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A positive fluid balance is an independent prognostic factor in patients with sepsis

Angela Acheampong and Jean-Louis Vincent*

Abstract

Introduction: Intravenous fluid administration is an essential component of sepsis management, but a positive fluid balance has been associated with worse prognosis. We analyzed whether a positive fluid balance and its persistence over time was an independent prognostic factor in septic patients.

Methods: We prospectively studied fluid intake and output for 7 days in 173 consecutive adult patients treated for sepsis in our Department of Intensive Care.

Results: Of the 173 patients, 59 died (34 %). Mean daily fluid intake was higher in non-survivors than in survivors (59 ± 24 ml/kg vs. 48 ± 23 ml/kg, $p = 0.03$), but output volumes were similar. As a result, the daily fluid balance was more than twice as large in the non-survivors as in the survivors (29 ± 22 vs. 13 ± 19 ml/kg, $p < 0.001$). Persistence of a positive fluid balance over time was associated with increased mortality. Using a multivariable time-dependent Cox model, a positive fluid balance was independently associated with higher mortality (adjusted hazard ratio 1.014 [1.007–1.022] per ml/kg increase, $p < 0.001$).

Conclusions: Persistence of a positive daily fluid balance over time was quite strongly associated with a higher mortality rate in septic patients.

Introduction

Sepsis, considered today as a dysregulated inflammatory response to an infection [1], is responsible for considerable morbidity and mortality [2]. Sepsis is often associated with a deficit in effective blood volume, resulting from decreased intake, increased external losses, leakage to the interstitial space, and vasodilation. Hence, large amounts of intravenous fluid are often needed to increase cardiac output and improve peripheral blood flow [3]. However, guiding fluid therapy remains a complex issue as cardiac filling pressures are not reliable, signs of fluid responsiveness are not always easy to interpret, and monitoring techniques all have their limitations [4, 5]. Several studies have shown a relationship between positive fluid balance and mortality [5–12], but whether this represents a simple association or a cause-and-effect relationship remains unsettled. To shed some light on this important question, we studied the relationship between changes in fluid balance over time and outcome in a series of septic patients treated in our institution.

Methods

This prospective observational study was performed in the 35-bed Department of Intensive Care at Erasme University Hospital, Brussels. The study was approved by the ethics committee of Erasme Hospital (reference P2013/108), who waived the need for informed consent due to the observational nature of the study.

All adult patients admitted to the department during 2012 were included if they met the following criteria: (a) age older than 15 years; (b) suspected or proven infection supported by clinical evidence and/or positive bacteriological data, and treated with antibiotics; (c) sepsis-associated organ failure, as defined by a Sequential Organ Failure Assessment (SOFA) subscore of 3 or 4 [13]; (d) duration of intensive care unit (ICU) stay of more than 48 hours. Three patients readmitted for a different sepsis episode were considered as new patients. Septic shock was defined using standard criteria [1].

Patients were treated according to department policy using the Surviving Sepsis Campaign guidelines [3]. Fluid administration was initially guided by a combination of echocardiography, signs of fluid responsiveness in mechanically ventilated patients who were receiving

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sedative agents, and repeated measurements of cardiac filling [14]. Subsequently, the amount of intravenous fluid given was guided by a number of variables, including arterial pressure, heart rate, cardiac filling pressures and volumes, cardiac output, central venous oxygen saturations and blood lactate levels [3].

Demographic and bacteriologic data were collected from all patients, as were all relevant elements needed to calculate the SOFA score. We also noted the duration of hospital stay before ICU admission, medical or surgical (emergency or elective) reason for admission, origin (home, ambulance, emergency room, hospital ward, other hospital), length of ICU stay, ICU and hospital survival. The use of diuretics or renal replacement therapy (RRT, hemofiltration and/or hemodialysis) was also noted.

Daily fluid intake was calculated as the sum of all intravenous and oral fluids. The daily fluid output was calculated as the sum of the volumes of urine output, ultrafiltration fluid, drain fluid, and estimated gastrointestinal losses (including stools only in the presence of profound diarrhea). Insensitive losses were not taken into account because they are difficult to assess reliably. Daily fluid balance (according to baseline patient weight) was calculated by subtracting the total fluid output from the total intake. Day 1 was defined as the time between ICU admission and the next morning.

Statistical analysis

Data are given as means with standard deviation or medians and interquartile ranges for continuous variables and as numbers and percentages for categorical variables. The Shapiro-Wilk test was used, and histograms and normal-quantile plots were examined to verify whether there were significant deviations from the normality assumption of continuous variables. Difference testing between groups was performed using Student's *t* test, Mann-Whitney test, chi-square test or Fisher's exact test, as appropriate. Repeated measurements were compared using linear mixed models. Time-dependent Cox models were performed to assess the effect of daily fluid balance on survival in the whole population and in the subgroup of patients with septic shock. Daily fluid balance measurements were considered as the time-dependent covariate. The main effect model was built using a backward stepwise elimination technique. The variables considered in the multivariable modeling were selected based on their *p* value in univariate analysis. The threshold considered was 0.1 as a compromise between the number of variables that could be considered in the multivariable analysis and the number of death events in the analyzed population. Collinearity between variables was checked before modeling. The results are presented as crude and adjusted hazard ratios (HR, aHR) with 95 % confidence intervals (95 % CI). Statistical analyses were performed using IBM SPSS

20 statistical program for Windows (IBM Corporation, Armonk, NY, USA). All statistical tests were two-sided and *p* values of less than 0.05 were considered statistically significant.

Results

During the study period, 225 patients were treated for sepsis in our department, of whom 52 were excluded because they had an ICU stay <48 hours. Accordingly, we studied 173 patients, 114 (66 %) of whom survived the ICU stay (see Additional file 1).

As expected, the non-survivors had a higher SOFA score on admission than the survivors, and were more likely to have a comorbid cancer or an infection involving *Aspergillus* (Table 1). In patients with shock (*n* = 135), the non-survivors had a longer shock duration (4 ± 2 days vs. 2 ± 2 days, *p* < 0.001) than the survivors.

The total amount of fluid administered for the first three days of ICU stay in all patients averaged 11.8 liters (157 ml/kg). Daily fluid intake was higher in non-survivors than in survivors (*p* = 0.03), but the difference in fluid output was not significant (*p* = 0.49). Overall, the daily fluid balance was more positive in non-survivors than in survivors (*p* < 0.01). In the first hours of treatment, the fluid balance was similar in survivors and non-survivors, but from the second day was more positive in non-survivors than in survivors (Fig. 1). Fluid intake decreased and output increased in the survivors while in the non-survivors intake remained higher than output (Fig. 2).

In the survivors, the mean fluid balance became negative between days 4 and 5 and remained negative (Fig. 2). The fluid balance was negative for at least 1 day in 86 of 114 survivors (75 %) but in only 25 of 59 (42 %) of the non-survivors (*p* = 0.01).

More survivors than non-survivors had a negative fluid balance on the fourth day (76.5 % vs 23.5 %, *p* = 0.038), the fifth day (80.9 % vs 19.1 %, *p* = 0.01) and the sixth day (78.8 % vs 21.2 %, *p* = 0.02) (Fig. 3). Differences in mortality rates according to changes in fluid balance over the first 5 days of the ICU stay are shown in Additional files 2 and 3.

Diuretics were administered in 24 (41 %) non-survivors and 33 (29 %) survivors (*p* = 0.120), while RRT was used in 23 (39 %) non-survivors and 18 (16 %) survivors (*p* = 0.001). We separated patients into those who did not receive diuretics or RRT ("spontaneous diuresis"), those who received diuretics and those who received RRT. In all three groups, non-survivors had a higher daily fluid balance than survivors (Table 2).

Using a time-dependent Cox model in which the daily fluid balance was considered as the time-dependent covariate (see Additional file 4), a positive fluid balance was associated with a higher risk of ICU mortality (HR

Table 1 Demographic and admission characteristics, type of hospitalization, infectious characteristics and length of stay in non-survivors and survivors

	Patients <i>n</i> = 173	Non-survivors <i>n</i> = 59	Survivors <i>n</i> = 114	<i>p</i> value
Male	117 (68)	35 (59)	82 (72)	0.093
Age (years)	61 ± 16	63 ± 16	60 ± 16	0.185
Weight (kg)	75 ± 20	75 ± 21	75 ± 20	0.897
Septic shock	135 (78)	57 (97)	78 (68)	<0.001
Duration of shock (days)	3 ± 2	4 ± 2	2 ± 2	<0.001
Comorbidities				
Coronary artery disease	26 (15)	10 (17)	16 (14)	0.611
Hypertension	47 (27)	16 (27)	31 (27)	0.992
COPD	25 (15)	8 (14)	17 (15)	0.81
Cirrhosis	19 (11)	10 (17)	9 (8)	0.071
Diabetes	45 (26)	14 (24)	31 (27)	0.622
Cancer	33 (19)	17 (29)	16 (14)	0.019
Immunosuppression	16 (9)	6 (10)	10 (9)	0.764
Variables at ICU admission				
SOFA score	8.2 ± 3.4	9.0 ± 3.3	7.7 ± 3.3	0.023
Cardiovascular subscore	3 [1–3]	3 [1–4]	3 [1–3]	0.008
Renal subscore	1 [0–2]	1 [0–2]	0 [0–2]	0.198
Coagulation subscore	0 [0–2]	0 [0–2]	0 [0–2]	0.728
Lung subscore	2 [1–3]	2 [1–3]	2 [1–3]	0.059
Hepatic subscore	0 [0–2]	0 [0–2]	0 [0–1]	0.365
Neurological subscore	0 [0–2]	1 [0–3]	0 [0–2]	0.099
CRP (mg/l)	170 ± 140	128 ± 111	193 ± 149	0.01
Lactate (mmol/l)	2.9 ± 2.4	3.8 ± 3.4	2.5 ± 1.5	0.148
Origin				0.487
Emergency room	46 (27)	15 (25)	31 (27)	
Ambulance	12 (7)	2 (3)	10 (9)	
Hospital ward	81 (47)	28 (48)	53 (47)	
Other hospital	34 (20)	14 (24)	20 (18)	
Type of admission				0.644
Medical	103 (60)	38 (64)	65 (57)	
Elective surgery	30 (17)	9 (15)	21 (18)	
Emergency surgery	40 (23)	12 (20)	28 (25)	
Source of sepsis				
Lung	65 (38)	31 (53)	34 (30)	0.003
Abdomen	73 (42)	21 (36)	52 (46)	0.206
Urinary tract	14 (8)	3 (5)	11 (10)	0.297
Catheter/blood infection	14 (8)	6 (10)	8 (7)	0.471
Osteoarticular	2 (1)	0 (0)	2 (2)	0.306
Skin	10 (6)	4 (7)	6 (5)	0.685
Other	8 (5)	2 (3)	6 (5)	0.578
Not found	5 (3)	3 (5)	2 (2)	0.215

Table 1 Demographic and admission characteristics, type of hospitalization, infectious characteristics and length of stay in non-survivors and survivors (*Continued*)

Microorganisms				
Gram-negative	113 (65)	39 (66)	74 (65)	0.876
<i>E. coli</i>	48 (28)	18 (31)	30 (26)	0.559
Pseudomonas	23 (13)	10 (17)	13 (11)	0.308
Other	75 (43)	27 (46)	46 (40)	0.645
Gram-positive	71 (41)	28 (48)	43 (38)	0.217
Staphylococci	27 (16)	12 (20)	15 (13)	0.217
Streptococci	24 (14)	8 (14)	16 (14)	0.932
Other	26 (15)	11 (19)	15 (13)	0.338
Fungi	33 (19)	14 (24)	19 (17)	0.262
Candida	24 (14)	8 (14)	16 (14)	0.932
Aspergillus	9 (5)	6 (10)	3 (3)	0.034
Other	2 (1)	0 (0)	2 (2)	0.306
Virus	4 (2)	3 (5)	1 (1)	0.081
No microorganisms found	27 (16)	9 (15)	18 (16)	0.937
Number of different microorganisms				0.059
0	27 (16)	9 (15)	18 (16)	
1	65 (38)	18 (31)	47 (41)	
2	52 (30)	16 (27)	36 (32)	
≥3	29 (17)	16 (27)	13 (11)	
Duration of ICU stay (days)	6 [4–10]	7 [4–12]	6 [4–8]	0.17
Duration of hospital stay (days)	31 ± 29	18 ± 19	38 ± 31	<0.001
Time between hospitalization and ICU admission (days)	6 ± 13	8 ± 16	6 ± 11	0.084

Values are given as number (percentage), median [25th–75th percentile], or mean ± standard deviation (SD)

COPD chronic obstructive pulmonary disease, CRP C-reactive protein, SOFA Sequential Organ Failure Assessment

1.014 [1.008–1.021] per ml/kg increase, $p < 0.001$), a finding which persisted after multivariable modeling (aHR 1.014 [1.007–1.022] per ml/kg increase, $p < 0.001$). Other variables that were significantly associated with ICU mortality were age, the type of admission and the presence of cancer. In the subgroup of patients with septic shock, a positive fluid balance was also associated with ICU mortality (aHR 1.013 [1.005–1.020] per ml/kg increase, $p < 0.001$).

Discussion

We studied a single-center, medical-surgical population of 173 septic patients, 78 % of whom had septic shock, with an overall ICU mortality of 34 %. A positive fluid balance was independently associated with an increase in the risk of death. We also observed a relationship

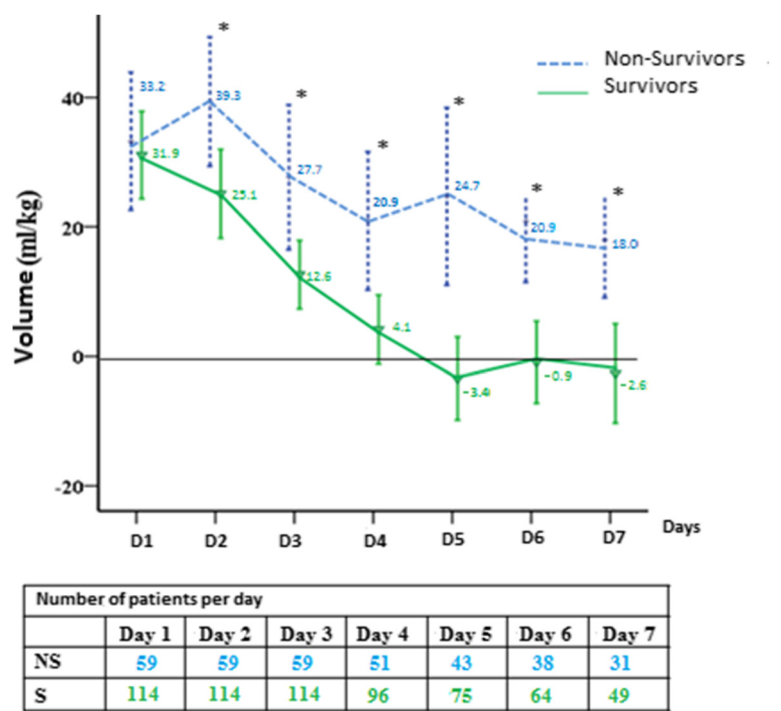


Fig. 1 Mean fluid balance (ml/kg) in survivors (S) and non-survivors (NS) over the 7 days after sepsis onset. *Statistically significant difference at the $p < 0.05$ level between survivors and non-survivors

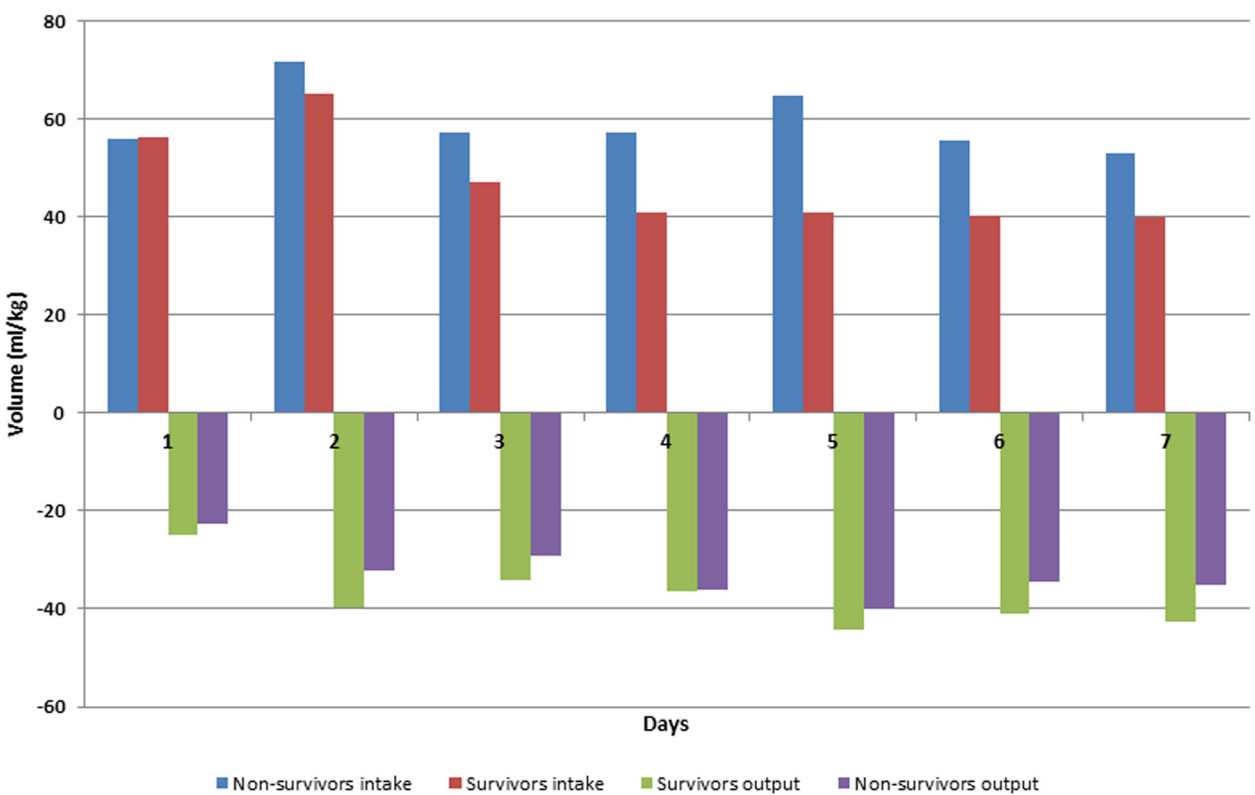


Fig. 2 Daily intake and output in non-survivors and survivors during the 7-day period

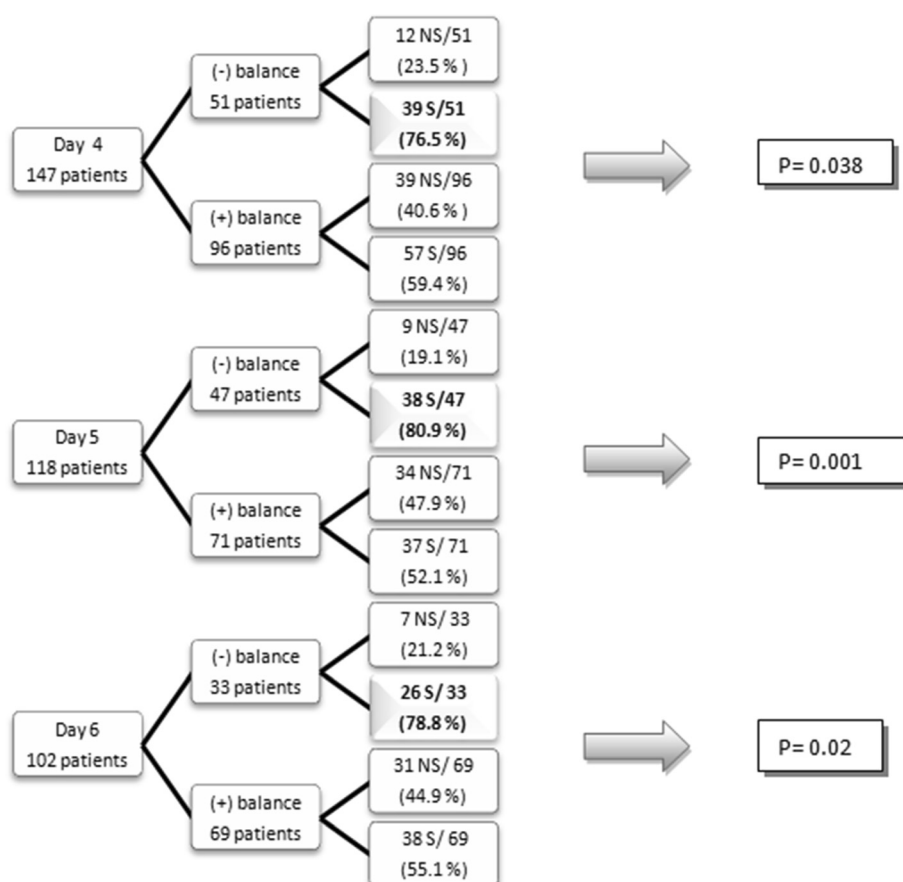


Fig. 3 Proportion of patients with a negative fluid balance on days 4, 5 and 6 after ICU admission. On the fourth day, 51 of 147 patients had a negative fluid balance, 39 (76.5 %) of whom were survivors (S). On the fifth day, 47 of 118 patients (39.8 %) had a negative mean fluid balance, 38 (80.9 %) of whom were survivors. And on the sixth day, 33 of 102 patients had a negative fluid balance, 26 (78.8 %) of whom were survivors. NS non-survivors

between the change in fluid balance over time and mortality.

A positive **association** between fluid balance and mortality is quite well established. Results from **the SOAP** study, an **observational** study of **3,147** adult patients from 198 European ICUs, indicated that, in patients with sepsis, **fluid balance** was an **independent risk factor for mortality** [6]. Alsous et al. [7] also showed, in a single-

center retrospective study of 36 patients with septic shock, that patients with a negative fluid balance (less than 500 ml) on at least 1 of the first 3 days after the onset of septic shock had better hospital survival. In ICU patients with sepsis or septic shock, Sirvent et al. [10] reported that the accumulated positive fluid balance at 48, 72, and 96 hours was associated with higher mortality, and in a retrospective study, de Oliveira et al. [12]

Table 2 **Daily mean fluid intake/output** and balance in survivors and non-survivors according to the use of diuretics or renal replacement therapy (RRT)

	Spontaneous diuresis			Use of diuretics			RRT ^a		
	Survivors (n = 63)	Non-survivors (n = 12)	p value	Survivors (n = 33)	Non-survivors (n = 24)	p value	Survivors (n = 18)	Non-survivors (n = 23)	p value
Mean daily volume (ml/kg)									
Intake	48 ± 3	55 ± 6	0.31	42 ± 3	56 ± 4	0.006	55 ± 8	64 ± 6	0.41
Output	35 ± 2	29 ± 5	0.31	33 ± 2	27 ± 3	0.13	40 ± 5	32 ± 4	0.25
Fluid balance	13 ± 2	26 ± 6	0.03	9 ± 3	28 ± 4	<0.001	16 ± 5	32 ± 6	0.049

Data shown as mean values ± standard error of the mean

^aSeven patients also received diuretics

noted that a **late** (between 24 and 48 hours after diagnosis) **positive fluid balance** was an **independent risk factor** for **mortality** in severe sepsis. In a pediatric septic population, Abulebda et al. [8] showed that a positive fluid balance was associated with worse outcomes (increased mortality and complicated course) in patients with a low initial mortality risk but not in patients at moderate or high risk of death.

However, it is **important** to consider a **time-related relationship**, because fluid administration is dynamic, changing according to the patient's evolution. Recently, it has been suggested that fluid administration for patients in shock should be considered according to the **ROSD mnemonic: rescue, optimization, stabilization, and de-escalation** phases [15]. We did not focus on the initial, rescue phase of fluid resuscitation, but rather evaluated the time course over several days. Indeed, the role of early goal-directed therapy, including fluid administration, is controversial [16, 17]. In a prospective, multicenter, observational study, **Smith and Perner** [18] reported that patients with **septic shock** who **initially** received a **large volume** of fluid had **improved survival** compared to patients who received **lower volumes**, despite comparable admission severity of illness. However, as noted by Prowle in the accompanying commentary [19], the **median of 7.5 liters** that was administered in the **first 72 hours** was a relatively **low volume** for fluid resuscitation of **septic** patients. Lee et al. [20] also reported, in a retrospective study, that the **initial amount** of administered **fluid** was **greater** in **survivors** (at discharge) than in non-survivors. In patients with septic shock complicated by acute respiratory failure, Murphy et al. [21] noted that patients managed with the combination of **adequate initial fluid** resuscitation and **conservative fluid** management in the **subsequent** days had **lower in-hospital mortality** than other patients.

In our study population, the fluid balance was **initially** quite **similar** in the survivors and non-survivors but the non-survivors received more fluids so that already from the second day, the fluid balance was more positive in the non-survivors. After initial resuscitation, less fluid was administered in both groups, and the fluid balance decreased steadily in the survivors but not in the non-survivors. The differences in fluid balance were due to a **greater fluid input** in the **non-survivors rather** than to a **lower fluid output**. **Survivors** were **more likely** than **non-survivors** to have a **negative fluid** balance **early** in their ICU stay, and a positive fluid balance was an independent prognostic factor for ICU mortality. The relationship between positive fluid balance and mortality was present regardless of whether or not diuretics or RRT were used.

The single-center nature of our study may be seen as a limitation, but it can also be a strength by limiting variability in patient management as different centers may

have different protocols for fluid administration and use of diuretics and RRT. Single-center studies may, therefore, have increased intrinsic validity.

Conclusions

In critically ill patients with sepsis, a persistent positive fluid balance is quite strongly associated with an increased risk of death. This observation supports the suggestion that fluid administration needs to be carefully titrated after hemodynamic stabilization [15]. Further, interventional studies are needed to confirm these findings.

Key messages

- Intravenous fluid administration is an essential component of sepsis management
- **Accurately determining ongoing fluid requirements** in patient with sepsis can be **difficult**
- **Persistence** of a **positive daily** fluid balance over time is quite strongly associated with a **higher mortality** rate in septic patients

Additional files

Additional file 1: Consort diagram showing flow of patients through the study.

Additional file 2: Mortality rate according to the change in fluid balance over time in patients with a positive fluid balance on day 1.

Additional file 3: Mortality rate according to the change in fluid balance over time in patients with a negative fluid balance on day 1.

Additional file 4: Univariate and multivariable analyses in all patients and the subgroup with shock.

Abbreviations

(a)HR: (adjusted) hazard ratio; ICU: intensive care unit; RRT: renal replacement therapy; SOFA: Sequential Organ Failure Assessment.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

AA and JLV designed the study. AA collected the data and drafted the manuscript. AA analyzed the data. JLV critically revised the manuscript. Both authors read and approved the final manuscript.

Acknowledgements

The authors would like to thank Hassane Njimi MSc PhD for his help with the statistical analyses.

Received: 4 March 2015 Accepted: 29 May 2015

Published online: 15 June 2015

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LETTER

Fluid resuscitation in septic shock: too much, too little or just right?

John R Prowle*

See related research by Smith and Perner, <http://ccforum.com/content/16/3/R76>

In their interesting observational study Smith and Perner [1] describe fluid resuscitation (FR) in 164 patients with septic shock, concluding that survival was better in patients receiving higher volumes over the first 72 h. I think we should be cautious, however, to conclude from this that more is better.

Median FR was 4.0 L over 24 h, and 7.5 L by 72 h - relatively small volumes for patients with ongoing shock. FR volumes reported from trials performed in septic shock are substantially larger despite comparable illness severity; mean FR over 72 h was approximately 19 L in the Vasopressin in Septic Shock Trial (VASST) study [2], 13 L in the study by Rivers and colleagues [3] and 16 L in another recent study [4]. Indeed, median FR in the high-volume group (10.9 L at 72 h) was comparable to the

lowest quartile, associated with the best prognosis, in the VASST study (16 L at 96 h) [2]. Thus, I do not believe that the benefit of higher-volume FR described is in conflict with the harm associated with larger volumes reported previously [2]. Similarly, median FR in the lower-volume group was only 4.3 L in 72 h. As FR was physician-directed, lower-volume FR might have been indicated by factors like chronic cardiac failure or fluid unresponsiveness associated with poorer outcomes irrespective of FR; no evidence is provided to conclude that increasing FR in this group would have improved survival.

Overall, this report records excellent outcomes using moderate FR by recent standards. Further trials are needed to characterize the dose and indications for FR in septic shock.

Authors' response

Anders Perner and Søren H Smith

Thanks to Dr Prowle for his interest in our study. We agree that observations in cohort studies should be interpreted with caution, in particular in complex clinical settings such as fluid resuscitation of patients with sepsis in the ICU. The interpretation of studies in this area is further complicated by differences in the reporting of fluid therapy. The types of, and indications for, fluids are most often not reported, so when previous trials [2-4] report that more than 10 L of fluid was given by day 3, we do not know if this fluid was given for resuscitation. In trials of fluid resuscitation [5] (unpublished observations from the 6S trial [6]), 2.5 L of other fluids were given for each liter of resuscitation fluid. The indications for giving other fluids may include nutrition, maintenance, fluids with medications and electrolytes or even to keep a drip

open, but we do not know the details. Taken together, we support the notion that the controversy of fluid volume in septic shock may only be resolved in randomized trials of higher versus lower fluid volumes in these patients. Such trials should be top priority for the ICU research community.

Abbreviations

FR, fluid resuscitation; VASST, Vasopressin in Septic Shock Trial.

Competing interests

The author declares that he has no competing interests.

Published: 29 June 2012

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doi:10.1186/cc11362

Cite this article as: Prowle JR: Fluid resuscitation in septic shock: too much, too little or just right? *Critical Care* 2012, **16**:436.