

COMMENTARY

# Further cautions for the use of ventilatory-induced changes in arterial pressures to predict volume responsiveness

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See related research by Wyler *et al.*, <http://ccforum.com/content/14/3/R111>, and related research by Daudel *et al.*, <http://ccforum.com/content/14/3/R122>

## Abstract

Variations in systemic arterial pressure with positive-pressure breathing are frequently used to guide fluid management in hemodynamically unstable patients. However, because of the complex physiology that determines the response, there are important limitations to their use. Two papers in a previous volume add pulmonary hypertension as limitations. Uncritical use of ventilatory-induced changes in arterial pressure can lead to excessive volume therapy and potential clinical harm, and they must be used with respect and thought.

Ventilatory-induced variations in arterial pulse pressure (PPV) are widely used to predict whether a patient is volume responsive, but they have important limitations. Wyler and colleagues add pulmonary hypertension as another limitation [1]. The authors should be commended for not stopping with their clinical observation, confirming this in an animal model that – although somewhat different from the clinical condition – allowed controlled conditions [2]. Ventilatory variations in arterial pressure were proposed over 20 years ago [3] and algorithms for their use are now included in a number of monitoring devices. Important to remember, however, is that these indicators are only useful if prerequisites are met – including the absence of any spontaneous ventilatory efforts, a regular rhythm, and ventilatory settings similar to those in the original studies. The current studies add another limitation and importantly indicate that indiscriminant use of these indicators can lead to excessive fluid use.

I have argued previously [4] – and still believe – that the dominant process causing ventilatory-induced fluctuations in arterial pressure that are fluid responsive is that when the heart is functioning on the steep volume-responsive part of the cardiac function curve, the inspiratory rise in pleural pressure transiently decreases return of blood to the right heart. This decrease in flow is passed to the left side of the circulation during expiration. When the heart is functioning on the flat nonvolume-responsive part of the cardiac function curve, a fall in cardiac filling is less marked. This mechanism dominates because the pressure gradient from the large systemic venous reservoir to the right heart is only 4 to 8 mmHg so small changes in pleural pressure can have a major effect on venous return.

Since the normal gradient for venous return is small, even small increases in pleural pressure might be expected to reduce cardiac output to zero – yet observed decreases in pulse pressure and stroke volume are much more modest. This observation occurs because pulmonary blood volume provides a reserve that can temporarily maintain left-sided cardiac filling. The volume in the pulmonary vasculature, the respiratory rate, and the heart rate determine the magnitude of this buffering effect.

During inspiration, lung inflation also squeezes volume from the pulmonary veins and decreases left ventricular afterload [5-7]. These two factors produce a transient increase in left ventricular ejection, and account for the inspiratory increase in pressure relative to the value at end-expiration (dUp) in arterial pressure variations [4], but this component has little volume sensitivity. This lack of sensitivity is because the thoracic vascular compliance is only one-seventh that of the systemic vascular compliance and a change in total body volume adds only a small amount of volume to this compartment. Yet this small volume, when transferred to the arterial side, has a large pressure effect because of the low arterial compliance.

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There are other mechanisms that can produce PPV with positive pressure ventilation. Vieillard-Baron and coworkers [8] showed that inspiratory loading can significantly reduce right ventricular output. This can be explained as follows. When the lung is in West Zone III, lung inflation produces a negligible load on the right ventricle [5]; but when it is in West Zone II, lung inflation can markedly decrease right ventricular output, increase pulmonary vascular volume and transiently decrease left ventricular filling [9]. The consequent decrease in left ventricular output can produce very large swings in arterial pressures, but these swings should be minimally responsive to volume infusion because they are minimally related to right heart filling.

Based on the above analysis, how can the poor predictive values of PPV in the studies by Wyler and colleagues [1] and by Daudel and colleagues [2] be explained? Their plots of stroke volume against central venous pressure indicate that stroke volume was responsive at some point even in the endotoxin group and there was a lot more volume responsiveness than seems to show up in the results. One factor could be simply technical. The authors used the standard 10% change in stroke volume. After hemorrhage this would mean a change in stroke volume of only 1 to 2 ml versus 10 ml in the control animals at their peak. Yet a 1 ml change in end-diastolic volume from any initial value should produce a 1 ml change in stroke volume. The use of percentage change could thus have obscured what was happening, especially considering that there were progressive increases in the stroke volumes.

Two other factors also might be involved. First, dUp probably accounted for a significant part of the PPV. dUp is related to the decrease in afterload with a positive pressure breath and the squeezing of blood out of the lungs. Afterload reduction has a greater effect when ventricular function is decreased, as in sepsis; and, secondly, more volume can be squeezed from the lung if pulmonary blood volume was increased in the septic animals. Furthermore, the afterload reducing effect is related to how much pleural pressure rises with each breath, and pleural pressure would have been increased if chest wall compliance was reduced by edema from volume loading. Second, lung injury associated with sepsis probably increased the presence of zone II

conditions in the lungs, so this cause of PPV is not volume responsive.

These studies further emphasize the limited usefulness of ventilatory-induced changes in arterial pressure for predicting volume responsiveness. There are so many factors that can affect the phenomena that the technique's use should be reserved for very limited controlled conditions such as in the operating room. The authors' warning about potential harm from excess use of fluids if these measurements are used too casually needs to be heeded. Finally, it is always worth emphasizing that even if PPV does predict volume responsiveness, it does not mean that the patient actually needs volume or that volume is the best management choice.

#### Abbreviations

dUP, inspiratory increase in pressure relative to value at end-expiration; PPV, pulse pressure variation.

#### Competing interests

The author declares that he has no competing interests.

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RESEARCH

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# Respiratory pulse pressure variation fails to predict fluid responsiveness in acute respiratory distress syndrome

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## Abstract

**Introduction:** Fluid responsiveness prediction is of utmost interest during acute respiratory distress syndrome (ARDS), but the performance of respiratory pulse pressure variation ( $\Delta_{\text{RESPPP}}$ ) has scarcely been reported. In patients with ARDS, the pathophysiology of  $\Delta_{\text{RESPPP}}$  may differ from that of healthy lungs because of low tidal volume ( $V_t$ ), high respiratory rate, decreased lung and sometimes chest wall compliance, which increase alveolar and/or pleural pressure. We aimed to assess  $\Delta_{\text{RESPPP}}$  in a large ARDS population.

**Methods:** Our study population of nonarrhythmic ARDS patients without inspiratory effort were considered responders if their cardiac output increased by >10% after 500-ml volume expansion.

**Results:** Among the 65 included patients (26 responders), the area under the receiver-operating curve (AUC) for  $\Delta_{\text{RESPPP}}$  was 0.75 (95% confidence interval (CI<sub>95</sub>): 0.62 to 0.85), and a best cutoff of 5% yielded positive and negative likelihood ratios of 4.8 (CI<sub>95</sub>: 3.6 to 6.2) and 0.32 (CI<sub>95</sub>: 0.1 to 0.8), respectively. Adjusting  $\Delta_{\text{RESPPP}}$  for  $V_t$ , airway driving pressure or respiratory variations in pulmonary artery occlusion pressure ( $\Delta\text{PAOP}$ ), a surrogate for pleural pressure variations, in 33 Swan-Ganz catheter carriers did not markedly improve its predictive performance. In patients with  $\Delta\text{PAOP}$  above its median value (4 mmHg), AUC for  $\Delta_{\text{RESPPP}}$  was 1 (CI<sub>95</sub>: 0.73 to 1) as compared with 0.79 (CI<sub>95</sub>: 0.52 to 0.94) otherwise ( $P = 0.07$ ). A 300-ml volume expansion induced a  $\geq 2$  mmHg increase of central venous pressure, suggesting a change in cardiac preload, in 40 patients, but none of the 28 of 40 nonresponders responded to an additional 200-ml volume expansion.

**Conclusions:** During protective mechanical ventilation for early ARDS, partly because of insufficient changes in pleural pressure,  $\Delta_{\text{RESPPP}}$  performance was poor. Careful fluid challenges may be a safe alternative.

## Introduction

Many appealing indices have been proposed to predict fluid responsiveness, using heart-lung interactions (for example, respiratory variations of pulse pressure ( $\Delta_{\text{RESPPP}}$ )) [1,2] or passive leg raising [3].  $\Delta_{\text{RESPPP}}$  requires controlled mechanical ventilation in nonarrhythmic patients sufficiently sedated for not triggering the ventilator [4]. As the use of sedation in the intensive care unit (ICU) has decreased over the past few years, this situation is rarely encountered, except in cases such

as severe respiratory failure (such as acute respiratory distress syndrome (ARDS)) requiring perfect patient-ventilator interactions. Of note, fluid responsiveness prediction is crucial in patients with ARDS because of increased alveolar-capillary membrane permeability [5], and avoiding unnecessary fluid loading has been shown to have a positive effect on patient outcome [6].

Nevertheless, cardiopulmonary interactions are complex in case of ARDS, particularly when lung-protective mechanical ventilation (low tidal volume) is performed as recommended nowadays [5], and several limitations may downplay the usefulness of  $\Delta_{\text{RESPPP}}$ . First, the magnitude of the insufflated tidal volume ( $V_t$ ) affects the magnitude of  $\Delta_{\text{RESPPP}}$  (or other indices derived from

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respiratory changes in stroke volume) in non-ARDS or mixed ARDS and non-ARDS patients [7-9]. Thus, the performance of  $\Delta_{\text{RESP}}\text{PP}$  becomes poor when the  $V_t$  is settled below 8 ml/kg [10,11]. Second, ARDS patients exhibit a marked decrease in lung and sometimes chest wall compliance [5]. Consequently, airway driving pressure (plateau pressure ( $P_{\text{plat}}$ ) minus total positive end-expiratory pressure ( $\text{PEEP}_t$ )) for a given  $V_t$  is greater in ARDS than in healthy lungs [12]. Therefore, it has been hypothesized that, despite a reduced  $V_t$ , cyclic swings in airway pressure are still high enough to maintain  $\Delta_{\text{RESP}}\text{PP}$  predictive ability in ARDS patients [13]. However, one may question this assumption. Indeed,  $\Delta_{\text{RESP}}\text{PP}$  results of swings in right atrial pressure which are close to pericardial and pleural pressure swings. Rather than airway driving pressure, the main determinants of respiratory changes in pleural, pericardial and atrial pressure are  $V_t$  magnitude and chest wall compliance (both of which determine the compression of the anatomic structures in the cardiac fossa) [14,15]. Decreased lung compliance during ARDS may therefore have little effect on  $\Delta_{\text{RESP}}\text{PP}$  [12]. Last, to avoid respiratory acidosis, reduced  $V_t$  is frequently combined with an increased respiratory rate (RR), which may also downplay the performance of  $\Delta_{\text{RESP}}\text{PP}$  [16].

Thus,  $\Delta_{\text{RESP}}\text{PP}$  may be of interest to guide fluid therapy during ARDS, but several physiological mechanisms may limit its validity. The current literature about its performance in ARDS is scarce, and opposite conclusions have been drawn [10,17]. We aimed to assess the performance of  $\Delta_{\text{RESP}}\text{PP}$  to predict fluid responsiveness in a large population of patients with ARDS.

## Materials and methods

ARDS patients from another study were studied [3] and are being partly shared with another study [18]. In the three participating centers (Hôpital Bichat-Claude Bernard, Paris, France; Centre Hospitalier Régional Universitaire of Tours, Tours, France; and Centre Hospitalier Régional of Orléans, Orléans, France), patients were included over the same 18-month period, either after written informed consent was obtained from a relative or after emergency enrollment followed by delayed consent as approved by our regional ethics board.

## Patients

Adults with acute circulatory failure (systolic blood pressure <90 mmHg, mean blood pressure <65 mmHg, skin mottling, urine output <0.5 ml/kg/hour, arterial lactate >2.5 mM/l or vasopressor infusion) and ARDS [19] exhibiting a Ramsay sedation scale score >4 and no arrhythmia were included if they were receiving mechanical ventilation in volume-controlled mode without triggering the ventilator.

Patients were not included if they were receiving diuretic treatment, had uncontrolled hemorrhage, were in a state of brain death, were receiving intraaortic balloon pump support, had a risk of fluid loading-induced, life-threatening, hypoxemia (partial pressure of  $\text{O}_2$  to fraction of inspired  $\text{O}_2$  ratio ( $\text{PaO}_2/\text{FiO}_2$  ratio) <70 mmHg, body weight indexed extravascular lung water ( $\text{EVLW}_i$ ) >22 ml<sup>-1</sup> kg<sup>-1</sup> (PiCCO™ system: Pulsion Medical Systems AG, Munich, Germany), transmural pulmonary artery occlusion pressure ( $\text{PAOP}_{\text{tm}}$ ) >22 mmHg (pulmonary artery catheter; Edwards Lifesciences, Irvine, CA, USA)).  $\text{PAOP}_{\text{tm}}$  equals  $\text{PAOP}$  minus an estimation of the extramural pressure that acts on pulmonary vessels and was calculated as follows:  $\text{PAOP}_{\text{tm}} = \text{end expiratory PAOP} - [\text{PEEP}_t \times (\text{end inspiratory PAOP} - \text{end expiratory PAOP}) / (P_{\text{plat}} - \text{PEEP}_t)]$  [20].

The study procedure was stopped in case of changes in respirator settings or vasoactive therapy, occurrence of arrhythmia or respiratory intolerance to volume expansion ( $\text{EVLW}_i$  >22 ml<sup>-1</sup> kg<sup>-1</sup> or  $\text{PAOP}_{\text{tm}}$  >22 mmHg or 5% decrease in pulse oxymetry ( $\text{SpO}_2$ )). Mechanical ventilation, vasoactive therapy, sedation and paralysis were set by the attending physician and not modified.

## Measurements

Hemodynamic (heart rate (HR), blood pressure and cardiac output (CO)) and respiratory parameters ( $\text{PEEP}_t$ ,  $P_{\text{plat}}$ , RR and  $V_t$ ) were measured at baseline, immediately after infusion of 300 ml of modified fluid gelatin over 18 minutes (to assess the respiratory tolerance) and an additional 200 ml over 12 minutes.

CO was measured through end-expiratory injection of 10 ml or 15 ml (transcardiac or transpulmonary thermolodilution, respectively) of an iced dextrose solution (using a closed injection system with in-line temperature measurement: CO-set+™ system (Edwards Lifesciences) or that which is included in the PiCCO™ system). Three consecutive measurements within 10% (if not, seven measurements) were averaged.

The correct placement of the pulmonary artery catheter was ascertained by visualization of concordant waveforms and calculation of the respiratory changes in  $\text{PAOP}$  ( $\Delta\text{PAOP}$ )-to-respiratory changes in pulmonary artery pressure ( $\Delta\text{PAP}$ ) ratio [21].

Central venous pressure (CVP) (direct reading of the displayed value),  $\text{PAOP}$  (end-expiratory value measured on frozen waveform) and blood pressure were measured with a disposable transducer (TruWave™; Baxter Division Edwards, Maurepas, France), zeroed at the level of the midaxillary line. Offline, on high-resolution paper tracings, including airway and blood pressure waveforms and after their numerical enlargement,  $\Delta_{\text{RESP}}\text{PP}$  was calculated by an observer blinded to other hemodynamic



data as follows and averaged over three consecutive respiratory cycles:

$$\Delta_{\text{RESP}}\text{PP} = (\text{maximal PP} - \text{minimal PP}) / [(\text{maximal PP} + \text{minimal PP}) / 2],$$

within one respiratory cycle [1]. Other indices derived from respiratory changes in arterial pressure were calculated over three consecutive respiratory cycles: the expiratory decrease in systolic pressure (dDown) and the respiratory changes in systolic pressure (SPV) [15].

Echocardiography was performed within 6 hours of measurements to quantify valvular regurgitations and to detect intracardiac shunts or acute *cor pulmonale* (right-to-left ventricular end-diastolic area ratio above 0.6 with paradoxical septal wall motion).

### Statistical analysis

Patients were classified as responders if volume expansion induced an increase in CO  $\geq 10\%$  and as nonresponders otherwise. Indeed, a measured increase of CO above 9% (which we rounded to 10%) reliably reflects that a real change has taken place [22]. To validate this choice of cutoff in our patients (assessment of intermeasurement variability within each set of measurements), we calculated the least significant change (LSC) for each set of CO measurements in each patient at each phase  $((1.96\sqrt{2})\text{CV}/\sqrt{\text{number of measurements within one set}})$  with CV being the coefficient of variation (SD/mean). Thus, we ascertained that each individual patient classified as a responder had a CO increase above LSC [23]. Calculations were also performed using a 15% relative [1,4] or an absolute 300 ml/min/m<sup>2</sup> [24] cutoff to define fluid responsiveness.

Variables (expressed as means  $\pm$  SD or  $n$  (%)) were compared using Student's *t*-test and Fisher's exact test (between responders and nonresponders), paired Student's *t*-test (for each patient), analysis of variance and the  $\chi^2$  test (between centers). For each index ( $\Delta_{\text{RESP}}\text{PP}$ , SPV and dDown), we calculated the area under the receiver-operating characteristic curve (AUC), determined positive and negative likelihood ratios (LR+ and LR-) for the best cutoff (Youden method) and for the widely used cutoff of 12% for  $\Delta_{\text{RESP}}\text{PP}$  [2]. The values of 5 and 10 for LR+ (or 0.2 and 0.1 for LR-) helped to divide the continuous scale of likelihood ratios into three categories: weak, good and strong evidence of discriminative power [25]. AUC values in subgroups of patients were compared [26].  $P < 0.05$  was considered statistically significant. All statistical tests were two-tailed and performed using MedCalc software (Mariakerke, Belgium) and Statview software (SAS Institute, Cary, NC, USA).

### Results

Sixty-five patients were included (Table 1). The mean LSCs of CO measurements were 6.7% and 6.5% at

**Table 1 Main characteristics of the patients at the time of inclusion<sup>a</sup>**

Patient characteristic	Data
Age, yr	59 $\pm$ 15
Sex, male/female	45/20
SAPS II score	56 $\pm$ 19
Main diagnosis at admission, <i>n</i>	
Septic shock	28
Acute respiratory failure	12
Other	25
Delay between admission and study inclusion, <i>n</i> (%)	
<24 hours	42 (65%)
24 to 48 hours	12 (18%)
>48 hours	11 (17%)
Ramsay score 5 versus 6, <i>n</i>	14 versus 51
Responders using 10% versus 15% CO change to define fluid responsiveness, <i>n</i> (%)	26 (40%) versus 21 (32%)
Arterial lactate concentration, mM/l ( <i>n</i> = 61)	3.0 $\pm$ 2.5
Arterial lactate concentration >2.5 mM/l, <i>n</i> (%)	25 (38%)
Urine output during the past hour, ml/kg	0.8 $\pm$ 0.8
Urine output during the last hour <0.5 ml/kg, <i>n</i> (%)	22 (34%)
Skin mottling, <i>n</i> (%)	22 (34%)
Catecholamine infusion, <i>n</i> (%)	59 (91%)
Norepinephrine, $\mu\text{g/kg/min}$ ( <i>n</i> = 53)	0.76 $\pm$ 0.88
Epinephrine, $\mu\text{g/kg/min}$ ( <i>n</i> = 10)	0.59 $\pm$ 0.49
Dobutamine, $\mu\text{g/kg/min}$ ( <i>n</i> = 20)	13 $\pm$ 10
CO measured by PiCCO™/versus pulmonary artery catheter, <i>n</i> (%)	32 (49%)/33 (51%)
Arterial catheter site, femoral versus radial, <i>n</i> (%)	51 (78%)/14 (22%)
PEEPt, cmH <sub>2</sub> O	8.5 $\pm$ 3.2
Plateau pressure, cmH <sub>2</sub> O	21.2 $\pm$ 5.0
Driving pressure (plateau pressure - PEEPt cmH <sub>2</sub> O)	13.7 $\pm$ 4.1
Alveolar to vascular pressure transmission index ( <i>n</i> = 33) [20]	0.39 $\pm$ 0.17
Respiratory changes in PAOP, mmHg ( <i>n</i> = 33)	4.8 $\pm$ 2.0 (range, 2 to 9)
Tidal volume, ml	457 $\pm$ 67
Tidal volume indexed to measured versus predicted body weight, ml/kg	6.5 $\pm$ 1.4 versus 6.9 $\pm$ 0.95
Respiratory system static compliance, ml/cmH <sub>2</sub> O	40.4 $\pm$ 15.8
RR, cycles/minute	24 $\pm$ 6
HR:RR ratio	4.5 $\pm$ 1.6
I:E ratio, %	31 $\pm$ 6
PaO <sub>2</sub> :FiO <sub>2</sub> ratio, mmHg	136 $\pm$ 50

<sup>a</sup>SAPS, simplified acute physiology score II; CO, cardiac output; PEEPt; total positive end-expiratory pressure; PAOP, pulmonary artery occlusion pressure; I: E, inspiration length:expiration length ratio. HR:RR, heart rate:respiratory rate ratio.

Quantitative variables are expressed as mean  $\pm$  SD.

baseline and after volume expansion, respectively, and all responders exhibited individual CO changes from baseline to after volume expansion greater than their individual LSCs. Administration of catecholamine was the sole criterion triggering inclusion in 14 patients

(22%): norepinephrine ( $n = 13$ ,  $0.40 \pm 0.46 \mu\text{g/kg/min}$ ) or epinephrine ( $n = 1$ ,  $0.26 \mu\text{g/kg/min}$ ). Volume expansion was interrupted in two patients after 300-ml intolerance (one because of a 6% drop in  $\text{SpO}_2$  and one because of an increased EVLWi  $>22 \text{ ml/kg}$ ). Data after 300-ml volume expansion were used for analysis of these two patients. Hemodynamic parameters at baseline and their evolution after volume expansion are detailed in Table 2. The proportion of responders, the Simplified Acute Physiology Score II, baseline mean arterial pressure, HR, CO, and  $\Delta_{\text{RESP}}\text{PP}$  were similar between centers (all  $P > 0.05$ ).

### Predictive performance

$\Delta_{\text{RESP}}\text{PP}$  was associated with an AUC of 0.75 (95% confidence interval ( $\text{CI}_{95}$ ): 0.62 to 0.85) and a best cutoff value of 5% (LR+ and LR- of 4.8 ( $\text{CI}_{95}$ : 3.6 to 6.2) and 0.32 ( $\text{CI}_{95}$ : 0.1 to 0.8), respectively) (Table 3 and Figures 1 and 2). The common 12% cutoff [2,17] was associated with LR+ and LR- values of 2 ( $\text{CI}_{95}$ : 0.8 to 4.9) and 0.92 ( $\text{CI}_{95}$ : 0.3 to 2.8), respectively.

Adjusting  $\Delta_{\text{RESP}}\text{PP}$  for various estimates of extramural vascular pressure variations ( $\Delta_{\text{RESP}}\text{PP}/\text{Pplat}$ ,  $\Delta_{\text{RESP}}\text{PP}/\text{driving pressure}$ , and  $\Delta_{\text{RESP}}\text{PP}/\text{Vt}$  ratios) did not lead to major improvement in predictive performance (Figure 3). In the 33 carriers of a pulmonary artery catheter,  $\Delta_{\text{RESP}}\text{PP}/\Delta\text{PAP}$  and  $\Delta_{\text{RESP}}\text{PP}/\Delta\text{PAOP}$  were associated with AUCs of 0.79 ( $\text{CI}_{95}$ : 0.61 to 0.92) and 0.81 ( $\text{CI}_{95}$ : 0.64 to 0.93), respectively. Figures 2 and 3 show the important overlap of baseline values of each index between responders and nonresponders.

With the purpose of identifying a subpopulation in which  $\Delta_{\text{RESP}}\text{PP}$  might achieve better results, we performed a subgroup analysis. In case of respiratory

variation in PAOP above its median value ( $>4 \text{ mmHg}$ ),  $\Delta_{\text{RESP}}\text{PP}$  was associated with an AUC of 1 ( $\text{CI}_{95}$ : 0.73 to 1) as compared with 0.79 ( $\text{CI}_{95}$ : 0.52 to 0.94) otherwise ( $P = 0.07$ ), with a marked decrease of the visual overlap of baseline values of  $\Delta_{\text{RESP}}\text{PP}$  between responders and nonresponders (Figure 4A). Dividing our whole population according to the median value of airway driving pressure ( $10 \text{ cmH}_2\text{O}$ ) did not lead to marked difference in AUC and/or in the visual overlap (Figure 4B).

Overall,  $\Delta_{\text{RESP}}\text{PP}$  performed similarly in the subgroups of patients according to respiratory system compliance, norepinephrine dosage, administration of neuromuscular blocking agents ( $n = 26$ ), site of the arterial catheter (radial ( $n = 14$ ) or femoral ( $n = 51$ )) (Additional file 1). SPV ( $n = 65$ ), dDown ( $n = 45$ ), CVP ( $n = 65$ ), PAOP ( $n = 33$ ) and PAOPtm ( $n = 33$ ) were associated with an AUC below 0.78 (Figure 2). All the results were similar when using a 15% relative or a  $300 \text{ ml/min/m}^2$  absolute cutoff for volume expansion-induced increase in CO to define fluid responsiveness (Table 3 and Additional file 1, Figures S1 and S2). Among the 40 patients whose CVP increased by  $\geq 2 \text{ mmHg}$  after 300-ml fluid loading, none of the 28 nonresponders after 300 ml responded to the additional 200-ml fluid loading.

### Discussion

The main finding of this large multicenter study of 65 shocked ARDS patients with neither arrhythmia nor spontaneous respiratory activity is that the performance of  $\Delta_{\text{RESP}}\text{PP}$  is poor in this clinical situation. Because fluid responsiveness prediction is of utmost importance in ARDS, we attempted unsuccessfully to improve  $\Delta_{\text{RESP}}\text{PP}$  performance by (1) its indexation, (2) analyzing different cutoffs for  $\Delta_{\text{RESP}}\text{PP}$  or fluid responsiveness

**Table 2 Hemodynamic parameters at baseline and after 500 ml volume expansion<sup>a</sup>**

Hemodynamic parameter	Before volume expansion		After volume expansion	
	Responders	Nonresponders	Responders	Nonresponders
Heart rate, beats/min	101 $\pm$ 25	99 $\pm$ 24	98 $\pm$ 25 <sup>c</sup>	95 $\pm$ 23 <sup>c</sup>
Arterial pressure, mmHg	68 $\pm$ 12	73 $\pm$ 12	80 $\pm$ 16 <sup>c</sup>	80 $\pm$ 14 <sup>c</sup>
Central venous pressure, mmHg	9.5 $\pm$ 4.3	11.8 $\pm$ 4.4 <sup>b</sup>	12.3 $\pm$ 4.8 <sup>c</sup>	15.6 $\pm$ 4.8 <sup>c</sup>
PAOP, mmHg ( $n = 33$ )	9.6 $\pm$ 3.3	13.2 $\pm$ 3.7 <sup>b</sup>	14.9 $\pm$ 6.1 <sup>c</sup>	17.5 $\pm$ 3.7 <sup>c</sup>
Transmural PAOP ( $n = 33$ ) [20]	6.2 $\pm$ 3.8	10.1 $\pm$ 3.9 <sup>b</sup>	10.9 $\pm$ 6.5 <sup>c</sup>	14.2 $\pm$ 4.1 <sup>c</sup>
pulse pressure (mmHg)	49 $\pm$ 14	56 $\pm$ 14 <sup>b</sup>	64 $\pm$ 18 <sup>c</sup>	59 $\pm$ 16
$\Delta_{\text{RESP}}\text{PP}$ , %	7.4 $\pm$ 5.2	3.8 $\pm$ 4.2 <sup>b</sup>	4.9 $\pm$ 4.2 <sup>c</sup>	2.9 $\pm$ 3
dDown, mmHg ( $n = 45$ )	6.5 $\pm$ 4.4	1.8 $\pm$ 2.5 <sup>b</sup>	1.9 $\pm$ 5.4 <sup>c</sup>	1.2 $\pm$ 1.6
SPV, mmHg	5.7 $\pm$ 4.3	2.8 $\pm$ 2.8 <sup>b</sup>	4.8 $\pm$ 3.2 <sup>c</sup>	2.2 $\pm$ 1.6
Pulmonary arterial pressure, mmHg ( $n = 33$ )	25 $\pm$ 6	29 $\pm$ 5 <sup>b</sup>	29 $\pm$ 7 <sup>c</sup>	35 $\pm$ 6 <sup>c</sup>
Cardiac index, l/min/m <sup>2</sup>	3.3 $\pm$ 1.5	3.6 $\pm$ 1.4	4.2 $\pm$ 1.8 <sup>c</sup>	3.5 $\pm$ 1.4

<sup>a</sup>PAOP, pulmonary artery occlusion pressure;  $\Delta_{\text{RESP}}\text{PP}$ , respiratory variations of pulse pressure; dDown, difference between the average, over three consecutive respiratory cycles, of the minimal value of systolic blood pressure during a respiratory cycle and the value of systolic blood pressure during apnea; SPV, respiratory changes in systolic arterial pressure over three consecutive respiratory cycles; <sup>b</sup> $P < 0.05$  (responders versus nonresponders); <sup>c</sup> $P < 0.05$  for comparison between before and after volume expansion.

Quantitative variables are expressed as mean  $\pm$  SD.

**Table 3 Predictive performance of  $\Delta_{\text{RESPPP}}$  according to chosen cutoff and fluid responsiveness definition<sup>a</sup>**

Definition of fluid responsiveness	Increase in CO >10% after volume expansion		Increase in CO >15% after volume expansion		Increase in CO >300 ml/min/m <sup>2</sup> after volume expansion	
AUC for $\Delta_{\text{RESPPP}}$	0.75 (0.62 to 0.85)		0.75 (0.63 to 0.85)		0.76 (0.63 to 0.84)	
Cutoff for $\Delta_{\text{RESPPP}}$	12%	5% <sup>b</sup>	12%	5% <sup>b</sup>	12%	4% <sup>b</sup>
LR+	2 (0.8 to 4.9)	4.8 (3.6 to 6.2)	2.8 (1.2 to 6.8)	3.7 (2.8 to 4.9)	4.5 (2.2 to 9.5)	3.5 (2.6 to 4.7)
LR-	0.92 (0.3 to 2.8)	0.32 (0.1 to 0.8)	0.87 (0.3 to 2.6)	0.30 (0.1 to 0.8)	0.87 (0.1 to 6.0)	0.46 (0.2 to 1.1)
Se	0.15 (0.05 to 0.35)	0.73 (0.52 to 0.88)	0.19 (0.06 to 0.42)	0.76 (0.53 to 0.92)	0.16 (0.06 to 0.32)	0.62 (0.45 to 0.78)
Sp	0.92 (0.79 to 0.98)	0.85 (0.70 to 0.94)	0.93 (0.81 to 0.99)	0.80 (0.65 to 0.90)	0.96 (0.82 to 0.99)	0.82 (0.63 to 0.94)
PPV	0.57 (0.20 to 0.88)	0.76 (0.54 to 0.90)	0.57 (0.20 to 0.88)	0.64 (0.43 to 0.81)	0.86 (0.42 to 0.98)	0.82 (0.63 to 0.94)
NPV	0.62 (0.48 to 0.74)	0.83 (0.67 to 0.92)	0.71 (0.57 to 0.82)	0.88 (0.72 to 0.95)	0.47 (0.33 to 0.60)	0.62 (0.45 to 0.7)

<sup>a</sup>CO, cardiac output; AUC, area under the receiver operating characteristic curve;  $\Delta_{\text{RESPPP}}$ , respiratory changes in pulse pressure; LR+, positive likelihood ratio; LR-, negative likelihood ratio; Se, sensitivity; Sp, specificity; PPV, positive predictive value; NPV, negative predictive value; <sup>b</sup>best cutoff identified in our study population. Ranges in parentheses represent 95% confidence intervals.

definition or (3) identifying subgroups where  $\Delta_{\text{RESPPP}}$  may perform better.

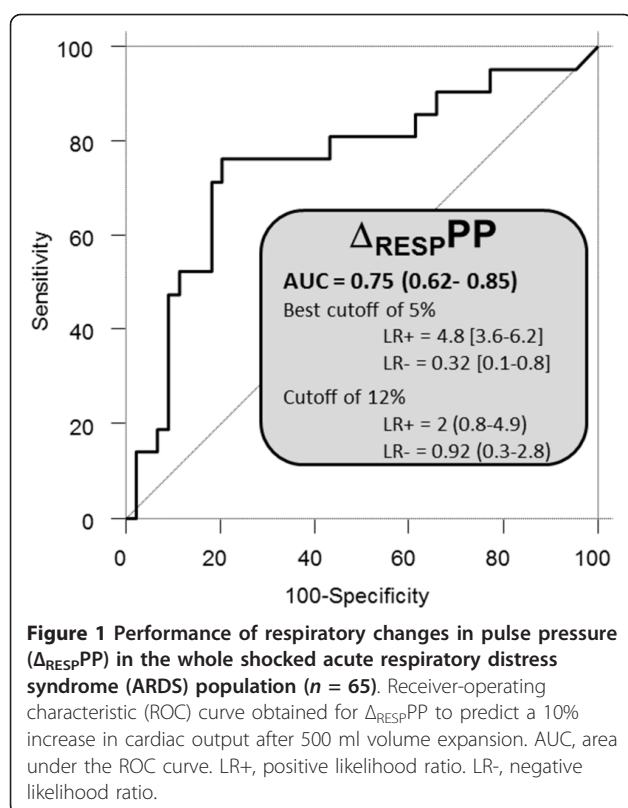
Huang *et al.*'s study [17], including 22 patients, specifically addressed the issue of  $\Delta_{\text{RESPPP}}$  performance in ARDS and reported a similar AUC (0.77) for  $\Delta_{\text{RESPPP}}$  as in our population (0.75 (CI<sub>95</sub>: 0.62 to 0.85)). In our study, the AUC was not good, as the lower bound of the 95% confidence interval was below 0.75 [27]. Partly because confidence intervals for AUCs were not reported in Huang *et al.*'s study [17], it was considered that these authors' conclusion (that  $\Delta_{\text{RESPPP}}$  remains a reliable predictor of fluid responsiveness for ARDS patients ventilated with low Vt and high PEEP) was a misinterpretation [28,29]. In a large, multicenter population of ARDS patients, our results are similar to those of De Backer *et al.* [10], who found, in 33 patients (97% ARDS patients) receiving Vt <8 ml/kg, that  $\Delta_{\text{RESPPP}}$  did not perform better than PAOP. Other authors also observed this low performance of  $\Delta_{\text{RESPPP}}$  in case of low Vt. One can reasonably assume that many patients in those studies had ARDS, despite the lack of specific subgroup analysis [11,30]. Again, the complex pathophysiology of transmission of airway pressure changes to intrathoracic vascular structures [12,14,15] justified analyzing specifically the performance of  $\Delta_{\text{RESPPP}}$  in ARDS patients.

Interestingly, our mean  $\Delta_{\text{RESPPP}}$  was low at baseline (5.2%) compared with most studies exhibiting values close to 12% [2] (6% to 10% in ARDS patients [10,17]). Many causes can be identified to explain this low baseline  $\Delta_{\text{RESPPP}}$  value. First, it may be a consequence of including patients already resuscitated. Indeed, large volume expansion before inclusion (not recorded) may explain the low variations in blood pressure waveform

we observed. However, despite this initial resuscitation, 40% of our patients were still fluid responders. Second, as previously shown [7,8,10,11], the low  $\Delta_{\text{RESPPP}}$  may also be related to the low Vt used in our population (6.9 ± 0.95 ml<sup>-1</sup> kg<sup>-1</sup>) compared with other studies reporting values of at least 8 ml<sup>-1</sup> kg<sup>-1</sup> [1,4,31-36]. Third, beyond their Vt dependency, breath-related indices also depend on the RR, and more specifically on the HR:RR ratio [16]. Again, our respiratory settings (RR, 24 ± 6/minute; HR:RR ratio, 4.5 ± 1.6) differed from those previously reported, with values ranging from 8 to 17/minute for mean RR and from 5 to 8 for mean HR:RR ratio [8,31-33,36]. It is noteworthy that these two limitations of  $\Delta_{\text{RESPPP}}$  (low Vt and high RR) often come together in particular in case of ARDS. Figure 5 illustrates the impact of Vt and HR:RR ratio on  $\Delta_{\text{RESPPP}}$  in our population.

Beyond these limitations (low Vt and high RR) causing false-negative cases of  $\Delta_{\text{RESPPP}}$ , false-positive cases may also arise because of a common phenomenon during ARDS: pulmonary artery hypertension [37,38] and/or right ventricular dysfunction [39]. We only searched for marked ultrasonographic signs of acute *cor pulmonale* (arrows in Figure 1). Performing more sophisticated measurements of right ventricular function (for example, peak systolic velocity of tricuspid annular motion) would have sensitized the detection of this restriction for  $\Delta_{\text{RESPPP}}$  usefulness [39]. It is noteworthy that pulmonary artery hypertension and/or right ventricular failure may be an even more frequent limitation of  $\Delta_{\text{RESPPP}}$  in case of later or more severe ARDS (PaO<sub>2</sub>/FiO<sub>2</sub> <70) than patients whom we included.

Moreover, changes in chest wall compliance may also affect  $\Delta_{\text{RESPPP}}$ , positively or negatively. Decreased chest



wall compliance, observed in cases of intraabdominal hypertension (extrapulmonary ARDS) [40] increases respiratory pleural pressure variations for a given  $V_t$  [14,15]. Thus,  $\Delta_{\text{RESPPP}}$  may be higher and present false-positive results in this situation. At the opposite, chest wall compliance may be increased through the use of muscle relaxants, which was the case in 40% of our patients, and then induce reduced intrathoracic pressure swings and therefore potential false-negative  $\Delta_{\text{RESPPP}}$  results. The lack of measurement of chest wall compliance in our patients (that is, no esophageal pressure measurement) precluded precise analysis of this factor. Nevertheless, using PAOP as a surrogate for esophageal pressure measurements, we performed some physiological analysis which allowed us to gain some insight into this issue.

Our findings do not confirm the hypothesis according to which, owing to ARDS-induced decrease in lung compliance, a small  $V_t$  (<8 ml/kg) may cause sufficient changes in intrathoracic pressure, allowing  $\Delta_{\text{RESPPP}}$  to perform well in this population [13]. Actually, ARDS-induced increase in lung stiffness is indeed associated with an increased airway driving pressure (by increased  $P_{\text{plat}}$ ) for a given  $V_t$  [14], but the primary determinants of pleural pressure variations (and then of  $\Delta_{\text{RESPPP}}$ ) have been shown to be the magnitude of  $V_t$  and chest wall compliance (both of them ruling the compression of the cardiovascular structures), regardless of lung

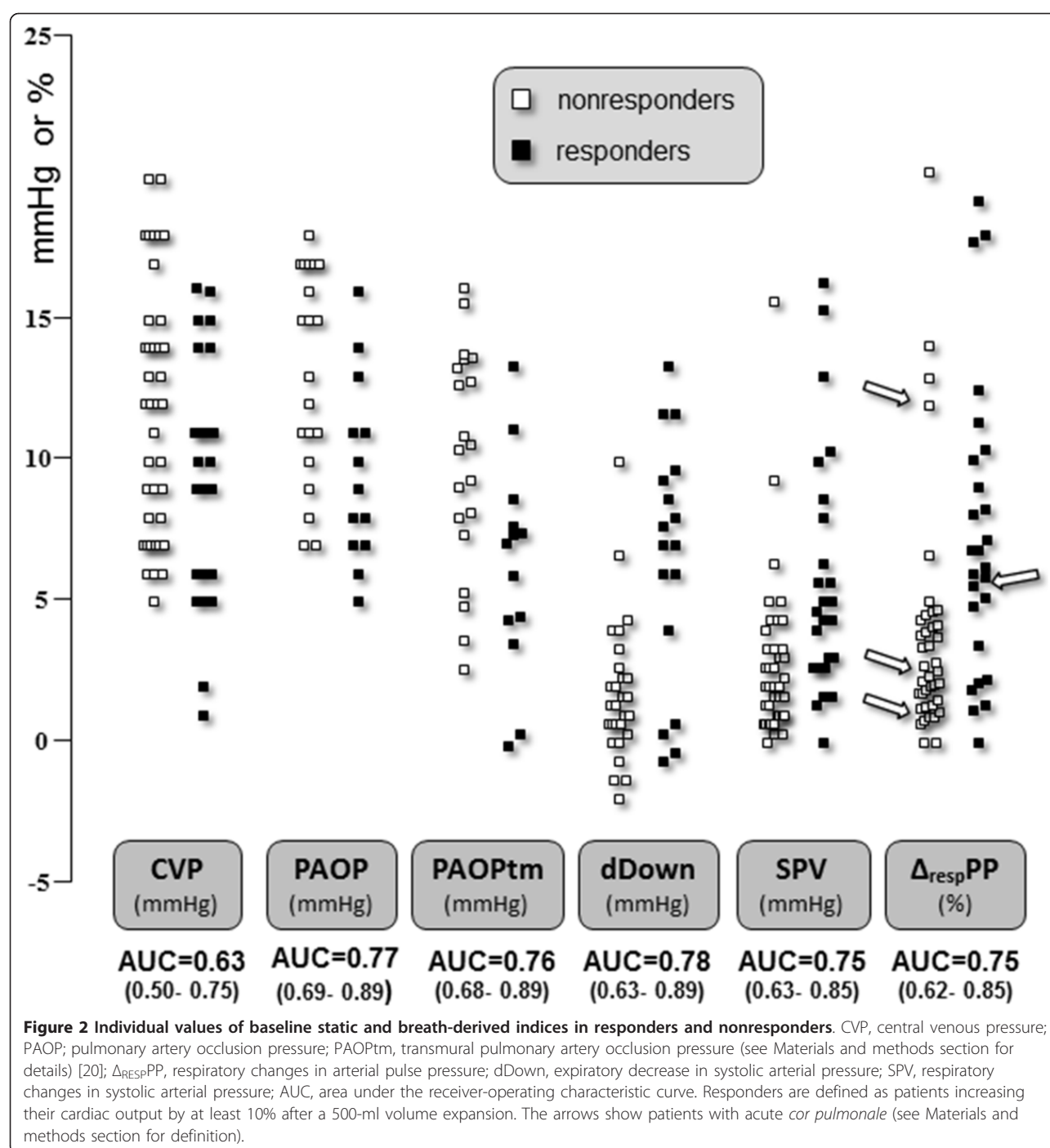
compliance [14]. Indeed, using changes in PAOP as a surrogate for pleural pressure variations [41], we found that  $\Delta_{\text{RESPPP}}$  tended to perform markedly better in patients with high  $\Delta\text{PAOP}$  (Figure 4A), illustrating the importance of high  $V_t$  and low chest wall compliance for  $\Delta_{\text{RESPPP}}$  to be useful. Indeed, in our analysis (with the limits of using  $\Delta\text{PAOP}$  as a surrogate), respiratory changes in PAOP represent the ratio of  $V_t$ /chest wall compliance (detailed calculation in Additional file 1).

The rather good AUC (0.81 (CI<sub>95</sub>: 0.64 to 0.93)) that we found for  $\Delta_{\text{RESPPP}}/\Delta\text{PAOP}$  (in the subset of Swan-Ganz catheter carriers) suggests that a more precise approach of pleural pressure swings may be a more interesting way to correct the crude  $\Delta_{\text{RESPPP}}$  and to improve its predictive ability. Not surprisingly, and as previously reported in case of low  $V_t$  [11], no improvement was observed in  $\Delta_{\text{RESPPP}}$  performance when it was corrected for airway driving pressure. Moreover, there was no marked evidence of better performance of  $\Delta_{\text{RESPPP}}$  in cases of high airway driving pressure (Figure 4B), reminding us that this parameter is not a major determinant of  $\Delta_{\text{RESPPP}}$ .

Our ARDS patients exhibited higher values of respiratory system static compliance (total of lung and chest wall compliance) than values usually reported in ARDS patients (40 versus 26 to 30 ml/cmH<sub>2</sub>O) [10,17,42]. There are three potential explanations for this difference: (1) because the PEEP level was not fixed by protocol, some patients may have had PEEP levels high enough to optimize recruitment and respiratory compliance [42]; (2) patients were studied at the early phase of ARDS (Table 1), and lung compliance is classically lower in late ARDS; and 3) we did not include the patients with the most severe cases of ARDS ( $\text{PaO}_2:\text{FiO}_2$  ratio <70) for safety reasons. Of note,  $\Delta_{\text{RESPPP}}$  showed similar performance in patients with respiratory system static compliance below or above its median value (Additional file 1), preventing the use of this parameter to identify patients in whom  $\Delta_{\text{RESPPP}}$  might perform better. Because of higher respiratory system compliance, our airway driving pressure was in the lower reported range (13.7 versus 14 to 17 cmH<sub>2</sub>O) [10,17,42]. However, our mean  $V_t$  value was slightly higher (6.9 versus 6.3 to 6.4 ml/kg) [10,17,42]. Again, as  $\Delta_{\text{RESPPP}}$  is mostly influenced by the  $V_t$  rather than the airway driving pressure [7,10,14], one would have expected even better performance of  $\Delta_{\text{RESPPP}}$  than that reported in similar previous works.

In our population, the best cutoff value for  $\Delta_{\text{RESPPP}}$  was 5%, that is, close to that previously reported in ARDS patients with low  $V_t$  [10]. Another explanation for the poor ability of  $\Delta_{\text{RESPPP}}$  to predict fluid responsiveness may be that this low cutoff exposes it to errors in measurements because of low signal-to-noise ratio [12]. Of note, numerical recordings of  $\Delta_{\text{RESPPP}}$  in ARDS

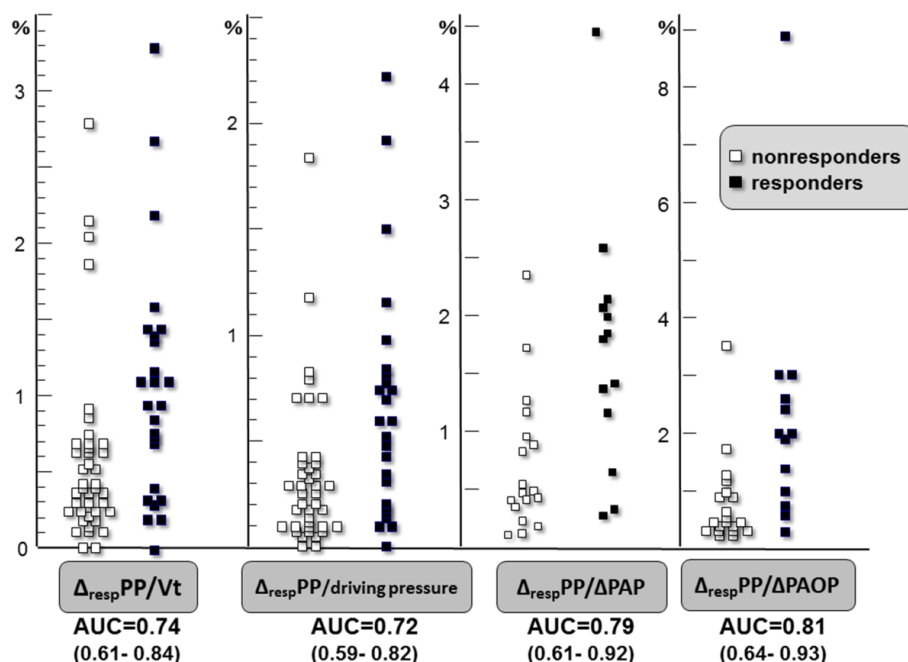




patients [10,17] did not lead to better performance than using high-resolution paper tracings, as we did.

For the same reasons developed for  $\Delta_{respPP}$ , we found that the other breath-related, blood pressure-derived indices, dDown and SPV, were of similar poor performance in predicting fluid responsiveness in our ARDS population. Before using fluid responsiveness prediction tools, one has to identify patients who may actually benefit

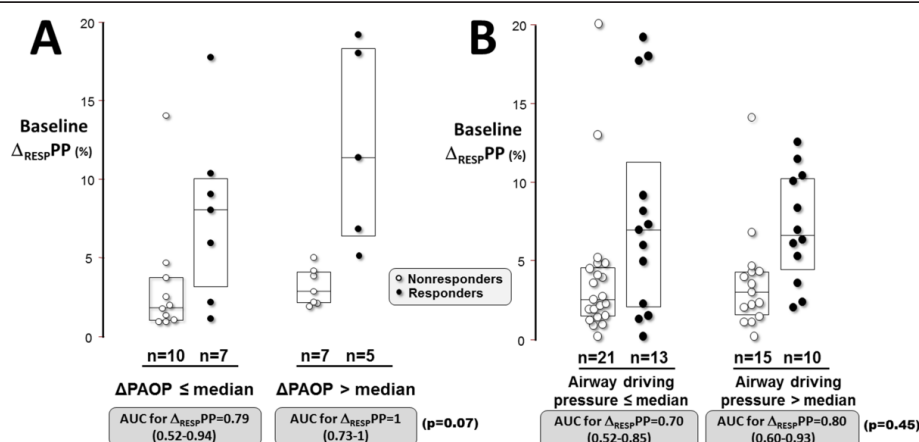
from having their CO increased by fluids. In an overall population, many fluid responders actually do not need any fluids (that is, no need for an increase in CO). All of our patients were in acute circulatory failure and most presented signs of tissular hypoperfusion (oliguria in 34%, mottled skin in 34% and hyperlactatemia in 38%), suggesting that they may benefit from volume expansion, but baseline CVP ( $11 \pm 4$  mmHg) and PAOP ( $12 \pm 4$  mmHg)



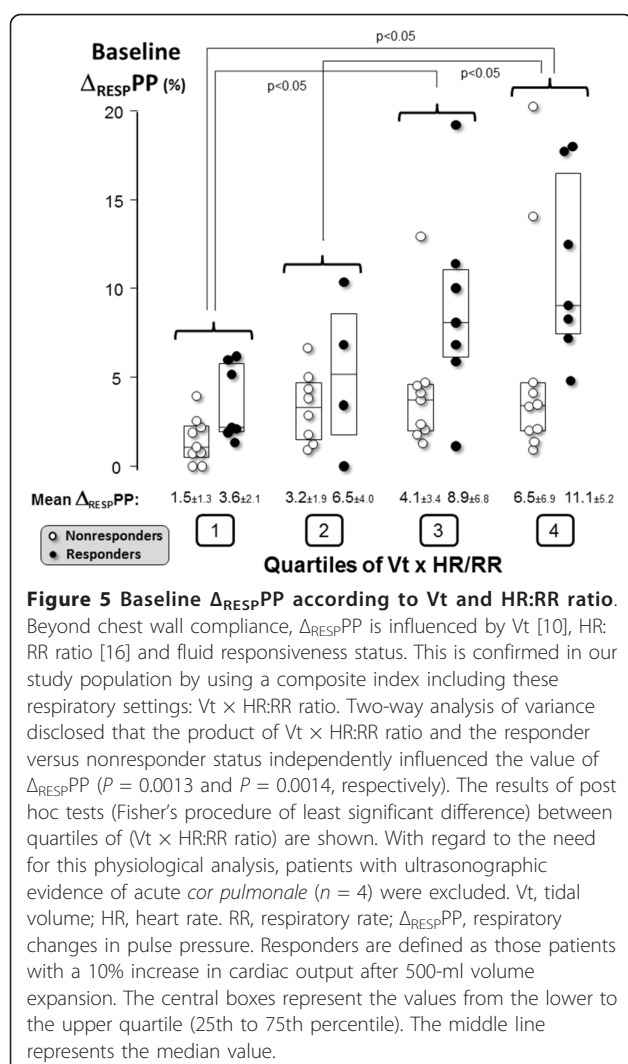
**Figure 3** Individual values of baseline respiratory changes in arterial pulse pressure ( $\Delta_{\text{resp}}\text{PP}$ ) corrected for surrogates of respiratory variations in pleural pressure.  $V_t$ , tidal volume; driving pressure, airway plateau pressure minus total end-expiratory pressure;  $\Delta\text{PAOP}$ : respiratory changes in pulmonary artery occlusion pressure;  $\Delta\text{PAP}$ , respiratory changes in pulmonary artery pressure; AUC, area under the receiver-operating characteristic curve. Responders are defined as patients increasing their cardiac output of at least 10% after 500-ml volume expansion.

were unhelpful (Figure 2) [43]. It is precisely in these patients, that is, those with persistent circulatory failure despite initial resuscitation, that other indices are required; but  $\Delta_{\text{resp}}\text{PP}$  is disappointing in patients with ARDS. In this situation, a fluid challenge may be performed [44].

Thus, during volume expansion, an increase in CVP  $\geq 2$  mmHg is considered to reflect that the Frank-Starling mechanism of the heart has been tested [43]. Interestingly, among the 40 patients who fulfilled this CVP change criterion after 300-ml volume expansion, none of the 28



**Figure 4** Individual values of baseline  $\Delta_{\text{resp}}\text{PP}$  according to volume responsiveness status and to either respiratory change in PAOP ( $\Delta\text{PAOP}$ ) or airway driving pressure. For the purpose of this physiological analysis, patients with ultrasonographic signs of acute *cor pulmonale* were excluded. The central boxes represent the values from the lower to the upper quartile (25th to 75th percentile). The middle line represents the median.  $\Delta_{\text{resp}}\text{PP}$ , respiratory changes in pulse pressure to predict a 10% increase in cardiac output after 500-ml volume expansion; AUC, area under the receiver-operating characteristic curve. (A) Analysis of the 33 patients with a pulmonary artery catheter. Median for respiratory changes in pulmonary artery occlusion pressure (PAOP) was 4 mmHg. Respiratory change in PAOP equals tidal volume ( $V_t$ ) divided by chest wall compliance (see Additional file 1 for detailed calculations). Therefore, patients represented in the right part of the figure are those combining a higher  $V_t$  and lower chest wall compliance. (B) The median airway driving pressure was 10 cmH<sub>2</sub>O ( $n = 59$ ).



nonresponder patients responded after 300 ml to the additional 200-ml volume expansion. Therefore, performing careful fluid challenges while monitoring both CVP and CO may be a safe way to limit undue fluid loading during ARDS.

## Conclusions

In our population of patients with early ARDS who were receiving protective mechanical ventilation, partly because of insufficient changes in pleural pressure,  $\Delta_{\text{RESPPP}}$  performed poorly in predicting fluid responsiveness. Fluid management in patients with ARDS may rely on fluid challenges.

## Key messages

- Respiratory variations of pulse pressure ( $\Delta_{\text{RESPPP}}$ ) perform poorly in predicting fluid responsiveness in patients with ARDS.

- Both low tidal volume (by decreasing respiratory pleural pressure changes) and low HR:RR ratio downplay the performance of  $\Delta_{\text{RESPPP}}$ .
- Respiratory changes in pleural pressure, but not airway driving pressure, are the main determinant of  $\Delta_{\text{RESPPP}}$ .
- No simple means of improving  $\Delta_{\text{RESPPP}}$  performance was found.
- Because optimal fluid management is of utmost importance in ARDS patients, clinicians have to rely on other means, such as fluid challenges, for this purpose.

## Additional material

**Additional file 1: Additional data and figures.** Impact of several clinical factors on the performance of  $\Delta_{\text{RESPPP}}$ : subgroup comparisons according to respiratory system compliance, norepinephrine dosage, neuromuscular blocking agent use and site of the artery catheter. Impact of the definition of fluid responsiveness on the performance of  $\Delta_{\text{RESPPP}}$ , individual values of baseline static and breath-derived indices in responders and nonresponders using the 15% cutoff for cardiac output to define fluid responsiveness, performance of  $\Delta_{\text{RESPPP}}$  using the 15% cutoff for cardiac output to define fluid responsiveness. Impact of chest wall compliance on  $\Delta_{\text{RESPPP}}$  provides additional comments to Figure 4. AUC, area under the receiver-operating characteristic curve;  $\Delta_{\text{RESPPP}}$ , respiratory changes in pulse pressure.

## Abbreviations

$\Delta_{\text{RESPPP}}$ : respiratory variations in pulse pressure;  $\Delta\text{PAP}$ : respiratory changes in pulmonary artery pressure;  $\Delta\text{PAOP}$ : respiratory changes in pulmonary artery occlusion pressure; ARDS: acute respiratory distress syndrome; AUC: area under the receiver-operating characteristic curve; CO: cardiac output; CVP: central venous pressure; dDown: difference between the average, over three consecutive respiratory cycles, of the minimal value of systolic blood pressure during a respiratory cycle and the value of systolic blood pressure during apnea; HR: heart rate; LR+: positive likelihood ratio; LR: negative likelihood ratio; LSC: least significant change; PAOP: pulmonary artery occlusion pressure; PAOPtm: transmural pulmonary artery occlusion pressure; PEEP: positive end-expiratory pressure; Pplat: plateau pressure; RR: respiratory rate; SPV: respiratory changes in systolic arterial pressure over three consecutive respiratory cycles; Vt: tidal volume.

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## Authors' contributions

KL, SE and TB contributed to the conception and design of the study. KL, SE, DBL, IR, EM, PFD, AL and TB contributed to the acquisition of data. KL, SE, MW, BR and TB contributed to the drafting and revision of the manuscript.

## Competing interests

The authors declare that they have no competing interests.

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