

COMMENTARY

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The whole truth and nothing but the truth: the need for full reporting of randomised trials

Rupert M. Pearse^{1,2}

Abstract

The use of cardiac output monitoring to guide fluid and inotropic therapy in surgical patients has remained a controversial topic for more than 40 years. The reasons for this are numerous and complex, but key amongst them is the interplay between **poor research methodology** and the likely **selective reporting** of randomised trials. In this issue of *Perioperative Medicine*, we find a very unusual report, one which describes a randomised **trial stopped for futility** after the recruitment of only a small proportion of the target patient sample (Jammer et al. *Periop Med*). The authors offer no statistical analysis of their findings but simply an explanation of what went wrong. On the face of it, this exercise would seem to offer little of value to the general reader. How can publication of the findings of an unsuccessful trial contribute to the evidence base on this topic? To understand this, we must delve a little deeper into the evidence and see how these trials were designed.

Keywords: Randomised trials, Fluid therapy, Methods, Monitoring, Physiologic, Surgery

Background

Cardiac output-guided haemodynamic therapy is a complex intervention consisting of one or more therapeutic agents (fluid and/or inotropes), a monitoring device, and a human who interprets the data provided by this device using an algorithm, adjusting therapy doses accordingly. Trials of complex interventions have a particular set of limitations, so much so that in the UK, the Medical Research Council has developed methodological guidance on the design of such trials [1]. However, the sad truth is that many previous randomised trials of cardiac output-guided haemodynamic therapy have been of very poor methodological design. The key weaknesses are small patient samples recruited in single centres, use of subjective clinical outcome measures with no observer blinding to study group allocation, and the provision of control group care according to alternative algorithms which may be better or worse than usual clinical practice. These limitations are easily found, even amongst the most recent randomised trials, but if we search

reports from more than 15 years ago, we can find far more serious problems including a lack of clarity around which patients were randomised to which treatment, failure to include the outcomes of all trial participants in an 'intention to treat' analysis, and undeclared links to commercial partners. These problems do not affect every published trial but are frequent enough to fuel long running arguments between experts in the field and to undermine wider confidence in the evidence base. Doctors are left uncertain as to the clinical benefits and cost effectiveness of the treatment and may be disproportionately influenced by one or two trial reports, often because these reflect their own prejudicial views. It is important that we recognise that this evidence base is flawed and cannot justify any lasting change in practice towards the use of cardiac output monitoring. There are two key reasons why the publication of the findings of unfinished trials will help to resolve this problem.

Discussion

For this particular intervention, **futility** of the **trial** is likely to be associated with **futility** of the **treatment**. The investigators struggled to identify enough eligible patients for their trial and struggled to ensure they

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received the trial intervention. If we do not publish the results of unfinished trials, we will bias reporting towards 'positive' trials and create the false impression of an overall clinical benefit. Alternatively, if the outcome data from such trials are publically available, they may still be included in systematic reviews even if not subjected to statistical testing in the primary report. This counters the effect of publication bias. The second reason is less scientific but probably more important. Confidence in the evidence base has been systematically undermined by long running arguments between academics, many of whom have one vested interest or another in cardiac output guided therapy being shown to work. Counterintuitively, the publication of a report which does nothing to flatter those of us who work in this field does not undermine wider confidence in the evidence base but proves to the reader that a full and frank disclosure is taking place. All is in the public domain, nothing is hidden from sight, and all the relevant information can be taken into account as we formulate a view of the evidence. Jammer and colleagues must be congratulated for placing their work in the public domain, and the journal editors should be applauded for publishing it.

Conclusion

After 40 years and more than forty randomised trials, we remain uncertain about the perceived benefits of cardiac output-guided haemodynamic therapy. The findings of our own large multi-centre trial simply reinforce this uncertainty [2]. Only a very large multi-centre trial can provide the definitive answers we seek. Such a trial seems unlikely to happen, unless supported by both industry and major public funder(s). If this robust evidence cannot be provided, we should consider whether cardiac output monitoring adds value for our patients or is simply a distraction to the provision of high quality perioperative care.

Competing interests

In the last 5 years, RP has received equipment loans from LiDCO Ltd and has received honoraria for public speaking and/or consultancy work from Edwards Lifesciences and Massimo Inc. Previous to this, RP has received honoraria for public speaking and/or consultancy work from Covidien Inc and Pulsion Medical Systems.

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RESEARCH

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Stroke volume variation to guide fluid therapy: is it suitable for high-risk surgical patients? A terminated randomized controlled trial

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Abstract

Background: Perioperative goal-directed fluid therapy (GDFT) may improve outcome after high-risk surgery. Minimal invasive measurement of stroke volume variation (SVV) has been recommended to guide fluid therapy. We intended to study how perioperative GDFT with arterial-based continuous SVV monitoring influences postoperative complications in a high-risk surgical population.

Methods: From February 1st 2012, all ASA 3 and 4 patients undergoing abdominal surgery in two university hospitals were assessed for randomization into a control group or GDFT group. An arterial-line cardiac output monitor was used to measure SVV, and fluid was given after an algorithm in the intervention group. Restrictions of the method excluded patients undergoing laparoscopic surgery, patients with atrial fibrillation and patients with severe mitral/aortal stenosis. To detect a decrease in number of complication from 40 % in the control group to 20 % in the GDFT group, $n = 164$ patients were needed (power 80 %, α 0.05, two-sided test). To include the needed amount of patients, the study was estimated to last for 2 years.

Results: After 1 year, 30 patients were included and the study was halted due to slow inclusion rate. Of 732 high-risk patients scheduled for abdominal surgery, 391 were screened for randomization. Of those, $n = 249$ (64 %) were excluded because a laparoscopic technique was preferred and $n = 95$ (24 %) due to atrial fibrillation.

Conclusions: Our study was stopped due to a slow inclusion rate. Methodological restrictions of the arterial-line cardiac output monitor excluded the majority of patients. This leaves the question if this method is appropriate to guide fluid therapy in high-risk surgical patients.

Trial registration: ClinicalTrials.gov: NCT01473446.

Background

Maintaining adequate oxygen supply to body organs is one of the main goals during anaesthesia, and giving intravenous fluid is one way to achieve this goal. A Cochrane systematic review found that complication rate and length of hospital stay, but not mortality, were reduced when global blood flow is optimized perioperatively by means of fluid and or drugs [1].

Recent studies show the development and use of several minimal invasive methods to estimate cardiac output and guide fluid therapy [2]. Despite the unclear evidence in the literature and contradictory findings in clinical trials, the pressure on clinicians to use a goal-directed fluid therapy approach is high. In the UK, there is even a governmental financial incentive for hospitals to use Oesophageal Doppler for its patients [3] because the goal-directed approach may be cost effective [4].

High-risk patient may have the greatest benefit of a goal-directed fluid approach [5, 6]. Less capability to compensate hypo- and hypervolemia may increase the

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rate of complications in a poorly optimized fluid balance [7, 8]. Benes et al. evaluate the effect of minimal invasive cardiac output-monitored fluid therapy exclusively in a high-risk abdominal surgery population [9]. Pearse describes the use of an arterial-line cardiac output monitoring in a high-risk surgery population [10]. Both studies were done on high-risk patients, using a strict definition of “high-risk”. However, we could not find a consensus in the literature about the definition of “high-risk surgery”. To simplify our approach to high-risk surgery, we defined therefore ASA 3 and 4 patients as high-risk patients. Then we intended to conduct a multicentre international prospective clinical trial to study what impact goal-directed fluid therapy based on continuous SVV (stroke volume variation) monitoring has on postoperative complications in this patient group.

Methods

Trial design

We planned a two-centre, assessor concealed, prospective randomized clinical trial conducted in Norway and Finland. The trial was approved by the institutional board in Norway (2011/947/REK Vest) and Finland (EETTMK:10/2012).

Participants

From 1 February 2012 to 31 January 2013, all high-risk patients defined by ASA score 3 and 4, older than 18 years scheduled for major abdominal surgery in two university hospitals were assessed for eligibility. Patients who were able to give consent when an investigator was available were screened for eligibility. Patient undergoing liver or oesophageal surgery were not screened because they follow a more restrictive fluid regimen. Exclusion criteria after screening were the following: atrial fibrillation, severe aortic or mitral stenosis, and laparoscopic surgery or declined participation. Patients were included consecutively. Informed consent was obtained from each randomized patient.

Interventions

Patients were randomized into two groups: a control group receiving traditional fluid therapy and a group with a goal-directed fluid therapy (GDFT) regimen guided by an arterial pressure-based cardiac output device (LiDCOrapid, LiDCO Ltd, London, UK) to measure SVV [11]. For more details of the study protocol, see Additional file 1.

Sample size calculation

The complication rate for lower gastrointestinal surgery in elective patients in one of the study hospitals was 40 % in a previous study [12]. In the present study, a

higher complication rate was expected due to inclusion of a population with a higher morbidity. To detect a decrease in number of complication from 40 % in the control group to 20 % in the GDFT group, $n = 164$ patients were needed (power 80 %, alpha 0.05, two-sided test). It was estimated that with an approximate inclusion rate of 80 patients/year in each study centre, the study could be conducted within 2 years.

Interim analysis

Due to an unexpectedly low inclusion rate, an analysis of the exclusion factors was performed after 1 year. This resulted in termination of the study. A retrospective analysis of all patients undergoing abdominal surgery within the last year and their comorbidities and surgical techniques was undertaken after approval of the institutional board.

Outcomes

The primary endpoint was the proportion of patients suffering of one or more complication within 5 days postoperatively.

After termination of the study, we decided to reject the primary outcome due to heavy underpowered sample size. To analyse reasons for exclusion, we determined the amount of excluded patients. No statistical analysis was performed of the numbers collected.

Results

Participant flow

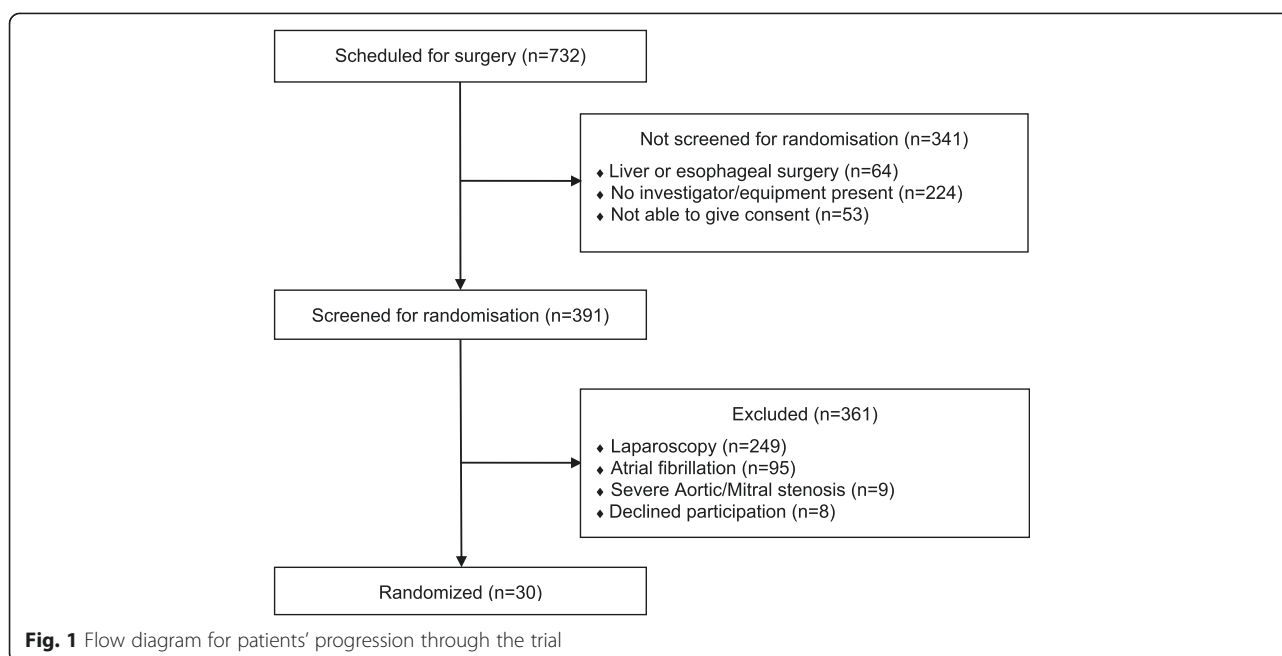
During 1 year, $n = 732$ high-risk patients were scheduled for abdominal surgery.

Of these, $n = 341$ were not screened for inclusion. Reasons were either no investigator present or equipment missing ($n = 224$), scheduled liver or oesophagus surgery ($n = 64$) or the patient were unable to give informed consent ($n = 53$).

Of all scheduled patients, $n = 391$ patients were screened for randomisation. Of these, 64 % ($n = 249$) were excluded because a laparoscopic technique was preferred, and 24 % ($n = 95$) were excluded due to atrial fibrillation. The patient flow through the study can be seen in Fig. 1. Of all screened patients, only 7.7 % ($n = 30$) could be included in the study. The outcome data is presented by study group allocation in Table 1. A de-identified database containing all collected data of included patients is available online as an additional file (see Additional file 2).

Reason for stopped trial

After 1 year, the number of randomized patients we could include in the study was only 18 % of the estimated number we have been expected at that time. We



calculated that at this inclusion speed, the study would last more than 5 years and therefore decided to terminate the study early.

Discussion

Principal findings

In our study, a majority of high-risk surgical patients defined as ASA 3 and ASA 4 were not eligible for an arterial-line-based GDFT approach. The main reasons are methodological limitations of the arterial-line waveform analysis. The majority of patients had to be excluded because a laparoscopic surgical technique was preferred or due to atrial fibrillation.

This study was meant to be a prospective randomized controlled trial with a pragmatic approach to include patients. This would reflect daily routines, strengthening the study. Because the study was conducted in two tertiary hospitals, we had a high amount of high-risk surgical patients. Therefore, we expected to include enough patients in short time to run a well-powered study. Of 732 patients, 224 were not screened for randomisation due to investigator or equipment not being available. If this patient group also could have been screened, we may have had a higher number of patients randomized. However, it is to assume that the same fraction of patients would have to be excluded due to laparoscopic surgery and atrial fibrillation. Therefore, we do not believe that the total amount of patients that could be randomized would be much higher.

We terminated the study early, resulting in a heavily underpowered study. The primary outcome, postoperative morbidity, cannot be assessed since we just included

30 patients, and a statistical analysis would be meaningless. Consequently, we present just the patient flow numbers and not a complete statistical analysis of complications.

The low number of patients that could possibly benefit from GDFT is valid for our hospitals where the surgeons prefer to operate on high-risk patients with minimal invasive surgery. In hospitals that perform a higher amount of open surgery, the use of a minimal invasive GDFT approach may be more feasible.

We define the high-risk surgical patient by the ASA score to make the study pragmatic. However, other authors define “high-risk surgery” or the “high-risk patient” in different ways [13–15]. This makes comparison of trials dealing with this patient group difficult.

Maguire found in a retrospective electronic chart study of his hospital that $n = 12,308$ patients underwent surgery in 1 year, but only $n = 4,792$ (39 %) fulfilled the criteria for an arterial-line-based cardiac output monitor, and of these, only 23.2 % had an arterial-line. There was no report on how many of the patients were ASA III/IV patients [16].

Arterial-line-based waveform analysis measures hemodynamics by calculation of stroke volume variation or pulse pressure variation. However, arterial-line-based output methods are not applicable to large patient groups due to their limitations [16]. One limitation is laparoscopic procedures [17]. The increased intraabdominal pressure from the pneumoperitoneum affects dynamic parameters independently in changes of volume status [17–19]. Consequently would SVV during pneumoperitoneum increase while the blood volume do not decrease, it

Table 1 Complications (definition) within 5 days after surgery

	Intervention group <i>n</i> = 14	Control group <i>n</i> = 16
Pulmonary		
Pneumonia (x-ray + antibiotics)	4	0
Pleural fluid (supplemental oxygen + x-ray)	0	2
Atelectasis (supplemental oxygen + x-ray)	3	1
Pneumothorax	0	0
Respiratory failure (intensive care treatment)	2	1
Pulmonary emboli (computed tomography + treatment)	0	0
Cardial		
Arrhythmia (electrocardiogram + treatment or cardiologist consultation)	2	1
Coronary ischemia (electrocardiogram + troponin)	0	0
Pulmonary stasis/oedema (x-ray or treatment)	1	1
Neurological		
Postoperative delirium (treatment)	1	2
Focal neurological deficit	0	0
Infectious		
Wound infection (phlegmone + antibiotics or drainage)	0	0
Intraabdominal infection (computed tomography + antibiotics)	0	0
Central venous catheter infection	0	0
Wound rupture (operation)	0	0
Gastrointestinal (GI)		
Mechanical ileus (operation)	0	1
GI bleeding (transfusion or gastroscopy)	0	0
Paralytic ileus (unable to tolerate enteral diet > 5 days)	1	2
Others		
Renal impairment (creatinine increase > 33 %)	0	1
Impaired spontaneous voiding (catheterization > 2 times)	1	0
Venous thrombosis (treatment)	0	0
Sum of complications	15	12
Patients with at least one complication	7	7

would lead to false positive readings [20]. It is therefore not well validated in humans [21, 22]. Other limitations of waveform analysis measurements are cardiac arrhythmias and patients with severe cardiac valvulopatias [23].

Despite criticism about the evidence of the effect of goal-directed therapy, one single method of minimal invasive cardiac output monitoring (Oesophagus Doppler)

has even been officially recommended in the National Institute for Health and Clinical Excellence guidelines of the UK (<http://www.nice.org.uk/guidance/MTG3>). This decision has been criticized due to the lack of proof [24, 25], and the method may not be superior to a strategy of a neutral balance [26]. Other studies have not found benefits in a goal-directed fluid approach [10, 12, 26–30], have not found benefit using a restrictive fluid approach [31] or even have worse outcome in physically fit patients [5].

It is biologically plausible that the right amount of fluid given at the right time increase oxygen delivery to the organs and thereby benefit patient outcome. There has been a meta-analysis confirming that a GDFT approach may decrease postoperative complications. However, many included studies are small single centre studies with a high risk of bias or methodological limitations [1, 32, 6]. The effect on outcome in these studies is mostly small. An even statistical distribution of different studies with a small effect size would consequently result in a number of studies that would show no effect or even harm. The marked overweight of studies with a small positive effect on outcome may indicate a publication bias favouring trials with positive results. This may mask limitations of the arterial-line-based GDFT method that we report. Other studies investigating high-risk surgical patients do not report the exclusion rate due to atrial fibrillation when this condition restricted the GDFT method used [5, 9].

The OPTIMIZE trial with a study population of 734 patients is the largest trial on GDFT to date. It could not show a reduction of complications after perioperative arterial-line-based GDFT. However, when including the OPTIMIZE trial in an updated Cochrane meta-analysis, it indicates a reduced complication rate [10].

Goal-directed fluid therapy may be more important in a high-risk surgery population than in a relatively healthy population. Limitations of the method with an arterial-line-based monitor may cause exclusion of a patient group who may benefit most of the treatment. In the UK, it is recommended to use an Oesophagus Doppler to guide fluid therapy preoperatively. The same limitations that apply to the arterial-line-based method (exclusion of patient with atrial fibrillation and laparoscopic procedures) would apply to this method too.

Conclusions

Our primary goal was to investigate if high-risk surgical patients benefit from SVV-guided fluid therapy. This question still remains open. A majority of our patients had to be excluded from the trial due to methodological limitations. This leaves the question whether or not an arterial-line-based cardiac output monitor is the best method to guide fluid therapy in high-risk surgical patients.

Additional files

Additional file 1: Study protocol. Study protocol, Word 2010 document.

Additional file 2: De-identified SPSS database containing collected data of all randomized patients. SPSS Statistics Data Document.

Abbreviations

ASA: American society of anesthesia score; GDFT: Goal-directed fluid therapy; SVW: Stroke volume variation.

Competing interests

The authors declare that they have no competing interests.

Authors' contribution

IJ wrote the protocol and the first draft of the manuscript. IJ, MT and AU participated in the study design and data collection. All authors wrote, read and approved the final manuscript.

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