DALI: Defining Antibiotic Levels in Intensive care unit patients: Are current beta-lactam antibiotic doses sufficient for critically ill patients?

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40-word Summary: This was a multinational study to determine the appropriateness of beta-lactam antibiotic dosing in critically ill patients. 16% treated for infection did not achieve minimum concentrations targets and these patients were 32% less likely to have a positive clinical outcome.

Abstract

Background. Morbidity and mortality for critically patients with infections remains a global healthcare problem. We aimed to determine whether beta-lactam antibiotic dosing in critically ill patients achieves concentrations associated with maximal activity and whether antibiotic concentrations affect patient outcome.

Methods. This was a prospective, multinational pharmacokinetic point-prevalence study including 8 beta-lactam antibiotics. Two blood samples were taken from each patient during a single dosing interval. The primary pharmacokinetic/pharmacodynamic targets were free antibiotic concentrations was above the minimum inhibitory concentration (MIC) of the pathogen at both 50% ($50\% f T_{>MIC}$) and 100% ($100\% f T_{>MIC}$) of the dosing interval. We used skewed logistic regression to describe the effect of antibiotic exposure on patient outcome.

Results. We included 384 patients (361 evaluable patients) across 68 hospitals. The median (interquartile range) age was 61 (48-73) years and Acute Physiology and Chronic Health Evaluation II score was 18 (14-24) and 65% of patients were male. Of the 248 patients treated for infection, 16% did not achieve $50\% f T_{>MIC}$ and these patients were 32% less likely to have a positive clinical outcome (odds ratio: 0.68, p=0.009). Positive clinical outcome was associated with increasing $50\% f T_{>MIC}$ and $100\% f T_{>MIC}$ ratios (odds ratios: 1.02 and 1.56, respectively, p<0.03), with significant interaction with sickness severity status.

Conclusions. Infected critically ill patients may have adverse outcomes as a result of antibiotic inadequate exposure and as such a paradigm change to more personalised antibiotic dosing may be necessary to improve outcomes for these most seriously ill patients.

Introduction

Infections in critically ill patients are a major burden to the healthcare system. Of concern for clinicians and administrators, neither the incidence of these infections over the past 30 years nor the mortality rates appear to be improving. This challenging dilemma has led to 70% of all intensive care unit (ICU) patients being prescribed antibiotics at any one time [1]. With such high rates of usage, it is easy to understand why the ICU stay is associated with the development of increasing levels of antibiotic resistant bacteria that then pervade other healthcare settings.

For severe infections causing sepsis and septic shock, the early initiation of antibiotics with an appropriate spectrum for the likely pathogen has been demonstrated to be an effective intervention [2-4]. It has been suggested that superior infection outcomes could be achieved in critically ill patients by optimisation of the pharmacokinetic exposure of antibiotics [5, 6]. These suggestions are based, in part, on numerous data demonstrating grossly altered pharmacokinetics in critically ill patients from small single centre studies [7]. Given that antibiotic dosing regimens are derived from healthy volunteers and do not account for these major differences in drug disposition, the present approach is likely to lead to sub-optimal outcomes for critically ill patients [5, 8].

Beta-lactam antibiotics (penicillins, cephalosporins, carbapenems and monobactams) are the most commonly prescribed family of antibiotics. From a pharmacokinetic/pharmacodynamic (PK/PD) perspective, pre-clinical studies have defined these antibiotics to be time dependent, that is, the time for which the free (unbound) antibiotic concentration is maintained above the minimum inhibitory concentration (MIC) is the determinate factor associated with bacterial killing (f T_{>MIC}).[9, 10] Whilst animal *in vivo* studies have defined a f T_{>MIC} between 40-70%

of the dosing interval as being necessary [11], retrospective clinical evaluations have suggested that larger drug exposures are required, with beta-lactam concentrations up to four times the MIC for the entire dosing interval being suggested [12, 13]. However, it remains unclear what PK/PD exposure is clinically necessary for maximal patient benefit.

With the present level of knowledge, there is little robust data to direct further improvement for antibiotic treatment in critically ill patients. Limiting progress is the absence of large-scale data on the appropriateness of present dosing. To address these deficiencies, we undertook the DALI (Defining Antibiotic Levels in Intensive care patients) Study.

Aims

The primary objective of the study was to determine whether contemporary beta-lactam antibiotic dosing in critically ill patients across a large number of ICUs achieves concentrations associated with maximal activity. The secondary objective was to correlate the observed antibiotic PK/PD with the clinical outcomes of therapy.

Methods

The DALI study was a prospective, multi-centre pharmacokinetic point-prevalence study. The detailed protocol for this study has been published previously [14]. The beta-lactam antibiotics eligible for this analysis were amoxicillin (co-administered with clavulanate), ampicillin, cefazolin, cefepime, ceftriaxone, doripenem, meropenem and piperacillin (co-administered with tazobactam).

Ethical approval to participate in this study was obtained at all participating centres and informed consent was obtained for each patient. The lead site was The University of Queensland, Australia (Approval 201100283, May 2011). Patients were all identified for participation by clinical ICU staff on the Monday of the nominated sampling week, with blood sampling and data collection occurring throughout that week.

Pharmacokinetic/Pharmacodynamic targets

The PK/PD ratio is defined as the ratio between the measured free antibiotic concentration in plasma at 50% or 100% of the dosing interval and the MIC. The target PK/PD ratios used in this study are shown in Table 1. Where available, the MIC of the known pathogen was provided by the local microbiology laboratory. Where an MIC was not available, as many centres do not routinely generate these data, the MIC of the pathogen was defined by The European Committee on Antimicrobial Susceptibility Testing (EUCAST) MIC₉₀ data; available at: http://www.eucast.org/clinical_breakpoints). Where no pathogen was formally identified, the highest MIC for susceptible bacteria to the antibiotic was assumed. These breakpoints were chosen for a worst case scenario of bacterial susceptibility which is what empiric dosing is based upon.

Study treatments and blood sampling

Antibiotic dosing was as per the treating clinician and therapy could be administered by either intravenous intermittent or continuous infusion. Each patient had two blood samples taken for each beta-lactam antibiotic they were receiving. Blood sample A was a mid-dose blood sample at 50% of the way through a dosing interval and blood sample B was a pre-dose level at the end of a dosing interval. The observed concentrations were then interpreted in

relation to the known or presumed MIC of the pathogen. For example, the $\frac{100\% f \, T_{>4xMIC}}{100\% f \, T_{>4xMIC}}$ would be attained if the blood sample B concentration exceeded the MIC by at least a factor of four.

Data collection

Data collection was performed by trained staff at each participating centre and entered onto a case report form (CRF). Various demographic and clinical data were collected including age, gender, height, weight, presence of renal replacement therapy (RRT) and measures of organ function and levels of patient sickness severity as described by the APACHE II (Acute Physiology and Chronic Health Evaluation) Score [15] on admission, SOFA (Sepsis Organ Failure Assessment) Score on day of sampling [16]. Mortality at 30-days was also collected. Clinical outcome of therapy was assessed using the definitions in Table 1. Combination therapy was defined as the concomitant use of two or more antibiotics of different mechanistic classes at the time of pharmacokinetic sampling.

Antibiotic dosing data including the dose, infusion duration, frequency of administration, the time of dosing and sampling and the day of antibiotic therapy were collected. All data were collected by the coordinating centre (Burns Trauma and Critical Care Research Centre, The University of Queensland, Australia).

Maintenance of sample integrity

Blood samples were processed and stored per protocol to maintain integrity. A commercial courier company transported the clinical samples on dry ice to the coordinating centre.

Bioanalysis

The concentration of the study antibiotics in the biological samples were determined by validated chromatographic methods (HPLC and LC-MS/MS) (US Food and Drug Administration guidelines: www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatory Information/Guidances/UCM070107.pdf). Unbound drug concentrations were directly measured for highly protein bound drugs cefazolin and ceftriaxone using ultrafiltration with 30 kDa cut-off devices (Centrifree, Merck Millipore, Tullagreen Ireland) [17].

Statistical Analysis

Basic statistics on demographic, clinical and PK/PD related data were presented by number (%) or median (interquartile range), as appropriate. The distributions of clinical and PK/PD related study parameters were compared among different antibiotics using the Kruskal-Wallis test.

To evaluate and compare the possible association of PK/PD targets with therapy-related outcome, after adjusting for APACHE II and SOFA scores, the skewed logistic regression technique [18]. The odds ratios and bootstrapped 95% confidence intervals were obtained, and the model fits were assessed using Akaike Information Criteria (AIC) and Bayesian Information Criteria (BIC). Based on the estimated probabilities from the above models, the area under the receiver operating characteristic curve (AROC) along with the 95% confidence intervals were estimated.

The probability of a positive clinical outcome associated with the ratio of concentration to MIC in interaction with higher and lower levels of sickness severity (APACHE II score) were

evaluated using an interaction based logistic regression setup. High and low sickness severity groups were categorised into first and third quartiles. Graphical presentation of the confidence bounds for the probability of positive clinical outcome associated with concentration to MIC ratio were developed using these groups.

Results

Demographic and clinical data

In 68 ICUs across 10 countries, 384 patients receiving beta-lactam antibiotics were identified. Twenty-three patients were excluded because of protocol violations relating to incorrect blood sample timing leaving 361 evaluable patients. The demographic and clinical details for the patients are described in Table 2.

Antibiotics were mostly used for treatment of infection (68.7%) with the remainder defined as therapy for prophylaxis of infection (31.3%). One third of patients (32.6%) had their antibiotic course commenced in the 24-hours prior to blood sampling.

PK/PD data

The data describing the achievement of PK/PD targets with empiric dosing is described in Table 3. The box and whisker plots in Figure 1 show up to 500-fold variations in the unbound concentrations of some antibiotics at both the 50% and 100% sampling times. As shown by the boxplots in Figure 2, this concentration variation also extended to variation of PK/PD indices at both time points.

Clinical outcome data

The clinical cure (positive clinical outcome) rate across patients receiving both treatment and prophylaxis with beta-lactam antibiotics was 66.5%. The most common indications for beta-lactam therapy were lung infection 41% and intra-abdominal infections 14%. 21.9% of patients had died at Day 30 post inclusion in the study and of these patients, 40.8% of these deaths were considered related to the infection. The total infection-related mortality for all patients was 8.9%.

Among those treated for infection (n=248), 144 (58.1%) patients had a positive clinical outcome. Of the patients treated for infection, 72.9% had a bacterial pathogen isolated of which 34.2% had a pathogen MIC available. Of the pathogens identified, 18% were *Pseudomonas aeruginosa* (median MIC 8 mg/L; IQR 2-16) and 16% were *Escherichia coli* (median MIC 4 mg/L; IQR 1-16). The rates of positive clinical outcomes for these groups were 66% where no pathogen was isolated, 57% where one pathogen was isolated and 54% for polymicrobial infections. Beta-lactam monotherapy treatment was used in 38% of patients (n=67) of which 50% of patients achieve a positive clinical outcome compared with the combination therapy group which was 63%.

Sixty-seven percent of patients being treated for infection received therapy by intermittent bolus dosing and 33% by prolonged infusion (either an extended infusion >2 hours or a continuous infusion). Of the patients that received prolonged infusion $\frac{7\%}{6}$ did not achieve $\frac{50\%}{6}$ $\frac{6}{100}$ T_{MIC} compared with $\frac{20\%}{6}$ of patients receiving intermittent infusion.

Sixteen percent of patients treated for infection did not achieve 50% f T_{>MIC} and these patients were 32% less likely to have a positive clinical outcome (odds ratio: 0.68, 95% CI: 0.52, 0.91; p=0.009).

In our multivariate regression models, only APACHE II score, SOFA score, and the PK/PD indices $50\% f \, T_{>MIC}$ and $100\% f \, T_{>MIC}$ were significantly associated with the clinical outcome (p \leq 0.05). The median (IQR) APACHE II score for patients with positive and negative clinical outcomes were 18 (13 - 23) and 21 (16 - 27) respectively (p < 0.01). An increase in APACHE II score by one point was significantly associated with a 5% increased risk of negative outcome (odds ratio: 1.05; 95% CI: 1.02, 1.07). For the $50\% f \, T_{>MIC}$ and $100\% f \, T_{>MIC}$ data, a higher PK/PD ratio was associated with higher likelihood of a positive clinical outcome (odds ratio: 1.02; 95% CI: 1.01, 1.04 and odds ratio: 1.56; 95% CI: 1.15, 2.13 respectively). The results for the model for the 220 patients who did not receive renal replacement therapy are shown in Table 4.

The predictive value of the 50% f T_{>MIC} and 100% f T_{>MIC} ratio for positive clinical outcome were the same, AROC 0.63 (0.56-0.71) and 0.63 (0.56-0.71) for 50% f T_{>MIC} and 100% f T_{>MIC}, respectively.

The analyses of interaction effects of sickness severity status and increasing $50\% f T_{>MIC}$ ratios on the clinical outcome revealed that the likelihood of positive clinical outcome is significantly higher with increasing level of ratio of antibiotic concentration to MIC for those with lower APACHE II score, compared to those with higher APACHE II score (Figure 3A and 3B).

We also examined the effect of 50% f T_{>MIC} on positive clinical outcome for different types of infection. For blood stream infections (n=24), a significant association was clearly present with increasing antibiotic concentrations at 50% of the dosing interval resulting in a greater probability of positive clinical outcome (odds ratio: 1.13; 95% CI: 1.07, 1.19). However, neither lung infection (n=104; odds ratio: 0.99; 95% CI: 0.99, 1.00) nor intra-abdominal infection (n=35; odds ratio: 1.01; 95% CI: 0.96, 1.06) showed any significant associations.

The mean and median levels of MIC for all suspected bacteria in all patients were 8 mg/L and 2 mg/L respectively. The patients with a pathogen with a MIC < 2 mg/L were 2.3 times more likely to achieve a positive clinical outcome (odds ratio: 2.27; 95% CI: 1.79 – 2.87).

Discussion

This multi-national point prevalence study is the first to examine unbound plasma concentrations of beta-lactam antibiotics and patient outcome across a large number of ICUs. These data show that mid-dose and trough beta-lactam concentrations vary widely and as such achievement of PK/PD targets are highly inconsistent. Of great concern, one-fifth of patients do not even achieve a minimum conservative PK/PD target, 50% f T>MIC. This study has also generated interesting hypotheses related to much higher target beta-lactam pharmacokinetic exposures than would have been previously considered for clinical outcome of infection. The dictum of "one dose fits all" is shown here to be problematic.

Our finding of large variations in plasma concentrations of beta-lactam antibiotics in ICUs is in keeping with other studies. Recent reviews have noted the enormous pharmacokinetic variability of beta-lactam antibiotics in critically ill patients [6, 7], however, all the studies

commented on in these reviews were derived from single centres, or from only very few related centres. These studies are valuable as they demonstrate how antibiotic concentrations in different types of patients will differ from non-critically ill patients. Such data are essential for articulating how antibiotic dosing regimens that meet the specific needs of these patients could be developed given that present regimens are not tested in these most severely ill patients by pharmaceutical companies.

Antibiotics discovered and evaluated *in vitro* are tested in animals initially for toxicity, and subsequently for efficacy. The antibiotic dose and frequency are based on these *in vitro* or animal *in vivo* PK/PD studies. These dosing regimens are then tested on healthy human volunteers for tolerability with clinical efficacy studies undertaken in non-critically ill patients. After the launch of the drug onto the general market the same dosing regimen is used in critically ill patients, however, this is likely to lead to sub-optimal outcomes in the ICU. Critically ill patients have altered volumes of distribution for antibiotics [19, 20] and unlike other patient groups, need larger initial doses to rapidly achieve therapeutic concentrations [21]. These patients may have augmented renal clearances needing either higher doses or more frequent dosing to overcome increased drug elimination [22, 23]. Critically ill patients often have low plasma albumin concentrations [24] that alters the protein binding of drugs and has significant effects on pharmacokinetics [25, 26].

Given such potential for variability, it is not surprising that we found that one-fifth of patients did not achieve the most conservative PK/PD target and less than 50% of patients achieved what we *a priori* defined as a preferred PK/PD target (Table 3). Furthermore, the variability of unbound concentrations across all antibiotics (Figure 1) as well as PK/PD ratios (Figure 2) were similarly large.

The consequences of insufficient antibiotic exposure may be severe with clear relationships being demonstrated between antibiotic underdosing and the development of antibiotic resistance [27]. This link was initially shown with inappropriately low quinolone exposures [28], but more recently with other classes of antibiotics including beta-lactams [29, 30]. ICUs are known to harbour multi-drug resistant pathogens and whilst there are many reasons for this, optimised dosing that minimises the evolution of such pathogens should be considered as a method to improve patient and health system outcomes.

The secondary objective of this study was to compare antibiotic PK/PD with observed clinical outcomes. An interesting finding in our study is the observed significant interaction effect of varying sickness severity while evaluating the dose-response relationship. The patterns of probability of positive clinical outcome associated with increasing level of PK/PD ratio were markedly different for higher and lower levels of disease severity levels (Figure 3). This novel analysis approach delineates the effect of antibiotic exposure more accurately. We found that the magnitude of the beta-lactam exposures necessary to achieve a positive clinical outcome is particularly noteworthy and generates interesting research questions for future study.

The results of the DALI study support the conclusions of previous small studies that better outcomes for critically ill patients can be expected with higher drug exposures, at least for the less severely ill patients [12, 13]. These data now support the conduct of an interventional study comparing critically ill patient outcomes with different PK/PD targets to definitively determine what antibiotic exposures should be targeted in these patients.

Limitations

This study has notable limitations. Whilst it is a prospectively designed point prevalence study, it is merely a snap shot picture of beta-lactam antibiotic concentrations in critically ill

patients on a single day [14]. Whilst we collected data on concomitant antibiotics, we did not assess the PK/PD of those antibiotics nor did we assess duration of therapy of combination or monotherapy. Furthermore, pathogens were only grown in 73% of patients and the actual MIC was only available in 34% of these patients meaning that assumptions were necessary for the remaining patients. Such assumptions were of a worst case scenario, which we believe is highly acceptable as this is the context governing empiric dose selection. If the infections were mediated by more susceptible bacteria than were assumed, the PK/PD ratios would actually have been higher than those we described here. Finally, we have not specifically looked at drug concentrations at the site of infection, because of the technical challenges in performing such a large scale evaluation. However, our data interestingly shows that for blood stream infections, where antibiotic concentrations were measured, a strong PK/PD relationship was present.

Conclusion

The implications of this large study performed across 68 ICUs are profound. These data show that many patients fall below PK/PD targets (20% less than the most conservative PK/PD target and 50% have exposures less than our suggested target). The results suggest that ICU clinicians should refine dosing strategies for critically ill patients to optimise beta-lactam antibiotic outcomes. With the significant pharmacokinetic variability observed, a more personalised approach to antibiotic dosing would need to be adopted to ensure target drug exposures are assured.

Conflicts of Interest

All authors declare that they have no known conflicts of interest.

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Figure Legends

Figure 1: The boxplot of antibiotic concentrations observed (A) at 50% of the dosing interval and (B) at and 100% of the dosing interval. Median, interquartile range and range are presented. The Y-axes are presented on a log-2 scale.

Figure 2: The PK/PD ratios observed at (A) 50% of the dosing interval and at (B) 100% of the dosing interval. A ratio of 1 is considered to be a minimum PK/PD target of therapy at 50% of the dosing interval. Note that the PK-PD ratio is defined as the ratio between the measured antibiotic concentration in plasma at 50% or 100% of the dosing interval and the patient's MIC or surrogate when MIC or pathogen is unknown.

Figure 3: 3A – The effect of an increasing PK/PD ratio at 50% of the dosing interval (Ratio A) in interaction with APACHE II score on the probability of positive clinical outcome (n=248; y-axis). 3B – The effect of PK/PD ratio at 50% of the dosing interval (Ratio A) in interaction with APACHE II score on the probability of positive clinical outcome for patients not receiving renal replacement therapy (n=220; y-axis). The estimated probabilities of positive clinical outcome along with its 95% confidence interval are presented for less critically ill patient group (APACHE II score within lowest quartile of 0 to 14 points; solid black lines) as well as the more critically ill patient group (APACHE II score within the third quartile of 18 to 24 points; dashed line).

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Table 1: Definitions used for pharmacokinetic/pharmacodynamic and clinical endpoints

PK/PD Target	Description
50% f T _{>MIC}	Free drug concentration maintained above MIC of the known or suspected
	pathogen for at least 50% of dosing interval. This was considered as the
	most conservative PK/PD target.
50% f T _{>4xMIC}	Free drug concentration maintained above a concentration four-fold higher
	than the MIC of known or suspected pathogen for at least 50% of dosing
	interval.
100% f T _{>MIC}	Free drug concentration maintained above MIC of the known or suspected
	pathogen throughout the entire dosing interval.
100% f T _{>4xMIC}	Free drug concentration maintained above a concentration four-fold higher
	than the MIC of the known or suspected pathogen throughout the entire
	dosing interval.
Positive	Completion of treatment course without change or addition of antibiotic
clinical	therapy, and with no additional antibiotics commenced with 48 hours of
outcome	cessation. De-escalation to a narrower spectrum antibiotic was permitted
	but excluded from the clinical outcome analysis.
Negative	Any clinical outcome other than positive clinical outcome
clinical	
outcome	

^{*} PK/PD – pharmacokinetic/pharmacodynamic

Table 2: Clinical and demographic data of included patients. Data are described as median (interquartile range)

	T	
	All patients	Patients treated for infection
	n=361	n=248
Male gender (%)	65	65
Age (years)	61 (48 – 73)	60 (48 – 74)
Weight (kg)	75 (65 – 85)	78 (65 – 86)
APACHE II Score	18 (13 – 24)	18 (14 – 24)
SOFA Score	5 (2 – 9)	6 (3 – 9)
Serum creatinine concentration (umol/L)	77 (53 – 134)	76 (53 – 144)
Calculated creatinine clearance (mL/min)	80 (42 – 125)	82 (44 – 125)
Urinary creatinine clearance (mL/min)	62 (31 – 107)	64 (32 – 103)

^{*} APACHE – Acute Physiology and Chronic Health Evaluation; SOFA – Sequential Organ

Failure Assessment

Table 3: Antibiotic data for achievement of pharmacokinetic/pharmacodynamic targets in critically ill patients

	Antibiotic (number of patients)								
	Amoxicillin	Ampicillin	Cefazolin	Cefepime	Ceftriaxone	Doripenem	Piperacillin	Meropenem	Total
	(n = 71)	(n = 18)	(n = 14)	N = 14)	(n=33)	(n=13)	(n=109)	(n=89)	(n=361)
Dosage per 24	6.0	12.0	3.0	6.0	2.0	1.75	12.0	3.0	
hours (g)*	(3.5-6.0)	(8.3-12.0)	(3.0-4.0)	(5.0-6.0)	(2.0-4.0)	(1.50-3.0)	(12.0-16.0)	(3.0-4.0)	
50% f T _{>MIC}	52.1%	55.6%	100.0%	78.6%	97.0%	100.0%	80.6%	95.0%	78.9%
50% f T _{>4xMIC}	16.9%	27.8%	50.0%	50.0%	93.9%	69.2%	48.9%	68.8%	48.9%
100% f T _{>MIC}	18.3%	33.3%	78.6%	78.6%	93.9%	76.9%	67.0%	69.7%	60.4%

100% f T _{>4xMIC}	11.3%	22.2%	14.3%	71.4%	87.9%	30.8%	30.3%	41.6%	35.0%
achieved							7		

^{*} data described as median (IQR)

Table 4: Multivariate regression results of clinical outcome for patients who did not receive renal replacement therapy according to. Data are presented as estimates of odds ratios (95% CI) and p values.

	50% f T _{>MI}	IC		100% f T _{>MIC}			
	OR	95% CI	p	OR	95% CI	p	
APACHE II Score	0.94	0.92,	< 0.001	0.94	0.92,	0.97	
		0.96			0.96		
SOFA Score	0.97	0.94,	0.053	0.97	0.94,	0.13	
		1.00			1.01		
		1.00			1.01		
50% f T _{>MIC}	1.03	1.01,	0.001	_			
		1.04					
		1.04					
100% f T _{>MIC}	-			1.02	1.01,	0.040	
					1.05		
AIC	1758.60						
AIC	1/38.00						
BIC	1785.07						
	1703.07						

^{*}APACHE – Acute Physiology and Chronic Health Evaluation II Score; SOFA – Sepsis Organ Failure Assessment; f T $_{\rm MIC}$ – time the free (unbound) antibiotic concentration was maintained above the minimum inhibitory concentration; AIC – Akaike Information Criteria; BIC – Bayesian Information Criteria

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