged over the patients' stay. In this sample of UK hospitals, the median PIR for patients was 8.5 (interquartile range, 6.8-10.8). Provocatively, the association between PIR and hospital mortality was U-shaped, with a reduction in the odds of mortality peaking at 7.5 and no additional association seen above a ratio of 12. Therefore a PIR less than or greater than 7.5 was associated with higher hospital and ICU mortality. The absolute effect sizes of exposure to intensivists who deviated from 7.5 in either direction were nontrivialperhaps as much as a 4 to 7 percentage point absolute increase in mortality.

These findings shed light on a challenging issue—how many patients can safely be taken care of by a single intensivist based on the ICU census at that specific time. The answer has significant ramifications with regards to policy and staffing of ICUs given the multiple stakeholders involved. The definition of PIR

Figure. Competing Potential Problems That Need to Be Balanced in Setting an Optimal Number of Patients per Physician



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List is partial.

used by Gershengorn et al<sup>4</sup> accounts for the <mark>often overlooked day-to-day variation in ICU census</mark> and the **increased work-load of new admissions** which **distracts** from the **ongoing care** of patients **already admitted** to an ICU. This allows their work to be distinguished from prior work which used number of ICU beds to reflect ICU census<sup>5</sup> or the average full-time equivalent (FTE) of the intensivists at the ICU to reflect the number of intensivists caring for the patient.<sup>6</sup> Gershengorn and colleagues<sup>4</sup> provide an impressive number of alternative approaches to measuring individual physician workload in their sensitivity analyses, and find a consistent general pattern.

The relationship between PIR and mortality is not linear in 2 interesting ways. The **first** is the presence of a not-enoughwork limb, consistent with the above suggested mechanisms. These mechanisms need untangling, because they call for different remedies for different mechanisms. The second interesting aspect is the **relative absence of additional mortality once the PIR gets above 12**—whereas one might expect pure overload effects to cause excess deaths to continue to rise. While the study intentionally restricted itself to singleintensivist ICUs, **it could not account for the presence or expertise of ancillary staff**. This flattening of the PIR-mortality curve hints that **some overwork might be attenuated by bringing additional nonintensivist resources to bear**, consistent with the broader literature emphasizing the fundamentally multidisciplinary nature of critical care. These are, however, just

# speculation, and certainly limited by the imprecision in the mortality estimates above 12.

However, prior to rearranging one's ICU staffing to ensure a PIR of 7.5, one should consider the limitations of the work of Gershengorn et al.<sup>4</sup> This study was based in ICUs located in the UK with only 1 intensivist present during daytime hours. It is known that ICUs in the UK are different from ICUs in the United States owing to case mix and processes of care, which limits its generalizability.<sup>7</sup> The authors have done the important work of demonstrating there is a population-averaged effect of clinically relevant size. But neither intensivists nor ICUs are entirely interchangeable, so this article urgently demands a follow-up to show how the optimal PIR varies by physician characteristics and characteristics of the ICU and hospitalsystem in which that physician practices.

Identifying the ideal PIR ratio for all ICUs is essential given the perceived short supply of intensivists and the movement (notwithstanding evidence) to have intensivist physicians present 24 hours a day.<sup>8,9</sup> A gauntlet has been thrown down by this important study: how do we incorporate the inherent differences in the multiple ICUs so that the ideal PIR reflects the diversity of the talents of the intensivists who practice and the system supports and institutional frameworks in which they practice? Addressing these issues in this larger context will allow for evidence-based intensivist staffing models to emerge.

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## JAMA Internal Medicine | Original Investigation

## Association of Intensive Care Unit Patient-to-Intensivist Ratios With Hospital Mortality

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**IMPORTANCE** The patient-to-intensivist ratio (PIR) across intensive care units (ICUs) is not standardized and the association of PIR with patient outcome is not well established. Understanding the impact of PIR on outcomes is necessary to optimize senior medical staffing and deliver high-quality care.

**OBJECTIVE** To test the hypotheses that: (1) there is significant variation in the PIR across ICUs and (2) higher PIRs are associated with higher hospital mortality for ICU patients.

**DESIGN, SETTING, AND PARTICIPANTS** Retrospective cohort analysis of patients ( $\geq$ 16 years) admitted to ICUs staffed by a single intensivist during daytime hours in the United Kingdom from 2010 to 2013.

**EXPOSURES** Patient-to-intensivist ratios, which we defined for each patient as the number of patients cared for by the intensivist each day averaged over the patient's stay.

MAIN OUTCOMES AND MEASURES Using standard summary statistics, we evaluated PIR variation across ICUs. We used multivariable, mixed-effect, logistic regression analysis to evaluate the association between PIR and hospital mortality at ultimate discharge from acute hospital (primary outcome) and at ICU discharge.

**FINDING** Among **49 686** adults in **94 ICUs**, median age was 66 (interquartile range [IQR], 52-76) years, and 45.1% were women. The **ultimate hospital mortality was 25.7%**. The **median PIR** for patients was **8.5** (IQR, **6.9-10.8**; full range, 1.0-23.5), and varied substantially among individual ICUs. The association between **PIR and ultimate hospital mortality was U-shaped**; there was a **reduction** in the odds of mortality associated with an increasing **PIR up to 7.5** after which the odds of mortality increased again significantly (average patient mortality for lowest PIR, 22%; PIR of 7.5, 15%; highest PIR, 19%; *P* = .003). A similar U-shaped association was seen for PIR and mortality in the ICU (nadir of mortality at a PIR of 7.8, *P* < .001).

**CONCLUSIONS AND RELEVANCE** PIR varied across UK ICUs. The **optimal PIR** in this cohort of UK ICU patients was **7.5**, with significantly increased ICU and hospital mortality above and below this ratio. The number of patients cared for by 1 intensivist may impact patient outcomes.

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Supplemental content

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JAMA Intern Med. doi:10.1001/jamainternmed.2016.8457 Published online January 24, 2017. ritically ill patients require complex care and most studies indicate that senior critical care doctors (intensivists) improve intensive care unit (ICU) patient outcomes.<sup>1,2</sup> For this reason, recommendations from the Intensive Care Society in the United Kingdom (UK),<sup>3</sup> as well as the Society for Critical Care Medicine<sup>4</sup> and external bodies<sup>5</sup> in the US, call for higher intensity intensivist staffing. In addition, the number of ICU beds in the UK and US has been increasing.<sup>6</sup> Without increased intensivist ratios (PIRs). While we know critical care is best delivered by a multidisciplinary team,<sup>7</sup> it is unclear how many patients may be appropriately cared for by a single intensivist.

To date, little is known about whether ICU patient outcomes are affected by the PIR. The only research study<sup>8</sup> directly evaluating this relationship—in which ICU bed-tointensivist ratios (whether or not the bed was occupied) ranged from 7.5 to 15—found that higher ratios were associated with longer ICU length of stay (LOS) for patients but there was no association with ICU or hospital mortality. This study, however, was conducted in a single center using historical controls. Using data from UK ICUs, we performed a multicenter, retrospective analysis to test the hypotheses that: (1) there is significant variation in the PIR across ICUs and (2) higher PIRs are associated with higher hospital mortality for ICU patients.

## Methods

We conducted a retrospective cohort study using data on admissions to adult general critical care units in the United Kingdom participating in the Intensive Care National Audit and Research Centre (ICNARC) Case Mix Programme (CMP), linked with data from 2 staffing surveys. We used answers to 2 different questions, 1 from each staffing survey. The first was the United Kingdom Consultant Cover Census study (UK-3Cs),<sup>9</sup> conducted in 2011, in which was asked "open vs closed ICU?" and the second was a single question survey to ICUs in the CMP, conducted in 2013, in which was asked, "On weekdays, during daytime hours, is 1 or more intensive care consultants responsible for all patients in the unit?" Daytime hours were defined as 8:00 AM to 3:59 PM purposely to include hours in which primary intensivists are most likely to be physically present in the ICU. The answers from both surveys were assumed to apply for the full duration of this study, when more than 1 intensivist was responsible for daytime weekday care of ICU patients, the exact number was queried.

Institutional review board exemption was obtained from Albert Einstein College of Medicine. Approval for the collection and use of patient identifiable data in the CMP was obtained under Section 251 of the National Health Service Act of 2006.

## Cohort

The cohort included participating ICUs from January 1, 2010, through December 31, 2013. We excluded ICUs with incomplete staffing survey responses, those reporting more than 1

#### **Key Points**

**Question** What is the association of patient-to-intensivist ratio with hospital mortality for intensive care unit patients?

**Findings** In this retrospective cohort analysis including 49 686 adults in 94 United Kingdom intensive care units, a patient-to-intensivist ratio of 7.5 was associated with the lowest risk adjusted hospital mortality, with higher mortality at both higher and lower patient-to-intensivist ratios.

Meaning Intensivist staffing should ensure that patient volume is sufficient for proficiency in care, but allows for sufficient time and care to be taken with each patient to minimize harm.

intensivist during daytime hours, and those that did not have a closed-model of intensivist staffing (because accurate PIRs could not be assessed). Patients in included ICUs were those admitted during daytime hours because: (1) primary intensivists may not be actively involved in after-hours admissions and (2) daytime workload is unlikely to impact intensivists' ability to care for a new admission presenting after-hours. Patients were excluded if they were younger than 16 years (because adult and pediatric critical illnesses differ and may be differently affected by PIR). Only the first ICU admission in the hospital stay was included (to avoid double counting of hospital deaths).

### **Exposure of Interest: PIR**

For the primary analysis, we calculated the PIR for a given patient as the total number of patients cared for by the intensivist for all or any portion of daytime hours, averaged over the patient's ICU stay. For example, if 10 patients were in the ICU at 8:00 AM, of whom 2 were discharged prior to 3:59 PM and 3 new patients were admitted during the daytime (8:00 AM-3:59 PM), the PIR would be 13 (the initial 10 plus the 3 admitted) for that day. All patients, including readmissions, were included for this calculation. This definition aimed to reflect the average overall patient workload for the intensivist, during daytime hours, over the duration of stay for a given patient.

In sensitivity analyses, we used 9 alternative calculations of PIR because it was not apparent which ones may affect patient outcome: (1) total number of patients in the ICU during daytime hours on the day of admission; number of new patients during daytime hours (2) averaged over the ICU stay and (3) on the day of admission; average level of care for all patients in the ICU (4) averaged over the ICU stay and (5) on the day of admission; average severity of illness for all patients in the ICU (6) averaged over the ICU stay and (7) on the day of admission; and the number of patients cared for during the daily rounding period of 8:00 AM to 10:59 AM (8) averaged over the ICU stay and (9) on the day of admission. Level of care was defined by the Critical Care Minimum Data Set and recorded as: 0, needs normal ward care; 1, needs acute ward care with support from a critical care team; 2, needs more detailed observation and/or intervention; and 3, needs advanced respiratory support and/or 2 or more organs supported.<sup>10</sup> Severity of illness was estimated as the probability of hospital mortality derived from the ICNARC risk prediction model (2013 recalibration).<sup>11</sup>

#### Patient-, ICU-, and Hospital-Level Data

Patient data included demographics (age, sex); long-term health status (comorbidities-coded individually as severe dysfunction of each of 7 organ systems); functional status (determined by degree of assistance needed with activities of daily living); and details of the acute illness (medical-not admitted directly following surgery-vs surgical, first 24-hour probability of hospital mortality from the ICNARC model,<sup>11</sup> number of organ dysfunctions in the first 24 hours, highest level of care over the first 24 hours, use of advanced respiratory support [invasive mechanical ventilation and/or extracorporeal life support] during ICU stay, average level of care during ICU stay, and whether treatments were withheld or withdrawn during ICU stay). Intensive care unit and hospital data included number of ICU beds and reported hospital type (nonuniversity, university, university-affiliated). No information was available pertaining to nonintensivist physician or nonphysician staffing during daytime hours.

#### **Outcomes**

All patients were followed up to **ultimate** discharge from acute hospital whether this discharge was from the original **ICU and acute hospital** (housing the ICU) **or** from a **subsequent** ICU and/or **acute hospital** to which the **patient** was **transferred**. The primary outcome for this analysis was **ultimate hospital mortality**. Secondary outcomes included **ultimate ICU mortality**, original ICU mortality, and original hospital mortality (from original acute hospital housing original ICU).

#### **Statistical Analyses**

Baseline characteristics and unadjusted outcomes for the cohort were tabulated using standard summary statistics. PIRs across ICUs were evaluated using median, interquartile ranges (IQR), and full ranges. We compared PIRs across predefined subgroups (medical vs surgical, highest level of care over the first 24 hours, number of ICU beds, and hospital type) using the Kruskal-Wallis equality-of-populations rank test.

We used multivariable, mixed-effect logistic regression to assess the association of patient-level PIR and mortality. All listed patient, ICU, and hospital variables were included as covariates with clustering within ICUs, except ICU bed number owing to collinearity with PIR. The PIR was modeled using restricted cubic splines with 4 knots to allow for possible nonlinear associations.<sup>12,13</sup> Models were restricted to patients with data available for all covariates. To display model results, we plotted curves that depict the effect on mortality for an average patient-defined as having the average of all non-patientto-intensivist ratio covariates—as a function of patient-tointensivist ratio. To address the possibility of overfitting, we repeated our primary analyses across 20 bootstrapped samples with random sampling at the level of the individual ICU.<sup>14</sup>

As a first sensitivity analysis, we repeated modeling using the nine alternate definitions of PIR (described above). As a second sensitivity analysis, assessed post hoc because larger ICUs tended to have higher PIRs, we performed stratified analyses by ICU size using both tertiles of bed number and specific bed numbers to assess whether observed associations between PIR and hospital mortality were independent of ICU size. As a third post hoc sensitivity analysis, we analyzed (separately) the ICUs and patients excluded from our primary analysis where multiple intensivists cared for patients during daytime hours. The PIRs for these ICUs were calculated assuming patients were evenly divided among the daytime intensivists; this allowed us to evaluate PIRs similar to our primary analysis but for ICUs of larger size (thus, separating PIR from ICU size). We limited this sensitivity analysis, post hoc, to patients with a PIR of 10 or less to avoid skewing of the results by high PIR outliers. These latter 2 analyses were conducted to address possible confounding by ICU size given the tight correlation between PIR and ICU size.

Statistical analyses were performed using Stata statistical software (version 13, Statacorp) and Microsoft Excel (2013, Microsoft). *P* values less than .05 were considered significant.

#### Results

The primary cohort included 49686 adults admitted between January 2010 and December 2013 to 94 ICUs (eFigure 1 in the Supplement). The 94 ICUs had a median of 10 (interquartile range [IQR], 8-13) beds (Table) (eTable 1 in the Supplement). Median age of patients was 66 (IQR, 52-76) years and 45.1% were women. A minority had a very severe comorbidity (19.3%) and/or reported requiring some functional assistance prior to hospitalization (25.0%). Most were admitted for medical reasons (62.1%), predominantly with conditions of the respiratory, gastrointestinal, or cardiovascular system. Mean (SD) predicted risk of hospital mortality was 24.1% (26.8%) and the median was 12.2% (IQR, 2.7%-39.1%). Of 49 686 patients, 46.2% received level 3 care within the first 24 hours in ICU and 43.8% received advanced respiratory support during their ICU stay. Median LOS in the original ICU was 2.2 (IQR, 1.1-5.0) days and <u>ultimate hospital mortality was 25.7%</u>.

#### PIRs

The **median PIR** for the primary cohort was **8.5** (IQR, 6.9-10.8; range, 1.0-23.5). Median PIRs varied substantially across individual ICUs (**Figure 1**). At the extremes, a **4-bedded ICU** had a **median** PIR of **2.0** (IQR, 1.5-2.7) and a **20-bedded ICU** had a **median** PIR of **19.0** (IQR, 18.0-19.9). **Median PIRs were systematically higher in larger ICUs** (P < .001) and differed significantly by type of hospital (**Figure 2**). Median PIR was lower for patients with lower levels of care at ICU admission (P < .001). Median PIR values for **medical** (**8.5**; IQR, 6.9-11.0) and **surgical** (**8.5**; IQR, 6.9-10.7) patients were similar (P = .05).

## Association of PIR With Outcomes

After multivariable adjustment, the PIR for each patient was significantly associated with ultimate hospital mortality (P = .003) (Figure 3). This relationship was U-shaped with the lowest mortality at a nadir PIR of 7.5 and significantly

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Table. Cohort Characteristics of 49 686 Patients	
Patient Characteristics <sup>a</sup>	Value
Patients, No.	49 686
Age, median (IQR), y	66 (52-76)
Female, %	45.1
Comorbidities, %	
None	81.7
Liver disease	2.5
Cardiovascular disease	1.7
Respiratory disease	3.0
Renal disease	2.0
Hematologic malignant abnormality	2.2
Metastatic cancer	3.0
Immunocompromised state	7.4
Functional status prior to hospitalization, %	
No assistance needed	75.0
Assistance needed for some ADLs	19.2
Assistance needed for most ADLs	4.9
Assistance needed for all ADLs	0.9
Predicted mortality [IM2013], mean (SD), %	24.1 (26.8)
Medical patient, %	62.1
Admitting diagnosis, %	
Cardiovascular	17.9
Dermatologic	0.9
Endocrine/metabolic/poisoning	7.9
Gastrointestinal	23.4
Genitourinary	11.5
Hematologic/immunologic	1.4
Musculoskeletal	4.9
Neurologic	8.3
Respiratory	23.7
Organ failures in first 24 hours in the ICU, $\%$	
0	15.0
1	31.8
2	28.0
3	15.8
4	7.8
5	1.6
Advanced respiratory support during ICU stay, % <sup>b</sup>	43.8
Level of care required <sup>c</sup>	
Highest in the first 24 h, %	
1	1.1
2	52.7
3	46.2
Average over ICU stay, median (IQR)	2.0 (2.0-2.7)
Treatment withheld/withdrawn, %	12.9
Hospital type, %	
Nonuniversity	57.3
University	22.4
University-affiliated	20.3
ICU beds, median (IQR)	<mark>10</mark> (8-13)

(continued)

Table. Cohort Characteristics of 49 686 Patients (continued)	
Patient Characteristics <sup>a</sup>	Value
Patients-to-intensivist ratio, median (IQR)	
Daytime (8:00 AM to 3:59 PM)	
Total patients daily averaged over ICU stay	8.5 (6.9-10.8)
Total patients daily on day of ICU admission	9.0 (7.0-11.0)
New patients daily averaged over ICU stay	0.8 (0.5-1.0)
New patients daily on day of ICU admission	1.0 (1.0-2.0)
During rounds (8:00 AM to 10:59 AM)	
Total patients daily averaged over ICU stay	<mark>7.9</mark> (6.0-10.0)
Total patients daily on day of ICU admission	8.0 (6.0-10.0)
New paitnes daily averaged over ICU stay	0.0 (0.0-0.3)
New patients daily on day of ICU admission	0.0 (0.0-0.0)
Outcomes, %	
Ultimate hospital mortality	25.7
Original hospital mortality	25.0
Ultimate ICU mortality	19.1
Original ICU mortality	18.9
Original ICU <mark>length</mark> of <mark>stay</mark> , <mark>median</mark> (IQR), days	<mark>2.2</mark> (1.1-5.0)

Abbreviations: ADLs, activities of daily living; ICU, intensive care unit; IM2013, ICNARC model, 2013 recalibration; IQR, interquartile range.

- <sup>a</sup> Data were missing for comorbidities, n = 233 (0.5%); functional status prior to hospitalization n = 235 (0.5%); patient type, n = 5 (0.01%); predicted mortality (IM4), n = 12 (0.02%); level of care required, first 24 h, n = 191 (0.4%); level of care averaged over ICU stay, n = 20 (0.04%); treatment withheld/withdrawn, n = 1 (<0.01%); ultimate hospital mortality, n = 127 (3%), original hospital mortality n = 2 (<0.01%); ultimate ICU mortality n = 248 (0.5%).
- <sup>b</sup> Defined as receipt of invasive mechanical ventilation and/or extracorporeal respiratory support.
- <sup>c</sup> 1 = risk of their condition deteriorating, or those recently relocated from higher levels of care, whose needs can be met on an acute ward with additional advice and support from the critical care team; 2 = requiring more detailed observation or intervention including support for a single failing organ system or postoperative care and those stepping down from higher levels of care; 3 = requiring advanced respiratory support alone or monitoring and support for 2 or more organ systems including all complex patients requiring support for multiorgan failure.

higher mortality when the PIR was lower or higher than this value. A similar association was seen in the majority of the 20 bootstrapped samples. Similar U-shaped associations were seen for PIR with our other prespecified mortality outcomes: ultimate ICU mortality (nadir PIR of 7.8; P < .001); original ICU mortality (nadir PIR of 7.8; P < .001); original ICU mortality (nadir PIR of 7.6; P = .006) (eFigure 2 in the Supplement).

Using the alternate, prespecified definitions of PIR revealed varying associations with ultimate hospital mortality. Mortality increased monotonically and significantly with PIR defined as the number of new admissions (during daytime hours, **Figure 4**A; during the daily rounding period, Figure 4D). Significant U-shaped associations were seen with PIR defined as average severity of illness of all patients in the ICU averaged over the ICU stay of the index patient (Figure 4B) and as the number of patients in the ICU during the daily rounding period (Figure 4C). Definitions of PIR defined by workload on the day of a patient's admission were not signifi-

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Figure 1. Patient-to-Intensivist Ratios Across Intensive Care Units

Black line indicates median; blue rectangle, interquartile range; bars, full range.

<sup>a</sup> Total number of patients in the unit daily from 8:00 AM to 3:59 PM averaged over the patient's stay.



cantly associated with ultimate hospital mortality (eFigure 3 in the Supplement).

Post hoc sensitivity analyses indicated that the PIRultimate hospital mortality relationship depended on ICU size (eTable 2, eFigures 4 and 5 in the Supplement). Smaller ICUs had significant U-shaped associations but, as ICUs increased in size, the association was nonsignificant or more complex in shape. For ICUs with more than 1 daytime intensivist (30 409 patients in 42 ICUs in 41 hospitals), a nonsignificant, Ushaped pattern in the association of PIR with ultimate hospital mortality was seen (eFigure 6 in the Supplement); of note, the nadir PIR was similar to that in the main analyses.

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#### Figure 2. Patient-to-Intensivist Ratios Stratified by Patient and Hospital Factors



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Original Investigation Research





The plotted curve depicts the effect on mortality for an average patient (defined as having the average of all non-patient-to-intensivist ratio covariates) as a function of patient-to-intensivist ratio. Definition of patient-to-intensivist ratio is the total number of patients in the unit daily from 8:00 AM to 3:59 PM averaged over the patient's stay; there is an association between patient-to-intensivist ratio and ultimate hospital mortality (P = .006); and the association is nonlinear (P = .003). Blue dashes indicate point estimates; light blue bands, 95% Cls.

### Discussion

Across **UK** ICUs, we demonstrated significant variation in the average number of patients cared for by a single intensivist. The PIR, calculated as the total number of patients cared for by the intensivist for all or any portion of daytime hours averaged over the patient's ICU stay, had a **U-shaped** association with mortality **until a PIR of 12 after which no association was observed.** The ultimate hospital mortality **nadir** occurred at a PIR of **7.5** with higher mortality when the intensivists' patient-load was either **increased** or **decreased**. We found **no association between mortality and the PIR** when the PIR was based on the intensivists' patient-load on the day of a patient's admission **or between PIR and mortality for larger ICUs in our cohort**. Several alternate definitions of PIR accounting for a patient's full ICU stay did not reveal similar U-shaped associations.

The association of **lower PIR**s with higher hospital mortality may be explained by the volume-outcome relationship. This construct characterizes a situation in which **"practice makes perfect"**—the more frequently one does something (higher volume), the more likely it is to be done well (better outcome). In a recent meta-analysis of critically ill patients, significantly higher mortality was associated with being cared for in lower-volume centers.<sup>15</sup> By definition, individual intensivists who care for patients with lower PIRs are caring for fewer patients. At an extreme, this may negatively impact the outcome for these patients. Also, as seen in eTable 1 in the Supplement, at lower PIRs intensivists are asked to take on responsibilities outside the ICU that take them away from direct ICU patient care and, thereby, may impact outcomes. Finally, the abundance and experience of ancillary staff in very small ICUs (those more likely to have patients cared for by intensivists with low PIRs) may differ from that in larger units.

The association of higher hospital mortality with higher PIRs may be explained by the fact that 1 intensivist only has a set amount of time and energy to devote to his/her patients; the more patients there are, the less attention each may receive. In a prospective study of allocation of time on rounds, as the number of new patients increased, the time spent on each patient, particularly new patients, decreased.<sup>16</sup> In a study of US hospitalists, hospital LOS and LOS-adjusted cost rose with an increasing patient-to-physician ratio; of note, at higher hospital occupancy levels, the association of this ratio with hospital LOS was U-shaped.<sup>17</sup> Similar concerns exist for other health care workers; in the US, California mandates maximum patient-to-nurse ratios in ICUs.<sup>18</sup> We see a threshold effect at a PIR of approximately 12, after which further increases in PIR are not associated with hospital mortality. While this nonassociation may represent a truth-that above a certain PIR spreading an intensivist thinner makes no difference to his/her patients' outcomes, care must be taken in interpreting this result because only 17% of our cohort had PIRs greater than 12. Moreover, it is possible that some higher PIR intensivists have good patient outcomes, potentially as a result of more ancillary staffing to offset their patient load and/or more time to spend exclusively in the ICU without external responsibilities.

Prior literature on the impact of PIRs in ICUs is limited. To our knowledge, the only publication directly addressing this question was a historically controlled observational study<sup>8</sup> from the medical ICU at the Mayo Clinic. Over 2 years, the ICU structure was sequentially altered and the bed-to-intensivist ratio (similar to our patient-to-intensivist ratio) varied. While neither the standardized ICU nor hospital mortality ratio was associated with the bed-to-intensivist ratio, the observed/ predicted ICU LOS was highest with the highest (15 to 1) bedto-intensivist ratio.

Four other studies indirectly address this issue of the association of PIR and outcomes. A multicenter study of US ICU patients found no association of hospital death with ICU census on each patient's day of admission.<sup>19</sup> Whether 1 intensivist cared for all of the patients in the ICU, however, was not reported. A survey<sup>20</sup> of academic pulmonary and critical care program directors in the United States estimated median census for intensivists was 13 and respondents reported more time constraints, more stress, and more difficulties teaching trainees when caring for more than 13 patients. An observational study<sup>21</sup> from 8 ICUs in 4 French university hospitals reported that the adjusted risk of dying on a given shift was 2.0 times higher (95% CI, 1.3-3.2) if the PIR was more than 14:1 vs less than 8:1 on that shift. Finally, in a study<sup>9</sup> also using data from ICNARC CMP and UK-3Cs to look at UK ICUs, no association was found between having more fulltime equivalent intensivists on staff per ICU bed and hospital mortality. Because in this study intensivist-to-bed ratio was quantified as the average over the study period, it likely did not fully capture the experience for each individual patient whose PIR may significantly differ from the average. In addition, this measure of

#### Figure 4. Association of 4 Alternate Definitions of Patient-to-Intensivist Ratio and Ultimate Hospital Mortality







The plotted curves depict the effect on mortality for an average patient (defined as having the average of all non-patient-to-intensivist ratio covariates) as a function of patient-to-intensivist ratio. Displayed are the alternate patient-to-intensivist ratios with which there was a statistically significant association with ultimate hospital mortality; all are using daily data averaged over the index patient's ICU stay; there was no association found for patient burden or severity of illness on the day of an index patient's ICU admission (see eFigure 1 in the Supplement). Blue dashes indicate point estimates; light blue bands, 95% Cls. A, Definition of patient-to-intensivist ratio is the number of new patients in the unit daily from 8:00 AM to 3:59 PM averaged over the patient's stay; there is an association between patient-to-intensivist ratio and ultimate hospital mortality (P < .001); the association is not nonlinear (P = .02). B, Definition of patient-to-intensivist ratio and altimate hospital mortality and Research Centre (ICNARC) model of all patients in the

physicians-to-beds speaks more to the diversity and depth of the intensivist staff rather than the workload of any 1 intensivist when caring for an individual patient.

The potential confounding of the observed association of PIR with hospital and ICU mortality by ICU size is addressed by our sensitivity analyses. In smaller units, with many patients with PIRs near 7.5, we see the same relationship as for the full cohort. The relationship is lost in larger ICUs, however, where fewer patients have PIRs near 7.5; in this setting, we have limited power to identify the initial descending limb



**D** New patients during rounds (8:00 AM to 10:59 AM)



unit daily from 8:00 AM to 3:59 PM averaged over the patient's stay; there is an association between patient-to-intensivist ratio and ultimate hospital mortality (P < .001); and the association is nonlinear (P = .002); a similar association was found when severity of illness was assessed by the average level of care for each patient (rather than the ICNARC model). C, Definition of patient-to-intensivist ratio is the total number of patients in the unit during daily rounding period (8:00 AM-10:59 AM) averaged over the patient's stay; there is an association between patient-to-intensivist ratio and ultimate hospital mortality (P < .001); and the association is nonlinear (P < .001). D, Definition of patient-to-intensivist ratio is the number of new patients in the unit during daily rounding period (8:00 AM-10:59 AM) averaged over the patient's stay; there is an association between patient-to-intensivist ratio and ultimate hospital mortality (P < .001); and the association is nonlinear (P < .001). D, Definition of patient-to-intensivist ratio is the number of new patients in the unit during daily rounding period (8:00 AM-10:59 AM) averaged over the patient's stay; given the data distribution, this patient-to-intensivist ratio could not be modeled using restricted cubic splines; there is an association (modeled as linear) between patient-to-intensivist ratio and ultimate hospital mortality (P < .001).

of the U-shaped curve. Also, our analysis of large ICUs with multiple daytime intensivists allows for disentangling of ICU size from PIR. Although not statistically significant, this analysis demonstrates a similar U-shaped relationship of PIR and mortality with a nadir value of 7, close to that for our primary analysis; these results suggest we are seeing a robust association of outcome with PIR irrespective of ICU size. Finally, if the association with mortality was dictated solely by ICU size, we would expect that it would follow a strict volume-outcome relationship—namely, that higher PIRs would be associated with better outcomes across all PIR values. The fact that this is not the case at higher PIRs suggests that other factors are at play–namely, limits on time and mental-reserve which are felt by physicians.

Ours is the first multicenter study to assess how outcomes for critically ill patients are related specifically to the patientload of the intensivists caring for them throughout their ICU stay. Its strengths include a large sample of patients and ICUs, detailed clinical and validated severity of illness information available for each patient, and the wide variation in PIRs.

#### Limitations

Our study has several limitations. First, critical care is a multidisciplinary undertaking and care teams are composed of providers across many specialties and levels of training. We did not have information on the particular composition of each patient's care team and it is likely that the impact of the intensivist workload is affected by the presence of other staff members.<sup>7</sup> Our results must be interpreted as the impact of the patient-to-intensivist led team ratio on mortality, therefore, with recognition that team structure surely mediates this interaction.

Second, the generalizability of our quantitative results outside of the UK is likely limited. The precise nadir value for a given context is likely influenced by numerous factors including intensivist training and experience, details of ICU structure, staffing by other health care workers, and patient type and severity—which can differ across ICUs and countries. For example, the United Kingdom has substantially fewer ICU beds and admissions per capita than most of Western Europe or the United States.<sup>22</sup> And (in comparison with the United States) UK ICU patients are younger, have greater physiologic abnormalities, more frequently receive mechanical ventilation, and have higher hospital mortality.<sup>23</sup> This high severity of illness of the patients in UK ICU beds may mean that the optimal PIR is lower than in other places that do not have such high acuity of illness, but this remains speculative. Finally, our aim was to understand the relationship of hospital mortality with a simple measure of PIR rather than a more complex construct of workload which may include patient volume, illness severity, and patient turnover together. Assessing the association of workload conceived in this way with outcomes will be important to address in future studies.

## Conclusions

In many regions, intensivists are perceived to be in short supply and the movement to have intensivists physically present in ICUs at all times further stretches available manpower.<sup>24</sup> Our findings indicate that caution is needed in designing intensivist staffing models in this supply-limited environment. While our finding that the optimal PIR is 7.5 may not be generalizable to non-UK ICUs, or ICUs with strong ancillary staffing or senior trainees, the drivers of the association between PIR and hospital mortality are likely universal; thus, the U-shaped relationship we found is likely broadly applicable. Responding to the increasing demand for ICU care by stretching available intensivist resources ever thinner may be detrimental to patients. Conversely, having intensivists care for too few patients may also result in poor outcomes. While our analyses cannot demonstrate causality and PIR may be a marker of other ICU staffing or structural differences that impact patient outcomes, our results suggest there may be a "sweet spot" for the PIR. Further study is needed to identify drivers (eg, ancillary staffing) of the optimal PIR value across different critical care settings and to investigate whether altering the PIR causes patient outcomes to change.

### ARTICLE INFORMATION

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