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Salvaging remote ischaemic preconditioning as a therapy for perioperative acute kidney injury

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This editorial accompanies the following articles: Remote ischaemic preconditioning does not modulate the systemic inflammatory response or renal tubular stress biomarkers after endotoxaemia in healthy human volunteers: a single-centre, mechanistic, randomised controlled trial by Zwaag et al., *Br J Anaesth* 2019;123:177–185, doi: [10.1016/j.bja.2019.03.037](https://doi.org/10.1016/j.bja.2019.03.037)

Early remote ischaemic preconditioning leads to sustained improvement in allograft function after live donor kidney transplantation: long-term outcomes in the REal Protection Against Ischaemia-Reperfusion in transplantation (REPAIR) randomized trial by Veighey et al., *Br J Anaesth* 2019;123:584–591, doi: [10.1016/j.bja.2019.07.019](https://doi.org/10.1016/j.bja.2019.07.019)

das Kind mit dem Bade ausschütten (to throw out the baby with the bathwater)

Thomas Murner, Narrenbeschwörung (Appeal to Fools), 1512

For such an apparently simple perioperative intervention that promised so much, remote ischaemic preconditioning (RIPC) appears to be hovering above the same sinkhole through which many other interventions have disappeared. RIPC, induced by brief episodes of ischaemia and reperfusion in a distant organ before a subsequent injury occurs, reduces the extent of organ injury, potentially conferring protection at remote sites, including the brain, heart, lung, kidney, skeletal muscle, and intestine.¹ Based on laboratory data, RIPC appears to be mediated by humoral mediator(s) because the protective effect is dialysable, transferable from individual to individual across species, and receptor mediated.² It has been shown that plasma from animals treated with RIPC is cardioprotective when applied to an isolated ischaemic heart.³ However, RIPC may recruit several other mechanisms involving systemic anti-inflammatory, humoral, and neuronal autonomic signalling pathways.

Lost in translation?

Despite substantial progress in translating experimental evidence for RIPC into clinical practice, randomised clinical trials in the perioperative setting have reported equivocal benefits in reducing organ injury. As has been highlighted, assuming that findings in the non-anaesthetised state fit neatly into the surgical setting, with apparent disregard for the perioperative

milieu, is highly flawed.⁴ As it turns out, the contribution of anaesthesia/perioperative medicine is likely to be pivotal to interpreting the results of trials involving RIPC, both within and beyond the perioperative setting. Two papers recently published by the *British Journal of Anaesthesia* (BJA) have revisited both the clinical and translational aspects of RIPC in kidney injury. In concert with many currently hot topics in perioperative medicine, these studies graphically illustrate the paramount need for enhanced mechanistic understanding⁵ and longer-term follow-up⁶ of perioperative interventions.

Need for perioperative renal protection

Patients with co-morbidities and those who are undergoing complex procedures have a particularly high risk for developing acute kidney injury (AKI).^{7,8} For example, after abdominal surgery, the pooled incidence of AKI in 82 514 patients was 13.4% (95% confidence interval [CI]: 10.9–16.4%).⁹ The relative risk of death in the presence of postoperative AKI was 12.6-fold (95% CI: 6.8–23.4).⁹ The incidence of AKI amongst critically ill patients can be as high as 60%, with an in-hospital mortality of up to 50% when AKI is part of the multiple organ dysfunction syndrome.¹⁰ Clearly, AKI should be regarded as an important surgical outcome measure and a potential target for clinical interventions.

RIPC and perioperative renal protection

Experimental studies and small clinical trials first suggested that RIPC may prevent perioperative kidney injury after cardiac and vascular surgery.¹¹ The Right Ventricular Remodeling in Pulmonary Arterial Hypertension (REPAIR) trial investigated the effect of RIPC on long-term outcome of allograft function after live-donor kidney transplantation.⁶ The long-term follow-up of the REPAIR trial for up to 5 yr after transplantation, recently

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published in the BJA, reported that RIPC was associated with sustained improvement of the estimated glomerular filtration rate (adjusted mean difference: $5 \text{ ml min}^{-1} (1.73 \text{ m})^{-2}$ [95% CI: 2–8]; $P=0.004$).⁶ This suggests that RIPC before live-donor transplantation can substantially improve long-term kidney allograft function and subsequently extend the life of the allograft by several years. Consistent with these findings, the RenalRIPC investigators reported that RIPC applied in 240 patients at high risk of AKI before cardiac surgery reduced AKI from 53% to 38% (absolute risk reduction [ARR]: 15% [95% CI: 3–27%]), and renal replacement therapy (ARR: 10% [95% CI: 2–18%]).¹² The effectiveness of RIPC was strongly associated with the release of tissue inhibitor of metalloproteinase-2 (TIMP-2) and insulin-like growth-factor-binding protein-7 (IGFBP7), biomarkers for cell-cycle arrest indicative of renal tubular stress. Also in the BJA, Zwaag and colleagues⁵ recently reported that RIPC alone induced the release of TIMP-2 and IGFBP7 in 30 healthy male volunteers, but RIPC neither modulated systemic cytokine release nor attenuated inflammation-induced tubular stress after low-dose endotoxin, a Toll-like receptor-4 (TLR-4) agonist. Notably, endotoxin preconditioning reduces renal ischaemia/reperfusion injury by hypoxia-inducible factor-2 α activation in endothelial cells, which is associated with improved renal microvascular perfusion and reduced ischaemic tubular damage.¹³ It is, therefore, striking that RIPC triggers a similar molecular stress response in cardiac¹⁴ and renal tissue that is also triggered by TLR-4 agonists that induce renal protection. However, the injurious effects of RIPC through this stressor mechanism cannot be ruled out.^{14,15} This may, in part, explain why RIPC failed to reduce the occurrence of AKI in the two largest multicentre trials in cardiac surgical patients comprising >3000 patients, which included AKI as a secondary outcome.^{16,17}

Perioperative RIPC: unanswered questions

Reconciling the positive and neutral results of perioperative trials in RIPC demands a critical reconsideration of the many shortcomings in trial design and, rather more fundamentally, mechanistic understanding of RIPC. First, an objective read-out that would define a clinically useful therapeutic range for RIPC remains unknown. Thus far, no clinical studies have shown that RIPC elicits a reproducible cellular or molecular signature (such as that described by Zwaag and colleagues⁵). It is also unknown whether RIPC is 'toxic' or what the minimally effective dose might be. Basic and human experimental studies show that neural (autonomic) modulation is a major component of the mechanisms underlying RIPC.¹⁸ The impact of anaesthesia on the autonomic mechanisms of RIPC is pivotal in understanding trial results and in considering whether the fundamental biological mechanisms underpinning RIPC can be manipulated to maximise the clinical benefit.

Autonomic mechanisms of RIPC: a key perioperative link

Both the sympathetic¹⁹ and parasympathetic²⁰ components of the autonomic nervous system contribute to RIPC-mediated organ protection (Fig. 1). Ischaemic activation of sensory (afferent) C-fibre neurones²¹ in a distant organ triggers a vagal reflex that confers cardiac protection, independently of HR changes.²⁰ Additionally, stimulation of the vagus nerve 24 h before ischaemia–reperfusion injury reduces kidney injury in mice²² and systemic inflammation in patients with rheumatoid arthritis.²³ A major component of experimental renal protection is conferred by a neuro-immune mechanism,

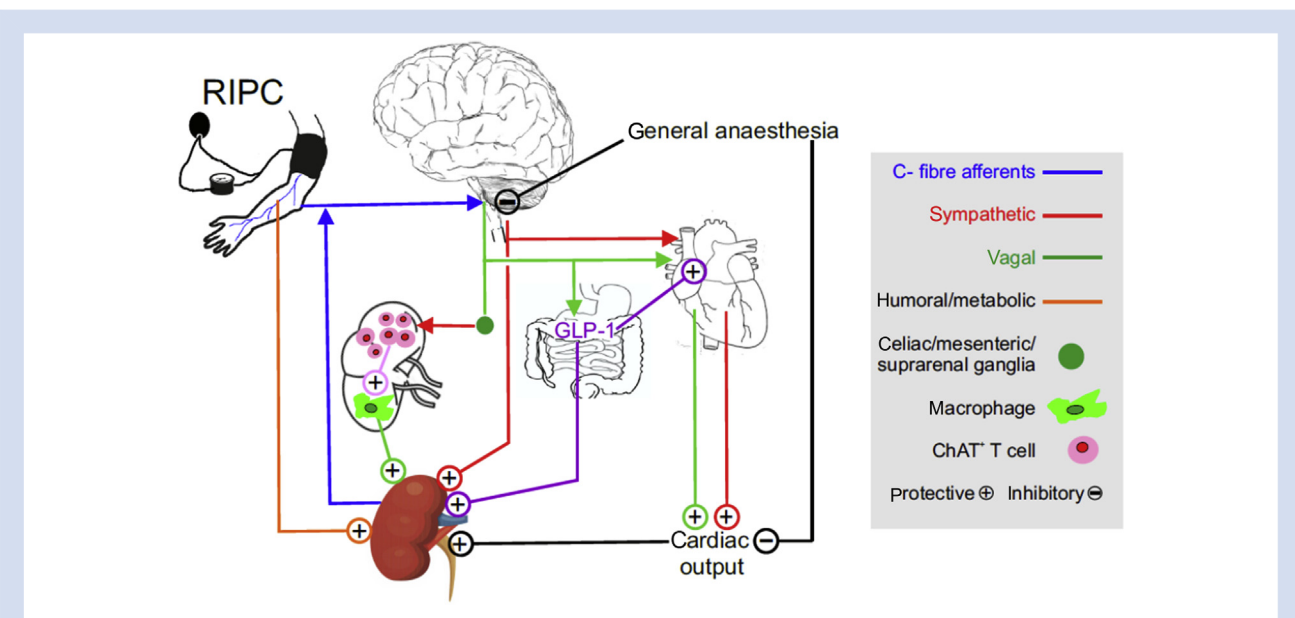


Fig 1. Potential mechanisms of remote ischaemic preconditioning (RIPC) involved in reducing perioperative acute kidney injury. Experimental data show that RIPC confers renal protection through humoral and neural autonomic pathways. Efferent vagal activity mediates the release of glucagon-like peptide-1 (GLP-1) from the gastrointestinal tract, which confers cardiac and renal protection. Vagally mediated postganglionic splenic nerve releases norepinephrine, which binds to β -2 adrenergic receptors expressed on T cells and stimulates the release of acetylcholine from $\text{CD4}^+\text{CD44}^{\text{high}}\text{CD62L}^{\text{low}}$ memory T cells, which in turn reduces the macrophage release of pro-inflammatory cytokines via α -7 nicotinic acetylcholine receptors. Direct anti-inflammatory β -2 adrenergic-receptor-mediated renal protection can also occur. Preserving adequate cardiac output, and hence renal perfusion, requires maintenance of both efferent sympathetic and parasympathetic activities, both of which are impaired by the deleterious effects of general anaesthesia.

which has also been shown to operate in cardioprotection after experimental haemorrhage.²⁴ Increased vagal nerve activity triggers the release of norepinephrine by splenic nerve terminals via the suprarenal ganglia, which in turn activates splenic choline-acetyltransferase-positive T lymphocytes to release acetylcholine in a beta-adrenergic-receptor-dependent manner.²⁵ Binding of acetylcholine to nicotinic cholinergic receptors expressed on macrophages that reside close to these T cells leads to suppression of inflammatory cytokines, and hence, reduced inflammation. Loss of vagal innervation prevents this anti-inflammatory action. RIPC also triggers effects mediated by glucagon-like peptide-1 (GLP-1) receptors, likely through GLP-1 release from viscera innervated by the posterior gastric branch of the vagus nerve.²⁶ GLP-1R agonists confer renal protection independent of their beneficial effects in improving glycaemic control.²⁷

The sympathetic regulation of inflammation is coordinated by pre-sympathetic C1 neurones residing in the ventrolateral medulla via projections to sympathetic neurones.¹⁹ Multiple stressors activate C1 cells, including inflammation, hypoxia, pain, hypotension, and short periods of physical restraint stress. Combined with vagally mediated reductions in HR or augmentation of cardiac output,²⁸ the parasympathetic activation by RIPC activates mechanisms that confer organ protection.

Perioperative medicine: a welcome fly in the RIPC ointment?

Perioperative research has generated two key insights into the mechanisms of RIPC. First, the modulation of autonomic function by general anaesthesia is likely to profoundly alter the effectiveness of RIPC. Although different anaesthetic agents impact locally on the extent of experimental ischaemia–reperfusion injury,²⁹ differential effects on organ protection involving both neural and humoral components of RIPC are likely. Propofol attenuates the effects of RIPC,³⁰ an effect that may be attributable to the attenuated expression of pre-conditioning inflammatory mediators,³¹ central inhibition of vagal preganglionic neurones, or both.³² In the two largest multicentre trials in cardiac surgery, most patients received propofol for anaesthesia, which could have abrogated the potential beneficial effects of RIPC. Second, cardiopulmonary exercise testing has revealed that ~35% of higher-risk patients undergoing major noncardiac surgery have markedly impaired autonomic function.^{33,34} In the case of cardiac vagal impairment, this is independently associated with myocardial injury³⁴ and AKI,³⁵ suggesting that a loss of intrinsic neural protection mechanisms may promote cardio-renal injury. Pre-existing autonomic impairment may therefore impair the effectiveness of RIPC in conferring organ protection. Acute reductions in sympathetic and parasympathetic activities that typify the perioperative stress response may also impair the operation of intrinsic RIPC mechanisms. The timing of disrupting neural regulatory control mechanisms has major implications for the time frame over which RIPC should be applied.

RIPC: the need for a personalised medicine approach

One explanation for the discordant results from perioperative clinical trials is the failure to target the correct phenotype at the correct time in the most appropriate patient and operative setting. Using RIPC in higher-risk patients significantly

reduces the occurrence of AKI,¹² whereas the application of this same intervention in lower-risk patients has no effect on AKI.^{16,17} Notably, patients with chronic kidney disease, who are at most risk of perioperative AKI, exhibit markedly lower parasympathetic (cardiac vagal) activity (Fig. 2). As the study by Veighey and colleagues⁶ on the BJA shows, the clinical use of RIPC is applicable to many perioperative areas beyond cardiac surgery, many of which remain unexplored. Moreover, application of RIPC in the setting of kidney transplantation highlights the critical importance of timing for RIPC. As Veighey and colleagues⁶ have shown, RIPC applied to both donors and recipients before the actual insult occurs is likely to confer a long-term impact for the recipient.

Depending on the applied stimulus and clinical setting, the contribution of humoral and neural signalling pathways may be variably dominant, but it is likely that the different pathways interact with each other. The elegant human model of acute inflammation used by Zwaag and colleagues⁵ illustrates the need for further insights into the RIPC-immune interface, which remains in its infancy. Renal tubular epithelial cells express several pattern recognition receptors that respond to injurious exogenous and endogenous stimuli, including damage-associated molecular patterns. Different stressors might trigger a variety of self-protective mechanisms for renal tubular epithelial cells, including cell-cycle arrest and down-regulation of energy-expending ion transport functions.³⁶ Manipulating the responses of renal tubular epithelial cells to the mediators released in response to RIPC remains poorly understood.

In conclusion, there are several reasons why perioperative RIPC deserves further exploration, not least because the surgical setting enables a targeted, personalised application of the RIPC procedure in highly phenotyped subjects. A better understanding of the underlying mechanisms, clinical effects, and patient selection is still needed before this promising intervention can be fully adopted or dismissed from perioperative use. The perioperative setting affords the ideal conditions to determine whether a personalised medicine approach can refocus RIPC as a scalable therapeutic intervention.

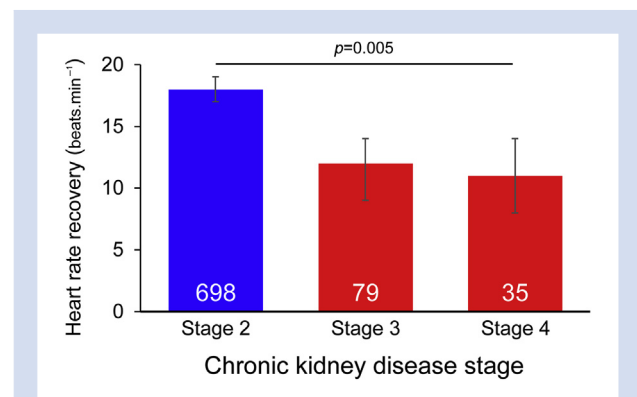


Fig 2. Chronic kidney disease (CKD) and cardiac vagal function. Patients with Stage 3–4 CKD, who are at substantially higher risk of acute kidney injury after surgery, are more likely to have impaired efferent vagal activity, as quantified by slower HR recovery (data from Ackland and colleagues³⁵). P-value refers to differences in HR recovery between Stage 2 vs Stages 3 and 4 CKD (by one-way analysis of variance).

Authors' contributions

Drafting of first version: AZ, GLA.

Review/editing of revised manuscript: all authors.

Declarations of interest

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Is less really more? A critical appraisal of a POPULAR study reanalysis

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‘You see, but you do not observe.’ Sir Arthur Conan Doyle (1859–1930); *A Scandal in Bohemia*

The POPULAR study¹ was a multicentre, prospective, large-scale observational cohort study in which 22 803 patients from 211 hospitals in 28 European countries were recruited to investigate the potential role of neuromuscular blocking agents on patient safety, particularly postoperative pulmonary complications.¹ In this study, 17 150 patients received a neuromuscular blocking agent. In more than 10 000 patients, intraoperative neuromuscular monitoring was not used at all, and in 11 789 patients, the timing of tracheal extubation was based on clinical criteria. The recommended objective neuromuscular monitoring was used in only 4182 patients, but surprisingly, in 32.1% of patients tracheal extubation occurred before achieving a train-of-four (TOF) ratio of 0.9, thus questioning the utility of quantitative monitoring when quantitative data are ignored.

The POPULAR study led to an initial publication in *The Lancet Respiratory Medicine*.¹ In the current issue of the *British Journal of Anaesthesia*, Blobner and colleagues² present a reanalysis of the POPULAR database. At first glance, it may appear that the authors’ most recent conclusions are different from those published by the same authors previously.¹ Indeed, the key message of the original publication was, ‘The use of neuromuscular monitoring and the administration of reversal agents were not associated with a decreased risk of pulmonary complications. Neither the choice of sugammadex instead of neostigmine for reversal nor extubation at a train-of-four ratio of 0.9 or more was associated with better pulmonary outcome.’¹ Accordingly, it is likely that many clinicians understood the message of the initial publication as follows: neither neuromuscular monitoring nor pharmacological antagonism (with either neostigmine or sugammadex) contributes to decrease the clinical consequences of residual paralysis. This initial message appears to contradict previous studies that unequivocally found that quantitative monitoring and the use of pharmacological antagonists contribute to lowering of postoperative pulmonary complications.^{3–5} In the current reanalysis,² the key message is ‘...The presented