

# The Gut as the Motor of Multiple Organ Dysfunction in Critical Illness



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## KEYWORDS

• Sepsis • MODS • Gut • Intestine • Critical illness

## KEY POINTS

- The gut is composed of an **epithelium**, **adaptive immune** system, and **microbiome**. Each plays a crucial role in the maintenance of health and the pathophysiology of critical illness.
- **Toxic** mediators travel through **mesenteric lymphatics**, causing **remote inflammatory injury**. Preclinical trials have demonstrated that **ligation** of the **lymph duct** can **prevent lung injury** caused by **gut-derived** factors.
- **Gut integrity** is compromised in critical illness with **increases** in apoptosis and **permeability**. Multiple preclinical studies have demonstrated that **targeting gut epithelial integrity** results in **improved survival** in critical illness.
- The **microbiome** can **alter its behavior** based on environmental cues. Preventing bacteria from becoming virulent or reprogramming them to a nonvirulent phenotype may **revolutionize the treatment of gut-derived sepsis**.
- **Outside of enteral nutrition, no treatment** targeting the **gut** is **currently** widely used in the intensive care unit. Multiple techniques for modulating the microbiome are of potential interest as therapeutics.

## OVERVIEW

The gut has been hypothesized to be the motor of multiple organ dysfunction syndrome (MODS) for the past quarter century.<sup>1–3</sup> Whereas initial theories of gut and critical illness suggested that hyperpermeability resulted in bacterial translocation into the systemic circulation, the reality is significantly more complex than was hypothesized originally. **All elements of the gut**—the **epithelium**, the **immune** system, and the **microbiome**—are impacted by critical illness and can, in turn, propagate a **pathologic host**

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response. Further, alterations in the gut can lead to both **local** and **distant insults**, via alterations in homeostatic processes and defense mechanisms as well as release of **toxic mediators** into both the **mesenteric lymph** and the **systemic circulation**. Although considerable effort has been put into directly targeting the gut for therapeutic gain in critical illness, the results to date have been modest. This review focuses on the cellular and molecular underpinnings of **how the gut functions as the motor of MODS**, as well as clinical ways in which the gut can, at least in part, be potentially manipulated for therapeutic gain.

## THE GUT IN HEALTH

### *Epithelium*

The gut contains a **single layer epithelium** with a **myriad** of important **functions**. It provides a **large surface area**—estimated to be approximately **32 m<sup>2</sup>** or one-**half** the size of a **badminton court**<sup>4</sup>—for use in nutrient absorption and preventing entrance of pathogens from its lumen. **Microscopically**, the gut is in a state of **constant renewal** from the **multipotent stem cells** near the **crypt base**. These give rise to daughter cells, which then give rise to **4 major intestinal cell types**: (a) **enterocytes**, which **absorb** nutrients and make up greater than **90%** of intestinal epithelial cells, (b) **mucus-producing goblet cells**, (c) **hormone-producing enteroendocrine cells**, and (d) **defensin-producing Paneth cells** that **protect** intestinal stems cells and play a **role** in **intestine-microbiota interactions**.<sup>5</sup> Unlike other cells in the gut that **migrate upward** along the villus, **Paneth cells migrate downward toward the crypt base**. The journey from cell birth, differentiation, and migration along the villus to cell loss via either apoptosis or luminal sloughing of intact cells **takes only 5 to 7 days** in a healthy human.

### *Immune System*

The intestine is the **largest lymphoid organ of the body**.<sup>6</sup> It contains **4 immune cell compartments**: **Peyer's patches**, the **lamina propria**, **mesenteric lymph nodes**, and **intraepithelial lymphocytes**. Peyer's patches come in contact with luminal antigens and direct antigen-presenting cells to the mesenteric lymph nodes. This sets off the **immune differentiation** of **T and B cells** in the draining **nodes**. The highly complicated gut mucosal immune system plays a myriad of roles in host defense including (but not limited to) antigen recognition, presentation, amplification of antigen-specific response, and **production** of **cytokines** and **chemokines**.<sup>7</sup>

### *Microbiome*

There are **10 times more bacterial cells in a human than host cells**—**100 trillion** bacteria to **10 trillion** human cells.<sup>8</sup> Under normal conditions, there is a well-tolerated symbiotic relationship between the human host and its microbiome, which has a robust diversity, with the **predominant** species being **Bacteroides** and **Firmicutes**. With the recent explosion in our (still nascent) understanding of the microbiome, it has become apparent that the **diversity** of an individual's microbiota **depends** on a wide variety of **factors** starting from the **type of birth** they underwent (**vaginal** or **Cesarean** section) to the **diet** they eat to their age to **even the pets** they **have**.<sup>9</sup>

## PRECLINICAL INSIGHTS INTO THE ROLE OF THE GUT AS THE MOTOR OF MULTIPLE ORGAN DYSFUNCTION SYNDROME

### *The Gut Lymph Hypothesis*

Given the overwhelming number of bacteria that reside in the intestine, the initial hypothesis for why the gut is the motor of MODS was whole bacteria translocation that

spread via portal circulation. Although bacterial translocation clearly occurs in some preclinical models of critical illness,<sup>10</sup> human data have generally remained inconclusive or not supportive of this as a common phenomenon seen in critically ill patients, although it likely occurs in select pathophysiologic conditions.<sup>11,12</sup> A search for how intestine-derived mediators caused distant injury led to the gut-lymph hypothesis. This theory postulates that toxic mediators from the gut travel through mesenteric lymphatics toward the lung where they cause remote injury. Several lines of investigation support the importance of the gut-derived lymph as being physiologically important. When the mesenteric lymph duct is ligated in multiple models of critical illness, lung injury and neutrophil activation are abrogated or prevented and, importantly, mortality is diminished or prevented.<sup>13,14</sup> Additionally, when mesenteric lymph from rats undergoing trauma/hemorrhagic shock is injected into nonmanipulated rats, the rats receiving the injection develop lung injury similar to shock rats.<sup>15</sup> Of note, gut-derived lymph typically does not contain intact bacteria, endotoxin, or cytokines but rather contains protein or lipid factors that stimulate Toll-like receptor 4, leading to activation of inflammatory neutrophils in the lung. Although not a part of the gut-lymph hypothesis, it has also been shown that gut-specific deletion of Mttp (a protein required for chylomicron assembly) improves survival in septic mice subjected to *Pseudomonas aeruginosa* pneumonia,<sup>16</sup> although aged animals with the identical genetic knockout have lower survival when subjected to the same insult.<sup>17</sup>

### Apoptosis

Cell death via apoptosis is an evolutionarily conserved process that is important for normal development and function. However, gut epithelial apoptosis seems to be detrimental after the onset of sepsis. Both preclinical mouse models of sepsis and autopsy studies of patients who died in the intensive care unit (ICU) demonstrate a marked upregulation in gut epithelial apoptosis compared with those who die without sepsis.<sup>18,19</sup> Gut-specific overexpression of the antiapoptotic protein Bcl-2 has been shown to decrease sepsis-induced intestinal epithelial apoptosis and importantly improve survival in murine models of both cecal ligation and puncture and *P aeruginosa* pneumonia.<sup>20,21</sup> Notably, this beneficial effect of Bcl-2 overexpression is abrogated in septic mice with cancer, suggesting that alterations in the host response caused by comorbidities can impact gut apoptosis.<sup>22</sup>

There is evidence that cross-talk exists between the intestinal epithelium and immune system in sepsis that results in changes in gut epithelial apoptosis. Although the presence or absence of lymphocytes does not impact gut epithelial apoptosis under basal conditions, sepsis-induced gut epithelial apoptosis is significantly higher in Rag<sup>-/-</sup> mice (which lack lymphocytes) than wild-type mice, suggesting that lymphocytes play an antiapoptotic role in the gut epithelium that is, unmasked in sepsis.<sup>23</sup> Subset analysis demonstrates that CD4<sup>+</sup> T cells are responsible for the antiapoptotic effect of the adaptive immune system on the gut epithelium. In addition, when Bcl-2 is overexpressed in myeloid cells, there is a decrease in the amount of gut epithelial apoptosis after sepsis, in addition to improved survival.<sup>24</sup>

### Hyperpermeability

The intestinal epithelium consists of only a single layer of cells that is responsible for maintaining a permaselective barrier that, in a simplistic description, is charged with keeping out the bad and letting in the good. It performs these functions via cell-cell intramembrane protein interactions within the tight junction.<sup>25</sup> There are several families of intramembrane proteins (claudins, occludin, tricellulin, junctional adhesion molecule), as well as intracellular connector proteins (zonula occludins, myosin light

chain) that link the tight junction to the intracellular cytoskeleton and allow for modulation of the space.<sup>26,27</sup> Alteration of this space can lead to changes in intestinal permeability, and there is significant evidence that **intestinal permeability is increased after sepsis and MODS.**<sup>28,29</sup>

There is increasing preclinical evidence that targeting tight junctions directly or indirectly might have beneficial effects in critical illness. When myosin light chain kinase is activated, it phosphorylates the myosin light chain causing contraction of the cytoskeleton, increasing the intercellular space and thereby increasing permeability. Inhibiting myosin light chain kinase in mice in the setting of **binge alcohol ingestion and burn injury decreases bacterial translocation** and intestinal **cytokine** production to levels seen in sham animals, associated with a prevention in injury-induced alterations in tight junction expression and localization.<sup>30,31</sup> A broader strategy involves targeting global intestinal integrity. Epidermal growth factor is a cytoprotective peptide that exhibits trophic and healing effects on the intestinal mucosa. When mice are given systemic epidermal growth factor after the onset of either cecal ligation and puncture or *P aeruginosa* pneumonia, they have improved or normalized permeability, apoptosis, proliferation, and villus length. Importantly, this is associated with a significant improvement in survival, even if the drug is initiated 24 hours after the onset of sepsis.<sup>32,33</sup> This **improvement in survival seems to be mediated through the gut as transgenic mice with enterocyte-specific overexpression of epidermal growth factor have the same improvement in intestinal integrity and survival after sepsis as those that receive systemic epidermal growth factor.**<sup>34</sup>

### ***Altering the Microbiome***

There is increasing recognition that **microbes** are not inherently good or bad, but rather **alter their behavior based on their environment.** Bacteria that are present in someone's **healthy microbiome** for decades **can become virulent** if **environmental** cues suggest an advantage to them. Further, simply the presence of **bacteria that can cause fatal disease** does **not inherently** implicate them as being **pathologic.** For example, *P aeruginosa* injected into the cecum of mice undergoing a **sham** operation and subsequently removed can be **injected** into the **peritoneum** of a control mouse **without** causing any **disease.** In contrast, if *P aeruginosa* is injected in the cecum of mice subjected to a **nonlethal partial hepatectomy** and subsequently **removed** and **injected** into a control mouse **peritoneum,** the resulting **mortality is 100%.**<sup>35</sup> The ability of bacteria to sense host stress, their own environment, and surrounding bacterial density and alter their virulence in response has profound clinical implications.<sup>36,37</sup> This is because microbial identification without attention to its virulence may not be sufficient for treating critically ill patients while **the simple presence of bacteria is not inherently harmful.** In addition, **virulent bacteria** can potentially cause **MODS** without systemic **dissemination.** Thus, a potential complementary approach to improving the antibiotic pipeline and preventing antimicrobial resistance is to **prevent bacteria from becoming virulent** or **reprogramming** them to a **nonvirulent phenotype.** A preclinical example of this is seen with administration of a **nonantibiotic, high-molecular-weight polymer** that **protects mice** inoculated with **typically virulent organisms** from mortality by altering their phenotype.<sup>38</sup> A further example of how the host response is altered by the microbiome can be seen when studying **germ free mice,** which are raised in microisolator cages and lack an endogenous microflora. When **germ-free mice** are **given *P aeruginosa* pneumonia,** they have a significantly **higher mortality** compared with wild-type mice<sup>39</sup>; however, **germ-free mice** subjected to hemorrhagic shock or ischemia-reperfusion injuries have an **improved survival** compared with mice with intact, **normal gut microflora.**<sup>40,41</sup>

## GUT FAILURE IN CRITICALLY ILL PATIENTS

### *Clinical Diagnosis of Gut Failure*

Symptoms of gut failure in the ICU are nonspecific and are not included currently in severity scoring symptoms such as the Sequential Organ Failure Assessment score. A recent prospective multicenter study of 377 patients in the ICU requiring mechanical ventilation sought to determine whether 6 gastroenterological symptoms, namely, high gastric residual volumes, absent bowel sounds, vomiting/regurgitation, diarrhea, bowel distension, and gastrointestinal bleeding, could predict patient outcome.<sup>42</sup> None of the symptoms<sup>43</sup> alone was an independent predictor of mortality. However, when 3 or more symptoms were present at day 1 of ICU stay, there was a 3-fold increase in the risk of mortality.

Additionally, analysis of patient stool samples has shown promise in predicting outcomes. In a study of nearly 500 stool samples from an ICU cohort with sepsis, it was determined that when fecal pH goes up or down by 1, the incidence of bacteremia more than triples and mortality more than doubles.<sup>44</sup> Further, a decrease in obligate and facultative anaerobes has been shown to correlate with increased risk of mortality in patients with the systemic inflammatory response syndrome, whereas a depleted or single pattern fecal stain for bacteria is associated with a greater risk of mortality in MODS compared with a diverse pattern.<sup>43</sup>

Although not commonly used clinically, biomarkers have shown significant promise in diagnosing gut failure. The concentration of plasma citrulline is a marker of enterocyte functional metabolic mass, so decreased serum citrulline is a potential marker of intestinal damage. Further, intestinal fatty acid-binding protein is localized in enterocytes and is released after enterocyte damage, so an increase in this protein is also a potential marker of intestinal damage. The importance of both citrulline and intestinal fatty acid binding protein was recently shown in a series of more than 100 medical intensive care unit patients, of which 15% had septic shock and 20% had acute respiratory distress syndrome.<sup>45</sup> Increased intestinal fatty acid binding protein on ICU admission was associated with catecholamine support, higher lactate, higher Sequential Organ Failure Assessment score, and higher International Normalized Ratio, whereas decreased citrulline was associated with higher intraabdominal pressure, greater C-reactive protein concentration, and more frequent antibiotic use. Alterations in both were associated with greater 28-day mortality. Of note, 2 additional studies found increased serum intestinal fatty acid binding protein in patients with acute mesenteric ischemia.<sup>46,47</sup>

## TARGETING THE MICROBIOME

Clinical strategies aimed at augmenting, decreasing, or transplanting the microbiome are all used in clinical practice to varying degrees. Despite the widely varying intellectual basis for each of these as a potential therapeutic, each has shown some potential benefit, although their efficacy and potential unwanted side effects remain incompletely understood.

### *Probiotics, Prebiotics, and Synbiotics*

Because microbial diversity has been shown to be associated with outcomes in critical illness, the concept of augmenting “good” bacteria and restoring microbial ecology is potentially beneficial with the goal of restoring a normal, diverse flora. This can be done in a number of complementary ways: (a) probiotics are exogenous live organisms, (b) prebiotics are nondigestible nutrients that stimulate commensal bacterial growth, and (c) synbiotics are a combination of probiotics and prebiotics. The theoretic benefit of each of these is multifactorial, including local release of antimicrobial

factors, maintenance of gut barrier integrity, competition for epithelial adherence, prevention of bacterial translocation, and modulation of the local immune response.<sup>48</sup> Two recent meta-analyses of probiotics in more than 1000 patients in the ICU demonstrate a decrease in the incidence of ventilator-associated pneumonia, with one showing a decreased length of stay.<sup>49,50</sup> No alteration in mortality was noted. It should be noted that the **largest trial of probiotics to date showed increased mortality** (16% vs 6%) in 296 patients with severe pancreatitis.<sup>51</sup> However, this trial has been **heavily criticized**,<sup>52</sup> and does not seem to be representative of other studies of probiotics. Multiple questions remain before augmenting the microbiome gaining widespread usage as a strategy to improve outcomes in the ICU. These include what (if any) the optimal probiotic agent is, if combinations of agents are more beneficial, if synbiotics are superior to probiotics alone, what the ideal “dose”, is and what the long-term safety profile is.

### ***Selective Decontamination of the Digestive Tract***

In **contrast** with augmenting the microbiome, **selective decontamination of the digestive tract (SDD)** seeks to preferentially minimize pathogenic enteral bacteria. The goal of this practice is to **eradicate oropharyngeal and intestinal carriage of pathogenic microorganisms without adversely impacting the remaining microbiome** on either the patient level or the ICU level. **SDD includes 3 components:** (a) 4 to 5 days of **parenteral antibiotics** (cefotaxime in previously healthy patients, combination therapy or antipseudomonal cephalosporin in patients with chronic disease), (b) **nonabsorbable enteral antibiotics** given via nasogastric tube given throughout the ICU stay, and (c) **pastes or gels** applied to the **oropharynx**.<sup>53</sup> It should be noted that the term “selective” is a bit of a **misnomer**, because this approach **targets both normal and abnormal flora**, and does not cover multiple low-level pathogens.

For a practice that is **used rarely** worldwide (with certain exceptions), the **data on SDD are both robust and impressive**. In fact, it is a great paradox that **the sheer volume of studies** on this practice might be **greater** (and **more supportive**) than in **almost any aspect of critical care**, yet this has **not** translated to a **change** in clinical **practice**. Specifically, there have been more than **60 randomized controlled trials** and more than 10 metaanalyses on SDD in more than **15,000 patients**, demonstrating a **reduction of lower airway infection of 72% and bloodstream infection by 37%**.<sup>54,55</sup>

Given this significant literature, **why is SDD not used more commonly**? The answer relates exclusively to concerns related to the **development of antibiotic resistance**. Although the majority of studies examining this issue have **not demonstrated the development of resistance** (although a few have), these have generally been **performed in ICUs that have low levels of antibiotic resistance at baseline**.<sup>56</sup> With increasing attention being paid to antibiotic stewardship and resistance worldwide, the fear that widespread antibiotic usage for preventive purposes will induce new and difficult or impossible to treat “superbugs” has limited adoption of SDD. Further, with an increased understanding of the importance of microbial health and diversity, it is currently unclear how these are impacted by the use of SDD in critically ill patients.

### ***Fecal Transplant***

There has recently been an explosion of interest in fecal microbiota transplant, where stool from a healthy donor is given to a recipient with the goal of restoring the microbiome to its homeostatic state seen in health. Although multiple indications are currently being studied, the most convincing data are in **recurrent *Clostridium difficile* infection**, where **cure rates are 3 times higher** than seen with conventional medical therapy **without apparent side effects**.<sup>57</sup> To date, **fecal transplant** is **not** typically



used in critically ill patients because antibiotic use (which is common in the ICU) would immediately change the microbial components of a patient's stool (either from donor or recipient) after the transplant.

### Nutrition

Although a comprehensive review of nutritional support is outside the scope of this review, it is worth emphasizing the importance of nutritional support in the ICU, as one of the major roles of the healthy intestine is to absorb nutrients. Enteral nutrition is preferable to parenteral nutrition because enteral nutrition has beneficial effects on gut-associated lymphoid tissue and mucosal health, and not does have the increased risk of infection associated with parenteral nutrition. Enteral nutrition should be initiated within 48 hours of ICU admission if possible.

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